

**U.S. DEPARTMENT OF ENERGY**  
**OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY**  
**NEPA DETERMINATION**



**RECIPIENT:** [New York State Energy Research and Development Authority](#)

**STATE:** NY

**PROJECT TITLE :** [National Offshore Wind Research and Development Consortium](#)

<b>Funding Opportunity Announcement Number</b>	<b>Procurement Instrument Number</b>	<b>NEPA Control Number</b>	<b>CID Number</b>
<a href="#">DE-FOA-0001767</a>	<a href="#">DE-EE0008390</a>	<a href="#">GFO-0008390-054</a>	

**Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:**

**CX, EA, EIS APPENDIX AND NUMBER:**

Description:

**A9 Information gathering, analysis, and dissemination**

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide funding to the New York State Energy Research and Development Authority (NYSERDA) to form a not-for-profit 501(c)(3) entity, the "National Offshore Wind Research and Development Consortium" which would be led by NYSERDA, along with key industry stakeholders and research institutions. The Consortium would finance research initiatives seeking to address the technical barriers faced by offshore wind developers, original equipment manufacturers (OEMs) and supply chain partners, with the goal of reducing the Levelized Cost of Electricity (LCOE) for U.S. offshore wind plants and increasing opportunities for U.S. manufacturing.

The proposed project is divided into four (4) Budget Periods (BPs). DOE previously completed National Environmental Policy Act (NEPA) reviews for BP1, 2, 3, and 4 (GFO-0008390-001 CX A1, A9, and A13, 10/09/2018; GFO-0008390-002 CX A1, A9, and A13, 01/13/2020; GFO-0008390-019 CX A1, A9, and A13, 12/02/2020; GFO-0008390-042 CX A1, A9, A11, and A13, 12/28/2021). In addition, DOE completed NEPA reviews for 16 subawards made by the consortium under Task 19 and 22 subawards made by the consortium under Task 27, as well as awards under an Annual Operating Plan to the National Renewable Energy Laboratory (various CX determinations and dates). This NEPA review is for a sub award proposed to be made under Task 35 to Rutgers University.

Task 35 in BP4 involves reviewing applications received in response to the solicitation released in Task 34, and then choosing specific projects which would receive a sub award. While NYSERDA is allowed under the previous NEPA determination to proceed with choosing projects under Task 35, all projects chosen for sub award are subject to additional NEPA analysis prior to NYSERDA contracting for the sub award and prior to any work being completed on the sub award.

Under this proposed sub award, Rutgers University, Clarkson University, and the Electric Power Research Institute (EPRI) would develop forecasting models for offshore wind electricity generation and grid integration. Models would be validated, and demonstrations of the developed technologies would take place.

Proposed award activities would consist of conceptual design work, data analysis, computer modeling, and engineering research. All activities would occur at research facilities belonging to each of the three named participants. For Rutgers University, activities would take place at the campuses in Piscataway and New Brunswick, NJ. For Clarkson University, activities would take place at the campus in Potsdam, NY. For EPRI, activities would take place at the research office in Charlotte, NC.

All project activities would be completed in existing, purpose-built facilities. No physical modifications to existing facilities, ground disturbance, or changes to the use, mission, or operations of existing facilities would be required. No additional permits or authorizations would be required.

Because project activities would consist solely of computer based research and analysis, no significant health or

safety risks are anticipated. Nonetheless, award recipients would adhere to established institutional health and safety policies and procedures when performing project work. Award recipients would observe all applicable federal, state, and local health, safety, and environmental regulations.

DOE has considered potential impacts on resources, including those of an ecological, historical, cultural, and socioeconomic nature. DOE does not anticipate adverse impacts on these resources.

## NEPA PROVISION

DOE has made a conditional NEPA determination.

The NEPA Determination applies to the following Topic Areas, Budget Periods, and/or tasks:

Budget Period 1  
Budget Period 2  
Budget Period 3  
Budget Period 4  
Sub Award to Rutgers University

The NEPA Determination does not apply to the following Topic Area, Budget Periods, and/or tasks:

All sub awards made under Task 35 (in Budget Period 4) will be subject to additional NEPA review prior to any work being completed on those projects.

Notes:

Wind Energy Technologies Office (WETO)  
NEPA review completed by Andrew McClellan, 3/20/2023

## FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

A portion of the proposed action is categorically excluded from further NEPA review. The NEPA Provision identifies Topic Areas, Budget Periods, tasks, and/or subtasks that are subject to additional NEPA review.

## SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature:

 Electronically Signed By: Casey Strickland

NEPA Compliance Officer

Date: 4/4/2023

## FIELD OFFICE MANAGER DETERMINATION

- Field Office Manager review not required
- Field Office Manager review required

**BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :**

Field Office Manager's Signature: \_\_\_\_\_  
Field Office Manager

Date: \_\_\_\_\_