## Office of the Inspector General Proposed Appropriation Language

For expenses necessary for the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, [\$86,000,000] *\$165,161,000,* to remain available until [September 30, 2024] *expended.* 

#### **Public Law Authorizations**

- Public Law 95-452, "Inspector General Act of 1978"
- Public Law 103-356, "Government Management Reform Act (GMRA) of 1994"
- Public Law 106-531, "Reports Consolidation Act of 2000"
- Public Law 107-347, "Federal Information Security Modernization Act (FISMA) of 2014"
- Public Law 111-5, "American Recovery & Reinvestment Act (ARRA) of 2009"
- Public Law 111-258, "Reducing Over-Classification Act"
- Public Law 112-194, "Government Charge Card Abuse Prevention Act of 2012"
- Public Law 112-199, "Whistleblower Protection Enhancement Act of 2012"
- Public Law 113-6, "Consolidated and Further Continuing Appropriations Act of 2013/2014 Omnibus Appropriations Act"
- Public Law 113-101, "Digital Accountability and Transparency Act"
- Public Law 114-117, "Grants Oversight and New Efficiency Act"
- Public Law 115-53, "Cybersecurity Act of 2015"
- Public Law 114-261, "To Enhance Whistleblower Protection for Contractor and Grantee Employees"
- Public Law 116-117, "The Payment Integrity Information Act of 2019 (PIIA)"
- Public Law 117-58, "The Infrastructure Investment and Jobs Act (IIJA)"
- Public Law 117-169, "The Inflation Reduction Act of 2022 (IRA)"

## Office of the Inspector General

|                    | (\$K)              |                    |
|--------------------|--------------------|--------------------|
| FY 2022<br>Enacted | FY 2023<br>Enacted | FY 2024<br>Request |
| 78,000             | 86,000             | 165,161            |

#### Overview

The Office of the Inspector General (OIG) is dedicated to its mission to strengthen the integrity, economy, and efficiency of the Department of Energy's (DOE) programs and operations.

#### Context and Urgency of FY 2024 Funding for OIG

The FY 2024 budget request would increase our base budget to \$165.2 million (M) to begin the transition to a resource benchmark of 0.35% of the Department's base budget. This constitutes a significant step toward correcting our base budget independent of the significant oversight budget shortfall resulting from the funding provided in the Infrastructure Investment and Jobs Act (IIJA) and the Inflation Reduction Act (IRA).

With a FY 2024 budget of \$165.2M, the DOE OIG would remain significantly underfunded to oversee the hundreds of billions of dollars appropriated, or provided in direct loans and loan guarantee authority, to the Department under IIJA and IRA. The chart below shows the OIG-estimated supplemental oversight funding shortfall.

| BILLS             | DOE    | OIG     | Percent OIG<br>to DOE | OIG Estimated<br>Requirements<br>0.35% | OIG Funding<br>Shortfall |
|-------------------|--------|---------|-----------------------|--|--------------------------|
| IIJA <sup>1</sup> | \$64B  | \$62.5M | 0.10%                 | \$224.8M                               | \$162.3M                 |
| IRA <sup>2</sup>  | \$44B  | \$20.0M | 0.05%                 | \$155.6M                               | \$135.6M                 |
| TOTAL             | \$108B | \$82.5M | 0.08%                 | \$380.5M                               | \$297.9M                 |

<sup>1</sup> The DOE amount of \$64 billion includes \$62.5 billion plus 3.2% of \$46.6 billion in loan and loan guarantee authorized in IIJA. This 3.2% must be re-visited in the second installment of funding needed for the OIG.

 $^2$  The DOE amount of \$44 billion includes \$35 billion plus 3.2% of \$290 billion in loan and loan guarantee authorized in IRA. This 3.2% must be re-visited in the second installment of funding needed for the OIG.

Under IIJA, CHIPS and Science Act (CHIPS), and IRA, Congress authorized and/or appropriated \$127.5 billion to DOE and increased DOE's direct loan and loan guarantee authority to \$350 billion. However, IIJA, CHIPS, and IRA only appropriated a small amount of the money to the OIG to oversee these funds. DOE has already begun rolling out some of these enhanced programs, and it will continue to receive funding to do so over the next five years. The OIG will need additional funds to perform risk assessments, evaluations, data analytics, audits, inspections, and investigations in order to conduct appropriate oversight of these historic expenditures.

