

# National Policy Requirements Matrix

National Policy Requirements applicable to all OCED awards: By signing or accepting funds under the agreement, the Recipient agrees that it will comply with applicable provisions below.

Statutory/Regulatory/and Executive Based Requirements	Type of Award	Type of Recipient	Specific Situation	Requirement(s) That Should Be Noted by the Recipient
<b>NONDISCRIMINATION</b>				
<i>By signing or accepting funds under the agreement, the recipient agrees that it will comply with applicable provisions below.</i>				
1. On the basis of race, color, or national origin, in Title VI of the Civil Rights Act of 1964 (42 USC §2000d, et seq.), as implemented by: <ul style="list-style-type: none"> <li>DOE at 10 CFR §1040</li> </ul>	Grants, cooperative agreements, and other financial assistance.	All	All	The following regulations require the recipient to flow down requirements to subrecipients: <ul style="list-style-type: none"> <li>DOE at 10 CFR §1040</li> </ul>
2. On the basis of race, color, religion, sex, or national origin, in Executive Order (EO) 11246 [3 CFR §1964-1965 Comp., p. 339], as implemented by Department of Labor regulations at 41 CFR §60.	Grants, cooperative agreements, and other prime awards defined at 40 CFR §60-1.3 as "Federally assisted construction contract."	All	Awards under which construction is to be done.	41 CFR §60-1.4(b) prescribes a clause that recipients must include in federally assisted construction awards and subawards [60-1.4(d) allows incorporation by reference]. This requirement also is at: <ul style="list-style-type: none"> <li>32 CFR §33.36(l)(3) and at paragraphs 1. of Appendices A to 32 CFR §32 and 32 CFR §34</li> </ul>
3. On the basis of sex or blindness, in Title IX of the Education Amendments of 1972 (20 USC §1681, et seq.). <ul style="list-style-type: none"> <li>DOE at 10 CFR §1040</li> </ul>	Grants, cooperative agreements, and other financial assistance included at 20 USC §1682.	Educational institution [for sex discrimination, excepts all Institution controlled by religious organization when inconsistent with the organization's religious tenets].	All educational program or activity receiving Federal financial assistance.	
4. On the basis of age, in the Age Discrimination Act of 1975 (42 USC §6101, et seq.), as implemented by Department of Health and Human Services regulations at 45 CFR §90. <ul style="list-style-type: none"> <li>DOE at 10 CFR §1040</li> </ul>	Grants, cooperative agreements, and other awards defined at 45 CFR §90.4 as "Federal financial assistance."	All	All	45 CFR §90.4 requires the recipient to flow down requirements to subrecipients [definition of "recipient" at 45 CFR §90.4 includes entities to which assistance is extended indirectly through another recipient].
5. On the basis of handicap, in: <p>A) Section 504 of the Rehabilitation Act of 1973 (29 USC §794), as implemented by Department of Justice regulations at <ul style="list-style-type: none"> <li>28 CFR §41 and</li> <li>DOE at 10 CFR §1040</li> </ul> </p> <p>B) The Architectural Barriers Act of 1968 <ul style="list-style-type: none"> <li>42 USC §4151, et seq.</li> </ul> </p> <p>C) Americans with Disabilities Act <ul style="list-style-type: none"> <li>42 USC §12101 et seq.</li> </ul> </p>	Grants, cooperative agreements, and other awards included in "Federal financial assistance."	All	All	Requirements flow down to subawards ["recipient" includes entities receiving assistance indirectly through other recipients].
	Grant or loan	All	Construction or alteration of buildings or facilities, except those restricted to use only by able-bodied uniformed personnel.	
	All	All		

