NAME OF AGENCY: Department of Energy

NAME OF EVALUATOR: TheAnne Gordon

DIRECTIONS
The enclosed list of 30 criteria is drawn directly from the text of the Open Government Directive. Please return this form to the White House Open Government team at opengov@ostp.gov by 5 pm on Friday, April 23rd. To complete the self-evaluation form, please indicate a response to each of the criteria as follows:

>   Red (R) – plan does not satisfy the requirement
>   Yellow (Y) – plan partially satisfies the requirement
>   Green (G) – plan fully satisfies the requirement
>   N/A - not applicable because agency does not engage in that activity or area

Please include any explanatory comments on the last page.

Optional: If you wish, please provide the page range for the relevant section of the plan that is the basis for your answer to each question.

Formulating the Plan in the Open

1. Was *multidisciplinary collaboration* involved in formulating the plan?	Green – see comments
2. Was *public consultation* involved in crafting the plan?	Green – p.7
3. Was the plan *published in an open format*, online, on time and on the open government page and with raw data?	Green – p.6-7 & see comments
4. Is there a plan for *continued public engagement* as part of the review and modification of the open government plan?	Green – p.13 & see comments

Transparency Strategic Action Plan

5. Does the plan contain a strategic action plan that *inventories agency high-value information* currently available for download?	Green – p.14-18
6. Is there a plan to foster the *public's use of this information* to increase public knowledge and promote public scrutiny of agency services?	Green
7. Does the action plan *identify high value information not yet available* and establish a reasonable timeline for publication online in open formats with specific target dates?	Green – p.18-19
8. For agencies providing *public information in electronic format*: Is there a plan for timely publication of underlying data for public information maintained in electronic format?	Green – see comments
9. Does the plan *identify key audiences for information and their needs*, and the agency endeavors to publish high-value information for each of those audiences in the most accessible forms and formats?	Green – p.12-13 & see comments
10. Is there a plan to demarcate *educational material* as free for re-use?	Green – p.13
11. Does the plan detail compliance with *transparency initiative guidance*, and where gaps exist, detailed steps the agency is taking and the timing to meet the requirements for each initiative:	p.19-20
a. Data.gov	Green
b. eRulemaking	Green
c. IT Dashboard	Green
d. Recovery.gov	Green
e. USAspending.gov	Green
12. Are there details of proposed actions (with clear milestones) to inform the public of significant actions and *business of the agency* (e.g. agency public meetings, briefings, press conferences, town halls)?	Green – p.3, 7 & 21
13. Does the plan address existing *record management requirements* by providing:	p.21

a. Website link	Green
b. Identifying and scheduling all electronic records	Green
c. Timely transfer of all permanently valuable records to the National Archives	Green
14. Does the plan address *FOIA* by providing:	p.21
a. Website link?	Green
b. Staffing, organizational structure, and process for responding to FOIA requests?	Green
c. Assessment of capacity to analyze, coordinate and respond to requests in a timely manner?	Green
d. If there is a significant FOIA backlog, details on how the agency will reduce the backlog by 10% each year?	Yellow – p.23 & see comments
15. Does the plan address *congressional requests* by providing a:	p.21
a. Website link?	Yellow – see comments
b. Staffing, organizational structure, and process for responding to Congressional requests?	Green
16. Does the plan address declassification, if applicable by providing a:	p.22
a. Website link?	Green
b. Where the public can learn about declassification programs, accessing declassified materials, and provide input about what types of information should be prioritized for declassification?	Green

Participation

17. Does the plan explain how the agency will improve participation, including steps the agency will take to revise its current practices to increase opportunities for public participation in and feedback on the agency's core mission activities (including proposed changes to internal management and administrative policies to improve participation)?	Green
18. Does the plan describe and provide links to websites for the public to engage in existing participatory processes?	Green – p.25-27
19. Are there proposals for new feedback mechanisms (including innovative tools and practices for public engagement)?	Green

Collaboration

Does the plan list steps the agency will take to revise its current practices to further collaboration:	p.25-27
20. With other Federal and non-Federal government agencies? Including the use of technology platforms to this end?	Green
21. With the public? Including the use of technology platforms?	Green
22. With non-profit and private entities? Including technology platforms?	Green – p.22
23. Are there links to websites that describe existing collaboration efforts of the agency?	Green
24. Does the plan describe the Innovative methods (e.g. prizes and collaborations) to increase collaboration with the private sector, non-profit, and academic communities?	N/A

Flagship Initiative

25. Does the plan include at least one specific flagship engagement?	Green – p.12-13
26. Does the description provide an overview of the initiative: how it addresses one or more of the three openness principles and how it aims to improve agency operations?	Green – p.12-13
27. Does it identify external partners for collaboration (if appropriate)?	Green – p.12-13
28. Is there a plan for public participation in contributing innovative ideas to the flagship?	Green
29. Does the description explain how the improvements to transparency, participation and/or collaboration will be measured?	Green
30. Does the flagship include a description of sustainability and room for improvement?	Green – p13

COMMENTS:

Criteria #1 – The following offices collaborated and were involved in formulating the DOE Open Government plan:
   a. Office of the Chief Information Officer
   b. Office of the Chief Financial Officer
   c. Office of Health, Safety and Security
   d. Office of Management
   e. National Nuclear Security Administration
   f. Office of Legacy Management
   g. Office of Environmental Management
   h. Office of General Council
   i. Economic Impact and Diversity
   j. Fossil Energy
   k. Energy Impact Administration
   l. Office of Congressional and Intergovernmental Affairs
   m. Office of Public Affairs

Criteria #2 – The DOE OpenGov Plan was also published in eBook format to allow reading in a Kindle, Sony or Nook eBook reader.

Criteria #4 – DOE receives and responds to comments from the American Public using the DOE open@hq.doe.gov mailbox.

Criteria #8 – DOE plans to periodically publish OpenGov Bulletins to highlight OpenGov success stories and to report on progress with the DOE OpenGov Plan.

Criteria #9 – The three DOE Open Government Flagship Initiatives emphasize this criteria.

 Criteria #14d – The next version of the Open Government Plan will include details on how the Department will reduce its FOIA backlog.

Criteria #15 – The next version of the Open Government Plan will include a website link for Congressional requests.

Criteria #16 – This is addressed in the email to Nick Fraser from TheAnne Gordon on 14 April 2010 discussing DOE's Non-Applicable OGD Requirements.

Criteria #19 – All three DOE Flagship Initiatives include new feedback mechanisms, and the Department of Energy will continue to provide tools for public engagement in its Open Government initiatives.

Criteria #21 – See a description of the National Library of Energy on page 22.

Criteria #24 – This is addressed in the email to Nick Fraser from TheAnne Gordon on 14 April 2010 discussing DOE's Non-Applicable OGD Requirements