DOE OIG respectfully requests Congress to consider vehicles to provide the additional funding the DOE OIG needs to keep pace with funding distribution provided to DOE per supplemental funding. The incremental funding schedule necessary for DOE OIG to keep pace with the Department is shown below:

FY 2023: \$221.2M (IRA and IIJA) FY 2024: \$ 26.9M (IIJA) FY 2025: \$ 27.1M (IIJA) FY 2026: \$ 22.7M (IIJA) TOTAL: \$297.9M

The DOE OIG does not include the amounts authorized under CHIPS in its estimate. In that Act, the Department was authorized \$30.5 billion in new funding of the total \$67 billion authorized under the Act. The DOE OIG respectfully requests

#### Office of the Inspector General

FY 2024 Congressional Justification

that the OIG be appropriated 0.35% of whatever funds are appropriated to the Department under the authorizations in the CHIPS Act of 2022.

The DOE OIG underfunding is particularly problematic because DOE and the National Nuclear Security Administration (NNSA) manage a high-risk portfolio and a highly diverse and technical mission. This mission includes maintaining the nuclear arsenal in a combat ready posture, the recently established Office of Clean Energy Demonstrations, operating the National Laboratory system, and cleaning up the nation's largest environmental legacy sites.

There are considerable risks associated with leaving the DOE OIG significantly underfunded for another fiscal year. It has been established that early and extensive support from Inspectors General on the "front end" assists the agencies to prevent fraud, waste and abuse. Because the DOE OIG is so poorly funded under IIJA and IRA, the OIG's efforts on the front end will be diminished. For example, the DOE OIG will have little resources to conduct near-term audits and inspections of internal controls for both new and pre-existing programs that received large increases in funding under these pieces of legislation. The DOE OIG will also have little resources to tailor our use of data analytics to IIJA and IRA, increase our cybersecurity capabilities, and allow for the use of subject matter experts, all of which would directly protect the new and enhanced programs under these statutes.

The Administration has proposed \$150 million in additional funding for under-resourced OIGs and has identified the DOE OIG as one of the under-resourced OIGs. The Administration has not yet announced the amount of this funding that might be allocated to the DOE OIG. Over the next few years, the OIG will be requesting additional appropriations to offset the lack of funding provided to the OIG in the IIJA, CHIPS, and IRA Acts.

As you consider providing adequate upfront funding for the base budget and oversight of IIJA and IRA programs, please also consider designating any such funds as no-year funds. No-year funding would allow the DOE OIG to adequately plan our resources over the entire period that the funds will be expended by the Department, which is a combination of ten-year funds and no-year funds, and then continue to investigate the fraud matters that will be discovered and prosecuted for many years to come. Along the same lines, please note that IIJA and IRA create a complex landscape of enhancing numerous existing programs and creating numerous new programs. The end result is that the Department's footprint has grown substantially across the board. Therefore, the OIG respectfully requests that the additional funds be appropriated for the purposes set forth in the Inspector General Act of 1978 as amended<sup>1</sup>.

### Highlights of the FY 2024 Budget Request

The OIG will utilize these resources to prevent fraud, waste, and abuse and to enhance the efficiency and effectiveness of the Department's programs and operations. The OIG's focus will include:

- Incurred Cost Audits of Management and Operating (M&O) Contracts. The OIG will continue conducting, independent incurred cost audits of the Department's M&O Contracts, valued at \$19 billion per year as of FY 2022. Additionally, the CHIPS Act authorized \$50 billion for the Office of Science, for which the OIG will be responsible for conducting independent incurred cost audits of the funds that are distributed to the National Laboratories.
- Audits. The OIG performs audits on Departmental programs and operations, focused on providing reliable and credible financial and performance information. The scope of this work is determined through a risk-based approach focused on areas of greatest risk to the Department. Significant increases in the Department's funding correlate to a direct increase in the risk of fraud, waste, and abuse. Audits provide substantial deterrence and detection capabilities over taxpayer funds and give Departmental management and Congress a well-informed perspective.

