



## Department of Energy

Washington, DC 20585

November 9, 2023

Dr. Kimberly S. Budil  
Laboratory Director and President  
Lawrence Livermore National Security, LLC  
2300 First Street, Suite 204  
Livermore, CA 94550

Dear Dr. Budil:

This letter serves as notification of the Office of Enterprise Assessments, Office of Enforcement's decision to conduct an investigation into the facts and circumstances associated with potential deficiencies in Lawrence Livermore National Security, LLC's (LLNS) quality assurance program that, at a minimum, resulted in the installation and use of nuclear safety affecting items from a supplier that was not properly evaluated. LLNS reported potential noncompliances associated with this condition into the Department of Energy's (DOE) Noncompliance Tracking System under NTS-NA-LFO-LLNS-LLNLBOP-2022-0010554, dated December 21, 2022.

The Office of Enforcement will conduct this investigation pursuant to the authority set forth in 10 C.F.R. Part 820, *Procedural Rules for DOE Nuclear Activities*, § 820.21, *Investigations*. The investigation will include an onsite visit and interviews with contractor personnel. A request for documents to support this investigation will be provided separately via email. Your cooperation to ensure timely submission of all requested documents to our office by the requested date is appreciated.

Under the Major Fraud Act (MFA), as amended, 41 U.S.C. § 4310, this investigation may be a proceeding commenced by the United States that relates to a violation of, or the failure to comply with, a Federal regulation. Costs incurred in connection with such a proceeding is subject to the reimbursement restrictions of the MFA implemented at 48 C.F.R. § 31.205-47. As a result, LLNS should track any costs incurred that are directly attributable to supporting DOE's investigation, subsequent to receipt of this letter, and segregate them from other potentially allowable costs.

If you have any questions concerning this letter, please contact me at (301) 903-4033, or your staff may contact Jacob M. Miller, Director, Office of Nuclear Safety Enforcement, at (301) 903-7707.

Sincerely,

A handwritten signature in black ink, appearing to read 'Anthony C. Pierpoint', written in a cursive style.

Anthony C. Pierpoint  
Director  
Office of Enforcement  
Office of Enterprise Assessments

cc: Janis Parenti, NA-LL  
Douglas Eddy, NA-LL  
Mike Sheldrick, Lawrence Livermore National Security, LLC