



# U.S. Department of Energy

## Categorical Exclusion Determination Form

Submit by E-mail

Proposed Action Title: Oak Ridge National Laboratory - A Portable Diagnostic Package for Spectroscopic Measurement of Key Plasma Parameters in Transformative Fusion Energy Devices

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Oak Ridge, Tennessee

Proposed Action Description:

FIRST AMENDED NEPA DETERMINATION: (See attached original Determination, dated November 6, 2021). This First Amended Determination follows the approval of additional funds and period of performance to support the project's small-scale research and development activities to develop and assemble a portable diagnostic package to make measurements of key plasma parameters (ne, Te, ni, Ti, vi) from a variety of transformative fusion energy devices. Specifically, the project team will (1) definitively demonstrate the capability of the developed diagnostic package, and (2) ready the diagnostic package to deploy for use in future fusion experiments. If successful, the package will provide reliable, lower cost measurements on-site at each fusion experiment.

Project activities will be conducted at existing facilities at Oak Ridge National Laboratory (Oak Ridge, TN) designed for the applicable activities. Project tasks continue to fit within the class of actions identified under the DOE Categorical Exclusions identified below and do not involve any extraordinary circumstances that may affect the significance of the environmental effects of the project. This assessment was based on a review of the proposed scope of work and the potential environmental impact of the project. Project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and local regulatory requirements.

Categorical Exclusion(s) Applied:

A9 - Information gathering, analysis, and dissemination

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: **GEOFFREY GOODE** Digitally signed by GEOFFREY GOODE  
Date: 2022.12.16 14:27:03 -05'00'

Date Determined:



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Proposed Action Title: Oak Ridge National Laboratory -- A Portable Diagnostic Package for Spectroscopic Measurement of Key Plasma Parameters in Transformative Fusion Energy Devices

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Oak Ridge, TN; Redmond, WA; Seattle, WA; Princeton, NJ

Proposed Action Description:

Funding will support the project team's small-scale research and development activities to develop and assemble a diagnostic package to make measurements of key plasma parameters (ne, Te, ni, Ti, vi) from a variety of transformative fusion energy devices. Specifically, the project team will (1) utilize expertise to assemble commercial off-the-shelf instrumentation into the diagnostic package, and (2) transport the package to host institutions and train host technical staff to use it measure the applicable parameters in their fusion devices. If successful, the package will provide reliable, lower cost measurements on site at each fusion experiment.

Project activities will be conducted at existing facilities at Oak Ridge National Laboratory (Oak Ridge, TN), University of Tennessee-Knoxville (Knoxville, TN), Helion Energy (Redmond, WA), CTFusion (Seattle, WA), and PPPL, Princeton, NJ designed for the applicable activities. Project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and local regulatory requirements. No modifications will be made to existing facilities to accommodate the proposed work.

Categorical Exclusion(s) Applied:

A9 - Information gathering, analysis, and dissemination

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer:

(This form will be locked for editing upon signature)

Date Determined: 11/06/2019