<sup>&</sup>lt;sup>1</sup> Public Law 117-286 (December 27, 2022) restated and incorporated the Inspector General Act of 1978, as amended, into a new Chapter 4 of Title 5 to the United States Code. That law changed references to "the Inspector General Act of 1978" to "chapter 4 of title 5, United States Code." This document, however, uses the Inspector General Act of 1978 as the references to the new code location have not yet been fully implemented. If such implementation occurs before submission, the suggested language will need to be revised.

- Data Analytics. The OIG will continue to expand its utilization of data analytics. For example, the newly established programs under IIJA, CHIPS and IRA present an exciting "ground floor" opportunity to collect quality data to allow us to identify trends and provide near term indications of fraud, waste, and abuse related to these expenditures.
- **Cybersecurity Oversight Efforts.** The OIG is responsible for the audit and evaluation of the Department's unclassified systems. The Department has experienced substantial problems with cybersecurity. As the Department's expenditures increase under IIJA, CHIPS and IRA, it will become increasingly important to secure these systems from vulnerabilities that could result in the loss of billions of dollars' worth of innovative or sensitive technologies developed using taxpayer dollars.
- Inspections, Intelligence/Counterintelligence Oversight, and Special Projects. The OIG's inspection teams will continue focusing on intelligence and counterintelligence oversight and will continue conducting timely and objective inspections of the programs and performance of the Department. The OIG's inspection teams are currently preparing to conduct the first round of inspections in order to begin evaluating the Department's internal controls developed to protect the expenditures under IIJA, CHIPS Act and IRA. Additionally, these teams will continue to address allegations received through the OIG's Hotline, and whistleblower complaints, which have increased dramatically in recent years.
- Investigations. In recent years, the OIG has experienced a 36 percent increase in the number of criminal investigations and a substantial increase in the dollar value of contractor fraud cases, resulting in additional work by the Office of Investigations. The increased level of information sharing and collaboration within the Department and among other federal agencies, the OIGs increased use of data analytics, and the OIGs performance of incurred cost audits have further increased Investigations workload. Additionally, the OIG will continue to utilize Special Assistant U.S. Attorneys to support the DOJ's prosecution of criminal matters and work with the Department's suspension and debarment officials with respect to administrative remedies.
- Mandatory Disclosure Rule. The Mandatory Disclosure Rule (MDR) is a significant element of the contract integrity framework. The MDR mandates that the contractors establish an employee concerns program and disclose potential fraud to the OIG. OIG inspections revealed significant deficiencies in MDR reporting. Therefore, the OIG is developing a comprehensive and modernized approach to MDR reporting. The new reporting format will allow for more complete and expedited reporting, which will increase the volume of OIG investigations, inspections, and audits.
- Facilities/Technology. The OIG will continue its efforts to open offices in strategic locations and acquire a sensitive compartmented information facility. Additionally, the OIG will be addressing IT solutions to the problem of the OIG operating on a multitude of networks, which results in delays, missed communications, and a loss of productivity in the performance of daily OIG operations.
- NNSA Modernization Efforts. NNSA has undertaken a modernization effort that involves major projects such as the weapons complex transformation. The OIG will conduct audits, inspections, reviews, and assessments to identify opportunities to improve the efficiency and effectiveness of these modernization efforts.
- Environmental Management. The Department's environmental cleanup and disposal liabilities of \$519,660,000,000 remains on the Government Accountability Office's Biennial High Risk List. The OIG will continue its efforts to review the efficacy of the Department's environmental programs to prevent fraud, waste, and abuse.

