

**U.S. DEPARTMENT OF ENERGY
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY
NEPA DETERMINATION**



RECIPIENT: University of Kentucky

STATE: KY

PROJECT TITLE : Surface Enhanced Smart Preprocessing of Municipal Solid Wastes for Year-Round Supply of Conversion-Ready Feedstocks

Funding Opportunity Announcement Number	Procurement Instrument Number	NEPA Control Number	CID Number
	DE-EE0010295	GFO-0010295-001	GO10295

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

CX, EA, EIS APPENDIX AND NUMBER:

Description:

A9 Information gathering, analysis, and dissemination

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

B3.6 Small-scale research and development, laboratory operations, and pilot projects

Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide funding to the University of Kentucky (UK) to design and test methods for characterizing and processing municipal solid waste (MSW) to produce high-quality feedstocks for thermochemical conversion (e.g., gasification) activities.

Award activities would involve sorting, characterizing, processing, and converting MSW feedstock. MSW would be sorted into samples and characterized using conventional laboratory techniques and technologies. MSW samples would be blended with woody biomass and compacted (i.e., densified) into pellets (or similar smaller pieces) which would later be characterized. Pellet stability would be tested using an incubator. Pellet suitability for conversion would be tested by converting pellets to syngas (~20 L) via gasification. Outcomes of pellet characterization and testing activities would be used to determine ideal parameters for processing MSW and woody biomass into pellets and using the pellets as feedstock for conversion activities. Additional award activities would include the completion of a life cycle analysis, techno-economic analysis, and development of predictive models based on machine learning algorithms. Additional MSW processing and testing activities would be completed to validate the predictive models.

Approximately 2400 lb of MSW would be collected from an existing materials recovery facility of the Wasatch Integrated Waste Management District (Layton, UT). Approximately 500 lb of MSW would be collected for validation activities. Although the source of validation MSW is unknown at this time, it would be collected from an independent entity which handles MSW as part of its regular operations. Approximately 1000 lb of woody biomass would be used for award activities. The woody biomass would be derived from stockpiles produced from preexisting forestry operations which would operate independently from this award.

All activities would occur at preexisting purpose-built facilities for the type of work to be conducted for this award. Facility modifications would not be required. Laboratory facilities would be located at UK (Lexington, KY), Idaho National Laboratory (Idaho Falls, ID), and Iowa State University (Ames, IA). Award activities would involve typical hazards associated with handling, processing, and converting MSW, including handling and use of hazardous materials, operation of potentially hazardous equipment, and site-specific environmental hazards. Existing health, safety, and environmental policies and procedures would be followed to mitigate hazards to acceptable levels. Mitigated hazards would pose negligible risks to the public and environment. All activities would comply with existing federal, state, and local laws and regulations.

DOE has considered the scale, duration, and nature of proposed activities to determine potential impacts on resources, including those of an ecological, historical, cultural, and socioeconomic nature. DOE does not anticipate impacts on these resources which would be considered significant or require DOE to consult with other agencies or stakeholders. A diversity, equity, and inclusion plan would be implemented to encourage the inclusion of individuals from underrepresented groups in fields of science, technology, engineering, and mathematics.

Any work proposed to be conducted at a federal facility may be subject to additional NEPA review by the cognizant federal official and must meet the applicable health and safety requirements of the facility.

NEPA PROVISION

DOE has made a final NEPA determination.

Notes:

Bioenergy Technologies Office (BETO)
NEPA review completed by Dan Cahill, 04/18/2023.

FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

The proposed action is categorically excluded from further NEPA review.

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature: _____

Electronically
Signed By: Andrew Montano

NEPA Compliance Officer

Date: 4/19/2023

FIELD OFFICE MANAGER DETERMINATION

- Field Office Manager review not required
 Field Office Manager review required

BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :

Field Office Manager's Signature: _____

Field Office Manager

Date: _____