

Restrictions on Federal Employees' Acceptance of Gifts

There are restrictions on Federal employees accepting gifts from fellow Federal employees and from "prohibited sources," such as contractors, as well as gifts given because of the employee's official position. A gift includes anything of monetary value, including free attendance at an event, gratuity, favor, discount, entertainment, training, transportation, lodging, and meals.

Political appointees have additional restrictions that are not addressed in this guidance; those employees should email standardsofconduct@hq.doe.gov for further guidance before accepting any gift.

Gifts from outside sources. Generally, as a Federal employee, you may not solicit or accept a gift (1) from a "prohibited source" or (2) given because of your official position. A "prohibited source" is a person or organization seeking official action by DOE; a person who conducts activities regulated by DOE, a contractor or grantee of DOE; a person or organization with an interest that may be substantially affected by the performance or nonperformance of your official duties; or an organization whose members are any of the above.

Some items are not considered to be gifts, including: snacks (coffee, donuts, other modest food items not offered as part of a meal); greeting cards and items with little intrinsic value, such as plaques, certificates or trophies; prizes in contests open to the general public; commercial discounts available to the general public; commercial loans, pensions, and similar benefits; anything for which you pay fair market value; and anything which is paid for by the Government.

You should never: (1) accept a gift in return for being influenced in the performance of your official duties; (2) solicit or coerce the offer of a gift; (3) accept gifts from the same or difference sources so frequently that a reasonable person would believe you are using your public office for personal gain; or (4) accept a gift in violation of any statute, regulation or executive order.

There are a number of exceptions to this rule that may permit acceptance of an otherwise prohibited gift. The most common exceptions are:

- Individual gifts of \$20 or less per source per occasion, provided that the aggregate market value of the individual gifts received from any one source does not exceed \$50 per year.
- Gifts based on outside business or employment relationships, from a personal friend, or to your spouse unaffected by your official position.
- Invitations to certain widely attended gatherings (events where a large number of people with common interests but diverse perspectives are expected to attend), provided you have written approval from a supervisor with the advice of an ethics counselor.

You may be offered gifts from prohibited sources or because of your official position, including meals and events that include food. Whether you may accept the gift or attend the event depends on whether the gift falls into one of the exceptions, including those described above. If you are invited to an event you believe may qualify as a widely attended gathering, contact an ethics counselor before accepting the invitation.

You may also pay the full market value of the gift. If you choose to pay for a gift, you must personally pay the donor its market value. The market value for a ticketed event, such as a sporting event, is the price on the face of the ticket. For an event without tickets, such as a dinner or reception, the market value is the per person cost of food, beverages, and entertainment. However, even when you pay the full face-value, questions concerning loss of impartiality may still exist. Additionally, you may not accept a gift by paying the amount that exceeds the \$20 limit.

If you are offered a meal as a gift, be wary of situations where the host quotes the value at a suspiciously low price, or one off the top of his or her head. It's your responsibility to verify that the value of the meal does not exceed the \$20 limit.

Even if a gift exception applies, it is always appropriate to decline a gift, if acceptance would result in questions regarding your integrity or impartiality. Among the factors you should consider when making this decision are the value of the gift, the timing of the gift, and whether accepting the gift gives the donor additional access to DOE employees.

Gifts between employees. Generally, you may not give a gift to your supervisor, or to others in your management chain. And, employees may not accept a gift from another employee receiving less pay, unless the employee giving the gift is the official superior of the employee receiving the gift. However, a gift given under one of the following situations is permitted:

- Gifts of \$10 or less on certain infrequent occasions when gifts are traditionally given or exchanged.
- Food and refreshments shared in the office.
- Personal hospitality at one's home and gifts given in connection with the receipt of personal hospitality from a supervisor, if it is of the type and value customarily given on such occasions.
- Special infrequent occasions (such as marriage, illness, retirement, or the birth or adoption of a child. Note that a "milestone" birthday is not a "special infrequent occasion"). In this case, voluntary contributions of nominal amounts may be solicited for a group gift.

Gifts from foreign governments. You may not accept gifts from foreign governments or international organizations except as permitted under the Foreign Gifts and Decorations Act (FGDA). Under FGDA, an employee may not accept a gift exceeding \$480 in value from a foreign government. This rule applies whether you are on or off duty. Any unit of a foreign

government, whether it is national, state, local, or municipal level is covered. It also applies to gifts from international or multinational organizations comprised of government representatives. It may apply to gifts of honoraria, travel, or per diem from foreign universities, which are often considered a part of a foreign government. This statutory restriction extends to your spouse and dependent children. In certain circumstances, particularly if refusal of a gift would cause embarrassment either to the United States or the foreign government offering the gift, the gift may be accepted on behalf of the Department. You should consult with an ethics counselor regarding foreign gifts.

Frequently Asked Questions

Office Parties

- *May we have an office “gift exchange” or “gift swap?”* **Yes.** All employees, including supervisors, may participate in gift exchanges if each gift is valued at \$10 or less.
- *May we pool contributions of \$10 or less per person to purchase a holiday gift for our supervisor?* **No.** Holidays are not special infrequent occasions because they occur annually.
- *May we collect contributions for an office holiday party?* **Yes.** You may collect voluntary contributions for holiday parties. Employees who wish to attend a party may be required to contribute a set amount to pay per-person costs. However, supervisors should not author a solicitation and can **never** require contribution to and participation in a holiday party.
- *May we include a contractor in our office holiday party and can they contribute to its cost?* **Maybe.** The Contracting Officer for the contract should always be contacted to see if it is permissible for the contractor employees to attend the party if it is during working hours. If contractor employees are included in a holiday party where all participants are contributing a set amount (e.g., everyone pitches in \$5), the contractor employee may contribute the same amount, but no more.
- *May we use our program’s appropriated funds to pay for a holiday party?* **No.**

Gifts from Outside Sources

- *If I am prohibited from accepting an invitation to an event, may I “pay my own way” instead?* **Yes.** Any employee may choose to pay for a prohibited gift. You must pay the donor its market value. You are responsible for ensuring that the amount you pay is an accurate reflection of the market value. Even when you pay the market value, questions concerning loss of impartiality may still exist.
- *May I attend a contractor’s holiday party?* **It depends.** If a gift exception applies attendance may be permissible. Generally, only a small number of DOE employees

should be at this type of event. Even when you “pay your own way” you should consider the appearance of a DOE official attending a contractor party with whom he or she has an official relationship.

Questions & Additional Information

Please contact your ethics advisor with any questions or comments concerning the ethics rules and regulations. Headquarters employees should submit questions by email to standardsofconduct@hq.doe.gov. NNSA and field employees should direct their questions to their respective ethics counselor.

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