# Office of the Inspector General Funding (\$K)

|   | FY 2022<br>Enacted | FY 2023<br>Enacted | FY 2024<br>Request | FY 2024 Request vs<br>FY 2023 Enacted (\$) | FY 2024 Request vs<br>FY 2023 Enacted (%) |
|---|--------------------|--------------------|--------------------|--|---|
|   |                    |                    |                    |  |   |
| Office of the Inspector General/Program Direction             |                    |                    |                    |  |   |
| Salaries and Benefits   | 54,405             | 62,801             | 129,288            | +66,487                                    | 106%                                      |
| Travel  | 2,619              | 2,619              | 3,723              | +1,104                                     | 42%                                       |
| Support Services  | 12,355             | 9,439              | 14,525             | +5,086                                     | 54%                                       |
| Other Related Expenses  | 8,621              | 11,141             | 17,625             | +6,484                                     | 58%                                       |
| Total, Office of the Inspector General/Program Direction      | 78,000             | 86,000             | 165,161            | +79,161                                    | 92%                                       |
| Federal FTEs  | 335                | 355                | 602                | +247                                       | 70%                                       |
| Support Services  |                    |                    |                    |  |   |
| Management Support  | 11,570             | 8,634              | 13,623             | +4,989                                     | 58%                                       |
| Federal Information Security Modernization Act (FISMA)        | 785                | 805                | 902                | +97  | 12%                                       |
| Total, Support Services                                       | 12,355             | 9,439              | 14,525             | +5,086                                     | 54%                                       |
| Other Related Expenses  |                    |                    |                    |  |   |
| Council of the Inspectors General on Integrity and Efficiency | 216                | 283                | 661                | +378                                       | 134%                                      |
| (CIGIE)   |                    |                    |                    |  |   |
| Information Technology  | 1,910              | 2,672              | 7,087              | +4,415                                     | 165%                                      |
| Infrastructure  | 500                | 2,000              | 2,613              | +613                                       | 31%                                       |
| Training  | 1,585              | 1,656              | 2,354              | +698                                       | 42%                                       |
| Working Capital Fund  | 3,173              | 3,173              | 3,372              | +199                                       | 6%  |
| Other Related Expenses  | 1,237              | 1,357              | 1,538              | +181                                       | 13%                                       |
| Total, Other Related Expenses                                 | 8,621              | 11,141             | 17,625             | +6,484                                     | 58%                                       |

## Office of Inspector General

#### Activities and Explanation of Changes

| FY 2023 Enacted  | FY 2024 Request   | Explanation of Changes<br>FY 2024 Request vs FY 2023 Enacted  |
|--|---|---|
| Program Direction \$86,000,000   | \$165,161,000   | +\$79,161,000   |
| Salaries and Benefits \$62,801,000   | \$129,288,000   | +\$66,487,000   |
| Funding supports 355 Federal staff with specialized skill<br>sets (e.g., Certified Public Accountants, Cyber, Data<br>Analytics, Technology Crime Investigators, and Certified<br>Fraud Examiners) who identify significant Departmental<br>program and operational challenges.  | Increased staffing levels are needed to oversee the<br>increasing risks at the Department, and the increased<br>base funding. Additionally, continuing the internal<br>independent incurred cost audit program and the<br>identification of significant Departmental challenges<br>with the FTE level of 602. | The funding increase reflects an increase in FTE usage by<br>247 FTEs. Additional FTEs will enable OIG to provide<br>additional oversight of the Department's increasing risks,<br>and the independent incurred cost audit program. Assumes<br>5.2 percent pay increase in civilian salaries, FERS increase,<br>and supplemental funds for performance award pool<br>increase in FY 2024. |
| Travel \$2,619,000   | \$3,723,000   | +\$1,104,000  |
| Funding supports travel to provide oversight at DOE's 25 geographically dispersed facilities.  | Continue to perform audits, inspections, and investigations across the DOE complex.   | Funding directly reflects support for increased personnel<br>and workload, the expansion of audits, analytics, cyber, and<br>forensic efforts in direct support of the OIG's mission.   |
| Support Services \$9,439,000   | \$14,525,000  | +\$5,086,000  |
| Funding directly reflects interagency support services<br>and contracts necessary to support the independent<br>incurred cost audit program. Provides support for the<br>Federal Information Security Modernization Act of 2014<br>(FISMA). Annual independent evaluations to determine<br>whether the Department of Energy's unclassified<br>cybersecurity program adequately protected its data<br>and information systems.  | Increased management support to the independent<br>incurred cost audit program. Continued support for<br>independent annual evaluations in accordance with<br>FISMA.  | Funding increase directly reflects an increase in interagency<br>support services and contracts necessary to support the<br>increased staffing for the independent incurred cost audit<br>program within the OIG. The funding also includes increased<br>FISMA support.   |
| Other Related Expenses \$11,141,000  | \$17,625,000  | +\$6,484,000  |
| Funding includes critical training for the OIG staff to<br>maintain required levels of proficiency and comply with<br>the Inspector General Act. Funding also supports<br>forensic hardware and software requirements needed<br>to accomplish investigative responsibilities. Funds are<br>included for mandatory support for Council of the<br>Inspectors General on Integrity and Efficiency (CIGIE)<br>and to fund OIG's share of the DOE Working Capital<br>Fund and Energy IT Services. | Increased support to training, information technology<br>needs, secure infrastructure, and other requirements<br>in the performance of the OIG duties.  | The funding increase reflects increased forensic efforts,<br>training support, personnel security investigations, and<br>building a secure infrastructure. The OIG will also need to<br>increase its investments in cloud technology, forensic<br>hardware, and software to sustain the data analytics<br>program, cyber, and technical crimes capabilities.                              |