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Statutory/Regulatory/and Executive Based Requirements	Type of Award	Type of Recipient	Specific Situation	Requirement(s) That Should Be Noted by the Recipient
6. On the basis of religion, in EO 13798 and the Attorney General's Memorandum of October 6, 2017, as implemented at 2 CFR §200.300.	All	All	All	
<b>LIVE ORGANISMS</b>				
<i>By signing or accepting funds under the agreement, the recipient agrees that it will comply with applicable provisions below.</i>				
1. For human subjects:				
A) For human subjects, the Common Federal Policy for the Protection of Human Subjects. Codified by the: <ul style="list-style-type: none"> <li>• DOE at 10 CFR §745</li> </ul>	All	All	Research, development, test, or evaluation involving live human subjects, with some exceptions [see agency implementations for exemptions].	Requirements flow down to subrecipients.
B) Human Stem Cell Research In accordance with the President's Executive Order 13505 of March 9, 2009, and July 30, 2009, Memorandum for the Heads of Executive Departments and Agencies. See NIH Guidelines for Human Stem Cell Research, July 7, 2009. Other regulations that may apply: <ul style="list-style-type: none"> <li>• HHS Human Subjects Protection Regulation, (45 CFR §46)</li> <li>• FDA Regulations governing INDs or IDEs (Title 21 CFR §312 or 812)</li> <li>• FDA IRB &amp; informed consent regulations (Title 21 CFR §§50 and 56)</li> <li>• Research on Transplantation of Fetal Tissue (PHS Act 489A)</li> </ul>	As applicable	All	Research involving Human Stem Cells	NIH Guidelines and Registry of cell lines meeting the Federal requirements are found at: <a href="http://stemcells.nih.gov/">http://stemcells.nih.gov/</a> . Other Federal, State, or local laws may also apply.
C) Public Law (PL) 104-191 Health Insurance Portability and Accountability Act (HIPAA) <ul style="list-style-type: none"> <li>• 45 CFR §160 and Subparts A and E of §164 Subpart C to be effective 4/20/2005 (Security)</li> </ul>	As applicable	Covered entities	As applicable	Limits uses of protected health information (PHI) collected or maintained by researchers within a covered entity or access to PHI from a covered entity. Research uses do not require Business Associate Agreements (defined at 45 CFR §164.504(e) (1) between collaborating institutions). Guidance available at <a href="http://privacyruleandresearch.nih.gov/">http://privacyruleandresearch.nih.gov/</a> .
2. For animals:	All	All		Requirements flow down to subrecipients.
A) Rules on animal acquisition, transport, care, handling, and use in: (i) 9 CFR §§1-4, Department of Agriculture rules that implement the Laboratory Animal Welfare Act of 1966 (7 USC §§2131-2156). Public Health Service Agencies must follow requirements in the PHS Policy on Humane Care and Use of Laboratory Animals, which implements PL 99-158, Sec. 495. NASA requirements for animal welfare are set forth at 14 CFR §1232, EPA at 40 CFR §40. For USDA/CSREES, "In the case of domestic farm animals housed under farm conditions, the institution should adhere to the principles stated in the Guide for the Care and Use of Agricultural Animals in Agriculture and Teaching, Federation of Animal Science Societies, 1999."	All	All	Research, experimentation, or testing involving the use of animals. USDA regulations exempt birds, most rats and mice bred for research, and farm animals used for agricultural research.	

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B) Rules of the Departments of Interior (50 CFR §§10-24) and Commerce (50 CFR §§217-227) implementing laws and conventions on the taking, possession, transport, purchase, sale, export, or import of wildlife and plants, including the: Endangered Species Act of 1973 (16 USC §§1531-1543); Marine Mammal Protection Act (16 USC §§1361-1384); Lacey Act (18 USC §42); and Convention on International Trade in Endangered Species of Wild Fauna and Flora.	All	All	Activities which may involve or impact wildlife and plants.	

## ENVIRONMENTAL STANDARDS

By signing the agreement or accepting funds under this agreement, the recipient assures that it will:

