

U.S. Department of Energy Categorical Exclusion Determination Form

Submit by E-mail

<u>Proposed Action Title</u>: Exploratory Topics: Low-Energy Nuclear Reactions (LENR) Program (FOA No. DE-FOA-0002784 and DE-FOA-0002785)

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): CA, DC, MA, MD, MI, NH, TX

Proposed Action Description:

The LENR Program seeks to determine whether low-energy nuclear reactions (LENR) could be the basis for a potentially transformative carbon-free energy source. Specifically, projects funded under the LENR Program will build upon and and leverage existing research to definitively determine if LENR is a viable and repeatable technology for energy generation. If successful, LENR could potentially become a disruptive technology with myriad energy, defense, transportation, and space applications, all with strong implications for U.S. technological leadership. For energy applications, LENR could potentially contribute to decarbonizing sectors such as industrial heat and transportation (~50% of U.S. and global CO2-equivalent emissions).

The LENR Program is composed of 8 small-scale research and development projects that will be conducted by universities, for-profit entities, small business, and a federal laboratory. This Determination covers 8 of the 8 projects (listed in Attachment A). All 8 projects fit within the class of actions identified under the DOE Categorical Exclusions identified below. This assessment was based on a review of the proposed scope of work and the potential environmental impacts of each project. All project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and Local regulatory requirements.

Categorical Exclusion(s) Applied:

- A9 Information gathering, analysis, and dissemination
- B3.6 Small-scale research and development, laboratory operations, and pilot projects
- B3.15 Small-scale indoor research and development projects using nanoscale materials

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of 10 CFR Part 1021.

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: GEOFFREY GOODE Digitally signed by GEOFFREY GOODE Date: 2023.04.21 14:51:33 -04'00'

Attachment A: Projects in the Exploratory Topics, LENR (FOA No. DE-FOA-0002784 and DE-FOA-0002785) Program

Prime Recipient (Control No.)	Project Title	Categorical Exclusion
University of Michigan (2784-1510)	Ionizing Radiation Detection for Exploratory Experiments in Low- Energy Nuclear Reactions	A9, B3.6
University of Michigan (2784-1516)	Systematic Evaluation of Claims of Excess Heat Generation from Deuteration of Palladium-Nickel Nanocomposites	A9, B3.6
Texas Tech University (2784-1521)	Advanced Materials Characterization and Nuclear Product Detection for LENR	A9, B3.6, B3.15
Lawrence Berkeley National Laboratory (2784-1522)	Quantifying Nuclear Reactions in Metal Hydrides at Low Energies	A9, B3.6
Massachusetts Institute of Technology (2784-1526)	Neutron Emission from Laser-Stimulated Metal Hydrides	A9, B3.6
Stanford University (2784-1527)	Nuclear Product Detection from Deuterated Nanoparticles Under Phonon Stimulation	A9, B3.6, B3.15
Energetics Technology Center (2784-1532)	CATHODE (CATHode scintillatOr Detector for Electrochemistry)	A9, B3.6
Amphionic LLC (2785-1511)	Nanostructured Pd-Anf Composites for Controlled LENR Exploitation	A9, B3.6, B3.15