#### Office of the Inspector General

#### Infrastructure Investment and Jobs Act (\$K)

The Office of the Inspector General was appropriated funds through the Infrastructure Investment and Jobs Act (IIJA) at a rate of one-tenth of one percent of the total annual amounts made available to the Department.

| Appropriated Funding<br>Organization | FY 2022<br>IIJA Funding | FY 2023<br>IIJA Funding | FY 2024<br>IIJA Funding | Managing<br>Organization |
|--------------------------------------|-------------------------|-------------------------|-------------------------|--------------------------|
| Office of the Inspector General      |                         |                         |                         |                          |
| Departmental Oversight               | 18,686                  | 13,100                  | 10,788                  | N/A                      |

• Departmental Oversight: The OIG will perform risk assessments, evaluations, data analytics, audits, inspections, and investigations in order to conduct appropriate oversight of IIJA expenditures.

## Inflation Reduction Act (IRA) (\$K)

The Office of the Inspector General was appropriated funds through the Inflation Reduction Act of 2022 (IRA).

| Appropriated Funding Organization | FY 2022<br>IRA Funding |  |
|-----------------------------------|------------------------|--|
| Office of the Inspector General   |                        |  |
| Departmental Oversight            | 20,000                 |  |

• **Departmental Oversight:** The OIG will perform risk assessments, evaluations, data analytics, audits, inspections, and investigations in order to conduct appropriate oversight of IRA expenditures.

# U.S. Department of Energy Fiscal Year 2024 Budget Justification GAO-IG Act Required Reporting

## Summary

The information in this report responds to requirements in The Good Accounting Obligation in Government Act (PL 115-414). The Act requires disclosure of certain information regarding the status of audit recommendations in the annual budget justification submitted to Congress, as submitted with the budget of the President under section 1105 of title 31, United States Code.

Table 1 provides a summary of the GAO and OIG audit reports issued during FY 2022.

## Table 1: Audit Reports Issued During FY 2022

| AUDIT REPORTS   | NUMBER OF<br>IG REPORTS | NUMBER OF GAO<br>REPORTS |
|---|-------------------------|--------------------------|
| Final Reports Issued in FY 2022   | 44                      | 56                       |
| Final Reports Issued in FY 2022 Not Requiring<br>Corrective Actions               | 18                      | 35                       |
| Final Reports Issued in FY 2022 Requiring Corrective<br>Actions                   | 26                      | 21                       |
| Final Reports Issued in FY 2022 That Remain Open for<br>Further Follow-up Actions | 20                      | 19                       |

This Budget Request includes a listing of the GAO and OIG reports issued during Fiscal Year 2022 with recommendations that are considered by DOE to be open for further follow-up actions. Table 2 lists the relevant GAO reports and Table 3 lists the relevant OIG reports. Detailed information about the specific actions taken and planned in response to GAO and OIG recommendations is maintained by the Department and is available to Congress upon request.