1. Comply with applicable provisions of the Clean Air Act (42 USC §7401, et seq.) and Clean Water Act (33 USC §1251, et seq.), as implemented by EO 11738 [3 CFR §§1971-1975 Comp., p. 799].	All	All	All, for Clean Air Act, Clean Water Act, and EO 11738.	Requirements flow down to subrecipients.
2. Identify to the awarding agency all impact this award may have on:				
A) The quality of the human environment, and provide help the agency may need to comply with the National Environmental Policy Act (NEPA, at 42 USC §4321, et seq.) and to prepare Environmental Impact Statements or other required environmental documentation. In such cases, the recipient agrees to take no action that will have an adverse environmental impact (e.g., physical disturbance of a site such as breaking of ground) until the agency provides written notification of compliance with the environmental impact analysis process. <ul style="list-style-type: none"> <li>• DOE at 10 CFR §1021</li> </ul>	All	All	All actions that may affect the environment.	
B) Flood-prone areas, and provide help the agency may need to comply with the National Flood Insurance Act of 1968 and Flood Disaster Protection Act of 1973 (42 USC §4001, et seq.), which require flood insurance, when available, for Federally assisted construction or acquisition in flood-prone areas. <ul style="list-style-type: none"> <li>• DOE at 10 CFR §1022</li> </ul>	All	All	Awards involving construction, land acquisition or development, with some exceptions [see 42 USC § 4001, et seq.].	The Council on Environmental Quality's regulations for implementing NEPA are at 40 CFR §§1500-1508. EO 11514 [3 CFR §§1966-1970 Comp., p. 902], as amended by EO 11991, sets policies and procedures for considering actions in the U.S. EO 11988 [3 CFR §1977 Comp., p. 117] and EO 11990 [3 CFR §1977 Comp., p. 121] specify additional considerations when actions involve floodplains or wetlands, respectively.
C) Coastal barriers, and provide help the agency may need to comply with the Coastal Barriers Resource Act (16 USC §3501, et seq.), concerning preservation of barrier resources.	Grants, cooperative agreements, and other "financial assistance" (see 42 USC §4003).	All	Awards that may affect barriers along the Atlantic and Gulf coasts and Great Lakes' shores.	42 USC §4012a prohibits awards for acquisition or construction in flood-prone areas (Federal Emergency Management Agency publishes lists of such areas in the Federal Register), unless recipient has required insurance. If action is in a floodplain, EO 11988 [3 CFR §1977 Comp., p. 117] specifies additional pre-award procedures for Federal agencies. Recipients are to apply requirements to subawards ("financial assistance," defined at 42 USC §4003, includes indirect Federal assistance).
D) All existing or proposed component of the National Wild and Scenic Rivers system, and provide help the agency may need to comply with the Wild and Scenic Rivers Act of 1968 (16 USC §1271, et seq.).	Grants, cooperative agreements, and other "financial assistance" (see 16 USC §3502).	All	Awards that may affect existing or proposed element of National Wild and Scenic Rivers system.	Requirements flow to subawards (16 USC §3502 includes indirect assistance as "financial assistance").

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<p>E) Underground sources of drinking water in areas that have an aquifer that is the sole or principal drinking water source, and provide help the agency may need to comply with the Safe Drinking Water Act.</p> <ul style="list-style-type: none"> <li>42 USC §300h-3</li> </ul>	All	All	Construction in all areas with aquifers that the EPA finds would create public health hazard, if contaminated.	42 USC §300h-3(e) precludes awards of Federal financial assistance for all projects that the EPA administrator determines may contaminate a sole-source aquifer so as to threaten public health.
<p>3. Resource Conservation and Recovery Act</p> <ul style="list-style-type: none"> <li>42 USC §6901</li> </ul>	All	Awards to states or a political subdivision of a state (which for this purpose includes state and local institutions of higher education or hospitals).		
<p>4. Procurement of items made with recovered materials pursuant to section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act of 1976, as amended, and EO 12873.</p> <ul style="list-style-type: none"> <li>EPA at 40 CFR §247; 2 CFR §200.323</li> </ul>	All	Awards to states or a political subdivision of a state (which for this purpose includes state and local institutions of higher education or hospitals).	Purchase of items designated within the regulations at 40 CFR §247 using federal funds.	

## HEALTH & SAFETY GUIDELINES

*By signing or accepting funds under the agreement, the recipient agrees that it will comply with applicable provisions below.*

1. Applicable OSHA Standards in Laboratories	All	All	Research involving use of hazardous chemicals or bloodborne pathogens	29 CFR §1910.1030, Bloodborne Pathogens; 29 CFR §1910.1450, Occupational Exposure to Hazardous Chemicals in Laboratories
2. Handling and transport of etiologic agents	All	All	Research involving etiologic agents	Procedures for Domestic Handling and Transport of Diagnostic Specimens and Etiologic Agents, 1994 (3rd ed.), H5a3doc.75, National Committee for Clinical Laboratory Standards
3. Biosafety standards in microbiological and biomedical laboratories	All	All	Microbiological and biomedical research	Biosafety in Microbiological and Biomedical Laboratories; 5th Edition <a href="http://www.cdc.gov/biosafety/publications/bmb15/index.htm">http://www.cdc.gov/biosafety/publications/bmb15/index.htm</a>
4. Controlled Substances	All	All	Research involving controlled substances	Drug Enforcement Administration (DEA) registration, inspection, and certification
5. Disposal of high-level radioactive waste and spent nuclear fuel. Note however, that some States are exempt if they have established separate requirements.	All	All	Research involving radioactive waste and spent nuclear fuel	Nuclear Regulatory Commission Standard and Regulation, pursuant to the Energy Reorganization Act of 1974 (42 USC §5801, et seq.)
6. Investigational New Drug Applications	All	All	All clinical trial investigations of products that are subject to section 505 or 507 of the Federal Food, Drug, and Cosmetic Act or to the licensing provisions of the PHS Act (58 Stat. 632, as amended; 42 USC §201, et seq.).	Food and Drug Administration (FDA), 21 CFR §§50 and 312
7. Hotel and Motel Fire Safety Act of 1990, PL 101-39	Conference or meeting support	All	Alterations and Renovations > \$500,000	15 USC §2201, et seq.
8. Labor Standards under Federally Assisted Construction: Construction Work Hours and Safety Standards Act	All	All	Alterations and Renovations > \$500,000	40 USC §§327-333

