NETL F 451.1-1/1 Revised: 11/24/2014 Reviewed: 11/24/2014 (Previous Editions Obsolete)

## **U.S. DEPARTMENT OF ENERGY - NETL**

## CATEGORICAL EXCLUSION (CX) DESIGNATION FORM

Project No.: DE-FE0032319 Recipient Name: Penn State 1	University Project Location: University Park, PA
Sub-recipient(s) and Locations:	
Pinnacle Ozone Solutions - Cocoa, Brevard County, Florida	
NETL Sponsoring Org.: FECM/TDS/CM/Min. Sustainability	NETL Contact: Sandy Napolitano
Brief Title of Proposed Action: Acidic Water Pollution Cleanup a	nd Community Economic Development through Domest
Brief Description of Activities:	
Fabrication and shipment of an Ozone Generation System Critical Minerals Project.	n to support a Pilot Scale Rare Earth and
THE PROPOSED ACTION FALLS WITHIN THE FOLLOWING CATEGORICAL EXCLUSION(S) FROM APPENDICES A AND B TO SUBPART D OF DOE NEPA IMPLEMENTING PROCEDURES (10 CFR 1021):	
General Administration/Management	Electrical Power and Transmission
☐ A1 – Routine business actions	☐ B4.4 – Power management activities (storage, load shaping, and balancing)
■ A9 – Info gathering, analysis, documentation, dissemination, and training	☐ B4.6 – Transmission support addition/modifications at developed facility sit
☐ A11 – Technical advice and planning assistance	B4.11 – Construction of power substations and interconnection facilities
Facility Operations	☐ B4.13 – Upgrading and rebuilding existing power lines (< 20 miles)
B1.3 – Routine maintenance and custodial services	Conservation, Fossil, and Renewable Energy Activities
B1.7 – Communication system and data processing equipment acquisition,	B5.1 – Actions to conserve energy, no indoor air quality degradation
installation, operation, removal  B1.15 – Support building or structure, non-waste storage, construction/	<ul> <li>□ B5.3 – Modification/abandonment of wells</li> <li>□ B5.5 – Short crude oil/gas/steam/geothermal/carbon dioxide pipeline</li> </ul>
operation	const/oper within an existing right-of-way (< 20 miles) between
Sofatri and Haalth	existing facilities
Safety and Health  B2.1 – Modifications to enhance workplace habitability	☐ B5.13 – Experimental wells for injection of small quantities of carbon
B2.2 – Installation/improvement of building/equipment instrumentation	dioxide (< 500,000 tons)  B5.15 – Small scale renewable energy research/development/pilot projects
☐ B2.3 – Installation of equipment for personnel safety and health	B5.22 – Alternative fuel vehicle fueling stations
General Research	☐ B5.23 – Electric vehicle charging stations
B3.1 – Site characterization/environmental monitoring	
■ B3.6 – R&D or pilot facility construction/operation/decommissioning	Other  Specify category:
☐ B3.7 – New infill exploratory, experimental oil/gas/geothermal well	bpeen, emegary.
construction and/or operation	☐ Specify category:
<ul> <li>□ B3.9 – Certain CCT demonstration activities, emissions unchanged</li> <li>□ B3.11 – Outdoor tests, experiments on materials and equipment components</li> </ul>	
	☐ Specify category:
This action (1) would not present any extraordinary circumstances such that the action might have a significant impact upon the human environment; (2) is not connected to other actions with potentially significant impacts; (3) is not related to other actions with cumulatively significant impacts; and (4) is not inconsistent with 10 CFR 1021.211 - Interim Actions or 40 CFR 1506.1 - Limitations during the NEPA process.  SELECT ONE OF THE FOLLOWING:  This Categorical Exclusion includes all tasks and phases in the Statement of Work or Statement of Project Objectives for this project.  This Categorical Exclusion is only valid for the following tasks/phases Tasks 2 and 3  The DOE initiator acknowledges the	
responsibility to obtain a NEPA determination prior to initiating any activities outside the scope of this Categorical Exclusion.	
SELECT ONE OF THE FOLLOWING:  ☐ This Categorical Exclusion includes all locations and activities for this project.  ☐ Additional sites, sub-recipients, or activities cannot be identified at this time. The DOE initiator acknowledges the responsibility to obtain a NEPA determination prior to initiating any activities outside the scope of this Categorical Exclusion.	
NOTE: ANY CHANGE(S) TO THE PROJECT SCOPE OR LOCATIONS MAY REQUIRE A NEW NEPA DETERMINATION.	
DOE Initiator Signature: SANDY NAPOLITANO Digitally signed by SANDY NAPOLITANO Date: 2023.12.14 08-23-00-0500	
NEPA Compliance Officer: STEPHEN WITMER Digitally signed by STEPHEN Date: 2023.12.27 14:57:49-06	Date: 12 / 21 / 2023
month day year  The following special condition is provided for the consideration of the Contracting Officer:	
CX for this award was previously issued in October 2023. This additional CX is being approved to add a sub-recipient (Pinnacle Ozone Solutions) to the project scope.	
sub-recipient (Filmacie Ozone Solutions) to the proje	ecc acope.