# Table 2: GAO Reports issued during FY 2022 considered by DOE to be open for further follow-up actions as of October 1, 2023.

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Advanced Research Projects Agency-Energy                      |
| <b>Report Number</b>     | GAO-22-104677   |
| Report Title             | Small Business Research Programs: Agencies Should Further Improve Award |
|                          | Timeliness  |
| <b>Final Report Date</b> | 10/14/2021  |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Clean Energy Demonstrations                                   |
| <b>Report Number</b>     | GAO-22-105111   |
| <b>Report Title</b>      | Carbon Capture and Storage: Actions Needed to Improve DOE Management of |
|                          | Demonstration Projects  |
| <b>Final Report Date</b> | 12/20/2021  |

| Field Name Field Description |
|------------------------------|
|------------------------------|

| <b>DOE Lead Office</b>   | Office of Cybersecurity, Energy Security, and Emergency Response   |
|--------------------------|--|
| <b>Report Number</b>     | GAO-22-105093  |
| Report Title             | Lessons Learned for Responding to Disaster-Caused Grid Disruptions |
| <b>Final Report Date</b> | 6/9/2022   |

| Field Name                | Field Description  |
|---------------------------|--|
| <b>DOE Lead Office</b>    | Office of the Chief Financial Officer                            |
| <b>Report Number</b>      | GAO-22-104541  |
| Report Title              | Financial Management: DOE and NNSA Have Opportunities to Improve |
|                           | Management of Carryover Balances                                 |
| <b>Final Report Date:</b> | 7/25/2022  |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Environmental Management                                       |
| <b>Report Number</b>     | GAO-22-104490  |
| <b>Report Title</b>      | Nuclear Waste Cleanup: DOE Needs to Better Coordinate and Prioritize Its |
|                          | Research and Development Efforts   |
| <b>Final Report Date</b> | 10/28/2021   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Environmental Management                                       |
| <b>Report Number</b>     | GAO-22-104365  |
| <b>Report Title</b>      | Nuclear Waste Disposal: Actions Needed to Enable DOE Decision that Could |
|                          | Save Tens of Billions of Dollars   |
| <b>Final Report Date</b> | 12/09/2021   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Environmental Management  |
| <b>Report Number</b>     | GAO-22-105057   |
| <b>Report Title</b>      | Waste Isolation Pilot Plant: Construction Challenges Highlight the Need for |
|                          | DOE to Address Root Causes  |
| <b>Final Report Date</b> | 3/15/2022   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Environmental Management                                      |
| <b>Report Number</b>     | GAO-22-104805   |
| <b>Report Title</b>      | Nuclear Waste: DOE Needs Greater Leadership Stability and Commitment to |
|                          | Accomplish Cleanup Mission  |
| <b>Final Report Date</b> | 5/3/2022  |

| Field Name           | Field Description                  |
|----------------------|------------------------------------|
| DOE Lead Office      | Office of Environmental Management |
| <b>Report Number</b> | GAO-22-104772                      |

| Report Title             | Hanford Cleanup: DOE Has Opportunities to Better Ensure Effective Startup |
|--------------------------|---|
|                          | and Sustained Low-Activity Waste Operations                               |
| <b>Final Report Date</b> | 6/14/2022   |

| Field Name             | Field Description  |
|------------------------|--|
| <b>DOE Lead Office</b> | Office of Environmental Management                                   |
| Report Number          | GAO-22-105417  |
| Report Title           | Nuclear Waste Cleanup: Actions Needed to Determine Whether DOE's New |
|                        | Contracting Approach is Achieving Desired Results                    |
| Final Report Date      | 9/28/2022  |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Environmental Management  |
| <b>Report Number</b>     | GAO-22-105636   |
| Report Title             | Nuclear Waste: DOE Needs to Improve Transparency in Planning for Disposal |
| _                        | of Certain Low-Level Waste  |
| <b>Final Report Date</b> | 9/29/2022   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Fossil Energy and Carbon Management                            |
| <b>Report Number</b>     | GAO-22-104637  |
| Report Title             | Refined Coal Production Tax Credit: Coordinated Agency Review Could Help |
| _                        | Ensure the Credit Achieves Its Intended Purpose                          |
| <b>Final Report Date</b> | 12/15/2021   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Fossil Energy and Carbon Management                          |
| <b>Report Number</b>     | GAO-22-104824  |
| <b>Report Title</b>      | Critical Minerals: Building on Federal Efforts to Advance Recovery and |
|                          | Substitution Could Help Address Supply Risks                           |
| <b>Final Report Date</b> | 6/16/2022  |

| Field Name             | Field Description   |
|------------------------|---|
| <b>DOE Lead Office</b> | Office of the Chief Information Officer                                   |
| Report Number          | GAO-22-105065   |
| Report Title           | Privacy: Dedicated Leadership Can Improve Programs and Address Challenges |
| Final Report Date      | 9/22/2022   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Management   |
| <b>Report Number</b>     | GAO-22-103854  |
| Report Title             | Department of Energy: Improvements Needed to Strengthen Strategic Planning |
|                          | for the Acquisition Workforce  |
| <b>Final Report Date</b> | 11/16/2021   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                            |
| <b>Report Number</b>     | GAO-22-104810   |
| Report Title             | National Nuclear Security Administration: Actions Needed to Improve |
|                          | Usefulness of Common Financial Data                                 |
| <b>Final Report Date</b> | 2/17/2022   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                          |
| <b>Report Number</b>     | GAO-22-104506   |
| Report Title             | Nuclear Security Enterprise: NNSA Could Enhance Its Evaluation of |
|                          | Manufacturing-Related R&D Performance                             |
| <b>Final Report Date</b> | 5/20/2022   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                                |
| Report Number            | GAO-22-104195   |
| Report Title             | Nuclear Weapons Cybersecurity: NNSA Should Fully Implement Foundational |
|                          | Cybersecurity Risk Management Practices                                 |
| <b>Final Report Date</b> | 9/22/2022   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Nuclear Energy   |
| Report Number            | GAO-22-105394  |
| Report Title             | Nuclear Energy Projects: DOE Should Institutionalize Oversight Plans for |
|                          | Demonstration of New Reactor Types                                       |
| <b>Final Report Date</b> | 9/13/2022  |

Table 3: OIG Reports issued during FY 2022 considered by DOE to be open for further follow-up actions as of October 1, 2023.

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Carlsbad Field Office                                       |
| <b>Report Number</b>     | DOE-OIG-22-29   |
| <b>Report Title</b>      | Fire Protection Concerns at the Waste Isolation Pilot Plant |
| <b>Final Report Date</b> | 4/15/2022   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of the Chief Financial Officer                            |
| <b>Report Number</b>     | DOE-OIG-22-19  |
| <b>Report Title</b>      | Management Letter on The Department of Energy's Fiscal Year 2021 |
|                          | Consolidated Financial Statements                                |
| <b>Final Report Date</b> | 1/3/2022   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of the Chief Financial Officer                                     |
| <b>Report Number</b>     | DOE-OIG-22-37   |
| Report Title             | The Department of Energy's Payment Integrity Reporting in the Fiscal Year |
|                          | 2021 Agency Financial Report  |
| <b>Final Report Date</b> | 6/24/2022   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Enterprise Assessments  |
| Report Number            | DOE-OIG-22-32   |
| Report Title             | Corrective Actions on the Office of Enterprise Assessments Findings and |
|                          | Deficiencies  |
| <b>Final Report Date</b> | 5/18/2022   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of Economic Impact and Diversity                                |
| Report Number            | DOE-OIG-22-18  |
| Report Title             | Allegations Regarding Management Conduct within the Office of Economic |
|                          | Impact and Diversity   |
| <b>Final Report Date</b> | 12/23/2021   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Office of Energy Efficiency & Renewable Energy              |
| <b>Report Number</b>     | DOE-OIG-22-02   |
| Report Title             | Financial Assistance Allegations at the Golden Field Office |
| <b>Final Report Date</b> | 10/25/2021  |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of the Chief Information Officer                                    |
| <b>Report Number</b>     | DOE-OIG-22-23  |
| <b>Report Title</b>      | Management Letter on The Department of Energy's Unclassified Cybersecurity |
|                          | Program for Fiscal Year 2021   |
| <b>Final Report Date</b> | 1/14/2022  |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Office of the Chief Information Officer                                  |
| <b>Report Number</b>     | DOE-OIG-22-44  |
| Report Title             | Follow-up on the Department of Energy's Implementation of the Geospatial |
|                          | Data Act of 2018   |
| <b>Final Report Date</b> | 9/27/2022  |

| Field Name             | Field Description   |
|------------------------|---|
| <b>DOE Lead Office</b> | Office of Management  |
| <b>Report Number</b>   | DOE-OIG-22-17   |
| Report Title           | Special Report on Summary of Findings on Audits of Selected Department of |
| _                      | Energy Childcare Centers  |

| <b>Final Report Date</b> | 12/23/2021 |
|--------------------------|------------|
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| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                                  |
| <b>Report Number</b>     | DOE-OIG-22-04   |
| Report Title             | Allegations Related to the Y-12 National Security Complex Fire Department |
| <b>Final Report Date</b> | 11/8/2021   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                               |
| <b>Report Number</b>     | DOE-OIG-22-15  |
| Report Title             | Subcontract Administration at the Kansas City National Security Campus |
| <b>Final Report Date</b> | 12/21/2021   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                   |
| Report Number            | DOE-OIG-22-16  |
| Report Title             | Sandia National Laboratories' Subcontract Closeout Process |
| <b>Final Report Date</b> | 12/21/2021   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                             |
| Report Number            | DOE-OIG-22-25  |
| Report Title             | Allegation of Unauthorized Derivative Classifier Reviewing Documents |
| <b>Final Report Date</b> | 1/27/2022  |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration                              |
| <b>Report Number</b>     | DOE-OIG-22-26   |
| <b>Report Title</b>      | Los Alamos National Laboratory Steam Plant Energy Savings Performance |
|                          | Contract – Phase One  |
| <b>Final Report Date</b> | 2/8/2022  |

| Field Name               | Field Description                                   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | National Nuclear Security Administration            |
| Report Number            | DOE-OIG-22-41                                       |
| Report Title             | Firearms Disposal at Los Alamos National Laboratory |
| <b>Final Report Date</b> | 8/15/2022   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Oak Ridge National Laboratory                                       |
| Report Number            | DOE-OIG-22-43   |
| Report Title             | The Management of Emergency Communications Systems at the Oak Ridge |
|                          | Reservation   |
| <b>Final Report Date</b> | 9/21/2022   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Richland Operations Office                                       |
| <b>Report Number</b>     | DOE-OIG-22-12  |
| Report Title             | Audit of HPM Corporation Occupational Medical Service's Billings |
| <b>Final Report Date</b> | 12/7/2021  |

| Field Name             | Field Description  |
|------------------------|--|
| <b>DOE Lead Office</b> | Science Consolidated Service Center                                      |
| Report Number          | DOE-OIG-22-05  |
| Report Title           | Management of the Cybersecurity Program at the SLAC National Accelerator |
|                        | Laboratory   |
| Final Report Date      | 11/10/2021   |

| Field Name               | Field Description   |
|--------------------------|---|
| <b>DOE Lead Office</b>   | Science Consolidated Service Center   |
| Report Number            | DOE-OIG-22-13   |
| Report Title             | Allegations of Quality Assurance Irregularities in the National Spherical Torus |
|                          | Experiment Upgrade Recovery Project   |
| <b>Final Report Date</b> | 12/7/2021   |

| Field Name               | Field Description  |
|--------------------------|--|
| <b>DOE Lead Office</b>   | Science Consolidated Service Center                                    |
| Report Number            | DOE-OIG-22-21  |
| Report Title             | Audit on Personal Property Held by Subcontractors at Lawrence Berkeley |
|                          | National Laboratory  |
| <b>Final Report Date</b> | 1/12/2022  |