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9. Text Messaging While Driving, EO 13513	All	All	When performing work for or on behalf of government.	Adopt and enforce policies that ban text messaging while driving.
10. Increasing Seat Belt Use in the United States, EO 13043, dated, April 16, 1997	Grants and cooperative agreements	All		In accordance with the EO, "grantees are encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented, or personally owned vehicles."
11. Dual Use Research of Concern	All	All	Life Sciences awards	<a href="http://www.phe.gov/s3/dualuse/Documents/us-policy-durc-032812.pdf">http://www.phe.gov/s3/dualuse/Documents/us-policy-durc-032812.pdf</a>

## NATIONAL SECURITY GUIDELINES

*By signing or accepting funds under the agreement, the recipient agrees that it will comply with applicable provisions below.*

1. EO 13224, Blocking Property and Prohibiting Transactions with Persons who Commit, Threaten to Commit, or Support Terrorism, dated September 23, 2001. President Bush issued EO 13224 pursuant to the authorities of the International Emergency Economic Powers Act (50 USC § 1701 et seq.) (IEEPA), the National Emergencies Act (50 USC §1601 et seq.), section 5 of the United Nations Participation Act of 1945, as amended (22 USC §287c) (UNPA), and 3 USC §301.	All		In general terms, the Order provides a means by which to disrupt the financial support network for terrorists and terrorist organizations by authorizing the U.S. government to designate and block the assets of foreign individuals and entities that commit, or pose a significant risk of committing, acts of terrorism. In addition, because of the pervasiveness and expansiveness of the financial foundations of foreign terrorists, the Order authorizes the U.S. government to block the assets of individuals and entities that provide support, services, or assistance to, or otherwise associate with, terrorists and terrorist organizations designated under the Order, as well as their subsidiaries, front organizations, agents, and associates.	
2. Select Agents and Toxins <ul style="list-style-type: none"> <li>PL 107-188: Public Health Security &amp; Bioterrorism Preparedness Response Act of 2002, Title II, sections 201-231</li> <li>PL 107-56: The USA Patriot Act of 2001, Section 175b <a href="http://www.cdc.gov/od/sap">www.cdc.gov/od/sap</a></li> <li>Codified by: HHS at 42 CFR §73, USDA at 7 CFR §331 and 9 CFR §121</li> <li>See also 15 CFR Chapter 7 for Export Administration Regulations (EAR)</li> <li>49 CFR §§171 - 180 for transportation requirements</li> </ul>	As applicable	All	Research with or storage of Select Agents and Toxins.	Institution must be registered with CDC and/or USDA prior to beginning work with agents. Investigator must be licensed prior to beginning work. For guidance on a biosecurity plan that includes physical security of facilities and access controls to prevent unauthorized entries, see Departmental Manual 9610-1, USDA Security Policies and Procedures for Biosafety Level-3 Facilities (available at <a href="https://www.usda.gov/directives">https://www.usda.gov/directives</a> ).
3. EO 13526 Classified National Security Information: prescribes a uniform system for classifying, safeguarding, and declassifying national security information, including information relating to defense against transnational terrorism.	All			

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<p>4. Section 841 of the Carl Levin and Howard P. “Buck” McKeon National Defense Authorization Act for Fiscal Year 2015, as amended, permits agencies to restrict, terminate, or void, in whole or in part, awards where the Department of Defense notifies the agency that the award is providing funds, including goods and services, to covered persons or entities within a covered combatant command.</p>	All	All	Does not apply to awards performed entirely within the United States.	
<p>5. Section 889 of the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2019 (PL 115-232) prohibits the use of loan or grant funds to procure or obtain, extend, or renew a contract to procure or obtain, or enter into a contract (or extend or renew a contract) to procure or obtain the equipment, services, or systems prohibited systems as identified in section 889 of the NDAA for FY 2019.</p> <ul style="list-style-type: none"> <li>Government-wide implementation for assistance awards at 2 CFR §200.216</li> </ul>	All	All		<p>(PL115-232) prohibits the head of an executive agency from obligating or expending loan or grant funds to procure or obtain, extend, or renew a contract to procure or obtain, or enter into a contract (or extend or renew a contract) to procure or obtain the equipment, services, or systems as identified in section 889 of the NDAA for FY 2019.</p> <p>(a) In accordance with 2 CFR §§200.216 and 200.471, for all awards that are issued on or after August 13, 2020, recipients and subrecipients are prohibited from obligating or expending loan or grant funds to:</p> <p>(1) Procure or obtain;</p> <p>(2) Extend or renew a contract to procure or obtain; or</p> <p>(3) Enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in PL 115-232, section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).</p> <p>(i) For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).</p> <p>(ii) Telecommunications or video surveillance services provided by such entities or using such equipment.</p> <p>(iii) Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.</p> <p>(b) In implementing the prohibition under PL 115-232, section 889, subsection (f), paragraph (1), heads of executive agencies administering loan, grant, or subsidy programs shall prioritize available funding and technical support to assist affected businesses, institutions and organizations as is reasonably necessary for those affected entities to transition from covered communications equipment and services, to procure replacement equipment and services, and to ensure that communications service to users and customers is sustained.</p> <p>(c) See PL115-232, section 889 for additional information.</p>

## GENERAL/MISCELLANEOUS REQUIREMENTS

By signing or accepting funds under the agreement, the recipient agrees that it will comply with applicable provisions below.

<p>1. SAM Registration</p> <ul style="list-style-type: none"> <li>2 CFR §25</li> </ul>	All	Recipient only; does not apply to subrecipients.		SAM registration must be renewed annually.
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<b>2. Drug Free Workplace</b> <ul style="list-style-type: none"> <li>PL 100-690, Title V DOC at 15 CFR §29</li> <li>DOE at 2 CFR §902</li> </ul>	All	All		41 USC §701 et seq.
<b>3. Unique Entity Identifier (UEI)</b> <ul style="list-style-type: none"> <li>2 CFR §25</li> </ul>	All	Recipient and 1st tier subrecipient.		UEI required at time of application.
<b>4. False Claims Act Provisions</b>				
<b>A) Civil False Claims Act</b> <ul style="list-style-type: none"> <li>31 USC §3729</li> </ul>	All	All	All	
<b>B) Criminal False Claims Act</b> <ul style="list-style-type: none"> <li>18 USC §287 and §1001</li> </ul>	All	All	All	
<b>C) Program Fraud and Civil Remedies and False Claims Act</b> <ul style="list-style-type: none"> <li>31 USC §3801; 45 CFR §79</li> </ul>	All	All	All	
<b>5. Government-wide Debarment and Suspension (Nonprocurement)</b>	All	All		42 USC §1870 (a); Sec. 2455, PL 103-355, 108 Stat. 3327 (31 USC §6101 note); EO 12549 (3 CFR §1986 Comp., p. 189); EO 12689 (3 CFR §1989 Comp., p. 235)
<b>6. Lobbying Prohibitions</b> 31 USC §1352 stipulates that (1) no Federal appropriated funds have been paid or will be paid, any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement. (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit the SF Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. <ul style="list-style-type: none"> <li>DOE at 10 CFR §601</li> </ul>	All			31 USC §1352 requires the grantee to include certification language in the award documents for all subawards at all tiers, including subcontracts, under grants, loans, and cooperative agreements and that all subrecipients shall certify and disclose accordingly.
<b>7. Metric System</b> <ul style="list-style-type: none"> <li>15 USC §205a-k and EO 12770</li> </ul>	All	All	All	15 CFR §19, Subpart B
<b>8. Misconduct in Science</b> <ul style="list-style-type: none"> <li>Policies and responsibilities associated with prevention, detection, and handling of misconduct in science allegations as stipulated in agency implementing regulations.</li> </ul>	All	All	All	Federal Register: December 6, 2000 (Volume 65, Number 235) [Notice] [Page 76260-76264]

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<b>9. National Historic Preservation</b> <ul style="list-style-type: none"> <li>The recipient agrees to identify to the awarding agency all property listed or eligible for listing on the National Register of Historic Places that will be affected by this award, and to provide all the help the awarding agency may need, with respect to the award.</li> <li>16 USC §470f</li> </ul>	All	All	All	
<b>10. Paperwork Reduction Act</b>	All	All	When data is collected from respondents using a questionnaire or other survey instrument. See, however, M-11-07 dated 12/9/10 entitled, "Facilitating Scientific Research by Streamlining the Paperwork Reduction Act Process."	Data collection activities, if any, performed under this project are the responsibility of the recipient, and awarding agency support of the project does not constitute approval of any survey design, questionnaire content, or data collection procedures. The recipient shall not represent to respondents that such data are being collected for or in association with the awarding agency without the specific written approval of the cognizant awarding agency official of such data collection plan or instrument. However, this requirement is not intended to preclude mention of awarding agency support of the project in response to an inquiry or acknowledgment of such support in any publication of these data.
<b>11. Recipient Integrity and Performance Matters Reporting of Matters Related to Recipient Integrity and Performance; Appendix XII to 2 CFR §200</b>	All	Recipient only. Does not apply to subrecipients.		
<b>12. Transparency Act - FFATA, PL 109-282</b>	All	All, including 1st tier subrecipients.	Awards of \$25,000 or more	Reporting grants and 1st tier subrecipients of \$25,000 or more and executive compensation reporting of same; limited exceptions.
<b>13. U.S. Flag Air Carriers</b>	All	All	Any air transportation to, from, between, or within a country other than the U.S. of persons or property, the expense of which will be assisted by Federal funding, must be performed by or under a code-sharing arrangement with a U.S.-flag air carrier if service provided by such a carrier is available (see Comp Gen. Decision B-240956, dated September 25, 1991).	49 USC §40118. See also General Services Administration amendment to the Federal Travel Regulations, Federal Register (Vol. 63, No. 219, 63417-63421).

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<b>14. Trafficking in Persons</b> <ul style="list-style-type: none"> <li>By signing or accepting funds under the agreement, the recipient agrees that it will comply with Trafficking Victims Protection Act of 2000 (22 USC §7104(g)) as implemented by 2 CFR §175.</li> </ul>	Grants and cooperative agreements and contracts	175.15(b)l.a. applies to private entities; 175.15(b)l.b. applies to other than private entities if award includes subrecipient award to a private entity; 117.15(b)l.c. applies to all recipients.		A. Associated with performance under this award; or B. Imputed to you or the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR §180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by the agency in its regulatory implementation of the OMB guidelines in 2 CFR §180. b. Provision applicable to a recipient other than a private entity. We as the Federal awarding agency may unilaterally terminate this award, without penalty, if a subrecipient that is a private entity-- 1. Is determined to have violated an applicable prohibition in paragraph a.1 of this award term; or 2. Has an employee who is determined by the agency official authorized to terminate the award to have violated an applicable prohibition in paragraph a.1 of this award term through conduct that is either-- i. Associated with performance under this award; or ii. Imputed to the subrecipient using the standards and due process for imputing the conduct of an individual to an organization that are provided in 2 CFR §180, "OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," as implemented by our agency . c. Provisions applicable to any recipient. 1. You must inform us immediately of any information you receive from any source alleging a violation of a prohibition in paragraph a.1 of this award term. 2. Our right to terminate unilaterally that is described in paragraph a.2 or b of this section: i. Implements section 106(g) of the Trafficking Victims Protection Act of 2000 (TVPA), as amended (22 USC §7104(g)), and ii. Is in addition to all other remedies for noncompliance that are available to us under this award. 3. You must include the requirements of paragraph a.1 of this award term in any subaward you make to a private entity.
<b>15. Whistleblower Protection</b> <ul style="list-style-type: none"> <li>Awardees are notified of the applicability of 41 USC §4712, as amended by PL 112-239, providing protection for whistleblowers.</li> </ul>	All	All	All	
<b>16. Use of United States Flag Vessels</b> <ul style="list-style-type: none"> <li>46 CFR §381</li> </ul>	Grants, cooperative agreements, loans and guarantee and advance of funds agreements.	All		
<b>17. Patents, Trademarks and Copyrights</b> <ul style="list-style-type: none"> <li>35 USC §202-204 and 37 CFR §401</li> </ul>	Grants and cooperative agreements	Awards to non-profits and small businesses.		
<b>18. Privacy Act</b> <ul style="list-style-type: none"> <li>5 USC §552a</li> </ul>	All	All		
<b>19. Pro Children Act</b> <ul style="list-style-type: none"> <li>20 USC §7183</li> </ul>	All	All	All awards performed in facilities where children are served.	

This listing of statutory/regulatory/and executive requirements is provided for information purposes only, and may not reflect all requirements that are applicable to a specific award.

Statutory/Regulatory/and Executive Based Requirements	Type of Award	Type of Recipient	Specific Situation	Requirement(s) That Should Be Noted by the Recipient
<b>20. Uniform Relocation Assistance and Real Property</b> <ul style="list-style-type: none"> <li>Acquisition Policies Act</li> <li>42 USC §4601 and 49 CFR §24</li> </ul>	All	All		
<b>21. Confidentiality of Patient/Client Records</b> <ul style="list-style-type: none"> <li>42 USC §290dd-2 and 42 USC §290ee-3</li> </ul>	All	All		
<b>22. Constitution Day</b> <ul style="list-style-type: none"> <li>PL 108-447</li> </ul>	All	All		
<b>23. Copeland Act</b> <ul style="list-style-type: none"> <li>40 USC §4135</li> </ul>	All	All		
<b>24. Davis Bacon Act</b> <ul style="list-style-type: none"> <li>40 USC §3141, et seq.</li> </ul>	All	All		
<b>25. Earthquake Hazards Reduction Act</b> <ul style="list-style-type: none"> <li>42 USC §7701, et seq.; EO 12699</li> </ul>	All	All	Construction awards within applicable geographic areas.	
<b>26. Faith-Based and Other Neighborhood Organizations</b> <ul style="list-style-type: none"> <li>EO 13559</li> </ul>	All	All		
<b>27. Federal Technology Transfer Act</b> <ul style="list-style-type: none"> <li>15 USC §63</li> </ul>	All	All		
<b>28. Freedom of Information Act</b> <ul style="list-style-type: none"> <li>5 USC §552</li> </ul>	All	All		
<b>29. Hatch Act</b> <ul style="list-style-type: none"> <li>5 USC §§7321-7328</li> </ul>	All	State or local Governments		
<b>30. Limited English Proficiency</b> <ul style="list-style-type: none"> <li>EO 13166</li> </ul>	All	All		
<b>31. Military Recruiting and Reserve Officer Training Access to Institutions of Higher Education</b> <ul style="list-style-type: none"> <li>10 USC §983</li> </ul>	All	Domestic institutions of higher education		
<b>32. Native American Graves Protection and Repatriation</b> <ul style="list-style-type: none"> <li>25 USC §§3001-3013</li> </ul>	All	All		
<b>33. Improving Free Inquiry, Transparency, and Accountability at Colleges and Universities (Executive Order on Campus Free Speech)</b> <ul style="list-style-type: none"> <li>EO 13864</li> </ul>	All	Institutions of higher education		

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Statutory/Regulatory/and Executive Based Requirements	Type of Award	Type of Recipient	Specific Situation	Requirement(s) That Should Be Noted by the Recipient
<p><b>34.</b> Risk review of applications and the Federal Awardee Performance and Integrity Information System (FAPIS)</p>	All	All	<p>As required by 2 CFR §200 of the Uniform Guidance, agencies must review and consider any information about the applicant that is in the Federal Awardee Performance and Integrity Information System (FAPIS) before making any award in excess of the simplified acquisition threshold (currently \$250,000) over the period of performance. An applicant may review and comment on any information about itself that a federal awarding agency previously entered.</p> <p>Agencies will consider any comments by the applicant, in addition to other information in FAPIS in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when completing the review of risk posed by applicants as described in 2 CFR §200.205, Federal Awarding Agency Review of Risk Posed by Applicants. As required by 2 CFR §200, Appendix XII of the Uniform Guidance, non-federal entities (NFEs) are required to disclose in FAPIS any information about criminal, civil, and administrative proceedings, and/or affirm that there is no new information to provide. This applies to NFEs that receive federal awards (currently active grants, cooperative agreements, and procurement contracts) greater than \$10,000,000 for any period of time during the period of performance of an award/project.</p>	