



U.S. Department of Energy Categorical Exclusion Determination Form

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Proposed Action Title: Ocean Rainforest, Inc. -- Design of Large Scale Macroalgae System (MacroSystem)

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Santa Barbara, California

Proposed Action Description:

AMENDED NEPA DETERMINATION: (See attached original Determination, dated May 20, 2020). This Amended Determination follows the approval of additional funds and period of performance to support the project team's continued small-scale, research and development of an offshore seaweed cultivation farm. Specifically, the project team will (1) test and deploy automated equipment, monitoring, and farming systems, and (2) expand their existing MacroSystem macroalgae farm to include a more integrated farm design and technologies to enable future large-scale macroalgae cultivation. If successful, the project will advance the macroalgae cultivation industry toward economically feasible open-ocean cultivation for use in biofuel production and other processes.

Project activities will be conducted at existing facilities at Ocean Rainforest, Inc. (Santa Barbara, CA) designed for the proposed work. Project tasks continue to fit within the class of actions identified under the DOE Categorical Exclusions identified below and do not involve any extraordinary circumstances that may affect the significance of the environmental effects of the project. This assessment was based on a review of the proposed scope of work and the potential environmental impact of the project. Project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and local regulatory requirements. No modifications will be made to the existing facilities to accommodate the proposed work. The project team has certified they possess all necessary permits, authorizations and approvals to conduct the applicable project work referenced in the original Determination and additional activities covered by this determination.

Categorical Exclusion(s) Applied:

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

B3.16 - Research activities in aquatic environments

B5.25 - Small-scale renewable energy research and development and pilot projects in aquatic environments

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: **GEOFFREY GOODE** Digitally signed by GEOFFREY GOODE
Date: 2023.09.21 12:15:26 -04'00'

Date Determined:



U.S. Department of Energy

Categorical Exclusion Determination Form

Submit by E-mail

Proposed Action Title: Ocean Rainforest, Inc. -- Design of Large Scale Macroalgae Systems (MacroSystems)

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Santa Barbara, CA; Houston, TX;

Proposed Action Description:

Funding will support the project team's small-scale research and development activities to develop an innovative and time/energy efficient harvesting process for large scale offshore cultivation of *M. pyrifera* (macroalgae). Specifically, the project team will (1) complete hatchery construction, launching, and seeding to produce seeding material, (2) conduct experiments to optimize MacroCystis yield, cultivation system performance, and economics of farming, (3) test several novel methods for harvesting *M. pyrifera*; and (4) test the performance of the cultivation system under different environmental and operational parameters. If successful, the project team will develop new, innovative technologies that would enable automated and scalable processes for propagating and seeding seaweeds in a large-scale cultivation scenario, reducing the propagation and seeding costs substantially.

Project activities will be conducted at existing facilities (See Attachment A) designed for the applicable activities. Project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and local regulatory requirements. No modifications will be made to existing facilities to accommodate the proposed work. Ocean Rainforest, Inc., under the terms of its ARPA-E Cooperative Agreement, is prohibited from commencing applicable project work before (1) obtaining the necessary permits and approvals and (2) providing written assurances to ARPA-E of the same.

Categorical Exclusion(s) Applied:

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

B3.16 - Research activities in aquatic environments

B5.25 - Small-scale renewable energy research and development and pilot projects in aquatic environments

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: **Geoffrey Goode** Digitally signed by Geoffrey Goode
Date: 2020.05.20 04:13:14 -05'00'

Date Determined: