



## National Environmental Policy Act (NEPA) Determination

### Programmatic Categorical Exclusion

<b>Recipient:</b>	Multiple
<b>State:</b>	Multiple
<b>Project Title:</b>	Energizing Rural Communities Phase 1: Finance Track
<b>OCED NEPA Control Number:</b>	OCED-ERC Prize 1 Finance-004-CX

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

**CATEGORICAL EXCLUSION APPENDIX, NUMBER, AND DESCRIPTION:** Categorical Exclusion A8 AWARDS OF CERTAIN CONTRACTS: Awards of contracts for technical support services, management and operation of a government-owned facility, and personal services.

**Rationale for Determination:** The \$15 million Energizing Rural Communities (ERC) Prize challenges individuals and organizations to develop partnership plans or innovative financing strategies to help rural or remote communities improve their energy systems and advance clean energy demonstration projects. The application period for Phase 1 closed on May 24, 2023. This prize is part of the \$1 billion Energy Improvements in Rural or Remote Areas (ERA) Program, created by the U.S. Department of Energy’s Office of Clean Energy Demonstrations (OCED). The ERA Program supports projects that improve the resilience, reliability, safety, availability, and environmental performance of energy systems in rural or remote areas of the U.S. with populations of no more than 10,000 people. Through workshops and community input, the ERA Program has found that two of the biggest barriers to improving energy systems in rural or remote areas are developing the necessary partnerships and securing financing. Programmatic NEPA document means a broad-scope NEPA document that identifies and assesses the environmental impacts of a DOE program including routine, ongoing and reoccurring activities. Categorical exclusions listed in Appendix A of DOE’s NEPA Implementing Regulations are defined as general agency actions. DOE has ongoing and reoccurring prize competitions from different offices within the Department supporting various initiatives. Therefore, DOE has prepared a programmatic CX to cover the activities proposed by applicants to the ERC Prize. The Finance track—with a \$5 million cash prize pool—will support plans to access capital or to develop community ownership models to help finance clean energy demonstration projects in rural or remote areas. DOE has reviewed the finance track applications and has determined that those activities proposed would be covered by this programmatic CX. Those activities would include but are not limited to: development of financial models, establishing opportunity zones in local communities, conducting financial feasibility studies, building educational toolkits, creating a financing mechanism or approach for rural and remote

communities. DOE has considered potential impacts on resources, including those of an ecological, historical, and cultural nature. DOE does not anticipate adverse impacts to any sensitive resources because of the proposed activities.

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not:

- (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders;
- (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities;
- (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases;
- (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B (4) of 10 CFR Part 1021, Subpart D, Appendix B;
- (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B (5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal. The proposed action has not been segmented to meet the definition of a categorical exclusion.

This proposal is not connected to other actions with potentially significant impacts (40 CFR 1501.9(e)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.1(g)(3)) and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

DOE has determined that work to be carried out outside of the United States, its territories and possessions is exempt from further review pursuant to Section 5.1.1 of the DOE Final Guidelines for Implementation of Executive Order 12114; "Environmental Effects Abroad of Major Federal Actions."


The proposed action is categorically excluded from further NEPA review.

A portion of the proposed action is categorically excluded from further NEPA review.

**Notes:** This categorical exclusion applies to those activities associated with the ERC Phase 1 Finance Track Prize and does not authorize any construction related activities including site characterization and environmental monitoring. Any changes to the project activities or location are subject to additional

NEPA review by DOE and are not authorized for federal funding unless and until the Contracting Officer provides written authorization on those additions or modifications.

**SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.**

OCED NEPA Compliance Officer Signature: **Kristin Kerwin**  Digitally signed by  
Kristin Kerwin  
Date: 2023.06.20  
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Date: 06/20/2023