

The following **Annual Freedom of Information Act (FOIA)** report covers the Period
10/01/2020 through 09/30/2021 as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. *Name, title, address, and telephone number of person(s) to be contacted with questions about the Report.*

Mr. David Brown, Director
Office of Administration, MA-40
U.S. Department of Energy
1000 Independence Avenue, SW
Washington, DC 20585
(202)586-5710

Mr. Alexander Morris, FOIA Officer
FOIA Office, MA-46
Office of Public Information
U.S. Department of Energy
1000 Independence Avenue, SW
Washington, DC 20585
(202)586-5955

2. *Provide an electronic link for access to the Report on the agency Web site.*

<https://www.energy.gov/management/office-management/operational-management/freedom-information-act/documents/annual-reports>.

3. *Explain how to obtain a copy of the Report in paper form.*

A paper copy of the report can be obtained at the Headquarters FOIA Public Reading Room located in the Forrestal Building, 1000 Independence Avenue, SW, room 1G-051, Washington, DC 20585, or by submitting a request to the FOIA Office. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

II. MAKING A FOIA REQUEST

1. *Provide names, addresses and telephone numbers of all individual agency components that receive FOIA requests.*

For the names, addresses and telephone numbers, visit our website at <https://www.energy.gov/management/office-management/operational-management/freedom-information-act/foia-contacts>.

2. *Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.*

The Department of Energy's (DOE) mission is to advance the national, economic, and energy security of the United States; promote scientific and technological innovation in support of the mission; and ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. DOE invokes several of the FOIA's exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under FOIA to protect national security, proprietary interests of submitters, personal privacy of individuals, and allow open and frank discussion as part of business deliberations and policy.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. *Include the following definitions and terms used in this Report:*

- a. **Administrative Appeal** – a request to a Federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average is 8.
- c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized bases, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** – a Federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** – a FOIA request is generally a request to a Federal agency for access to records concerning another person (i.e., a “third party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “system of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in the Report. Additionally, a FOIA request includes records referred to the agency for

processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** – an agency decision not to release any records in response to a FOIA request because the records are exempt in the entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median is 7.
- k. **Multi-Track Processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - 1. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - 2. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - 3. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- l. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.

- n. **Perfected Request** – a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

2. *Descriptions of the nine FOIA exemptions:*

- a. **Exemption 1:** classified national defense and foreign relations information
- b. **Exemption 2:** information that is related solely to the internal personnel rules and practices of any agency
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law
- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law

enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual

- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
BPA	Bonneville Power Administration
CBFO	Carlsbad Field Office
CH	Chicago Office
EMCBC	Environmental Management Consolidated Business Center
GFO	Golden Field Office
HQ	Headquarters
ID	Idaho Operations Office
NETL	National Energy Technology Laboratory
NNSA	National Nuclear Security Administration
NR	Office of Naval Reactors
NRLFO	Naval Reactors Laboratory Field Office

ORO	Oak Ridge Office
OSTI	Office of Scientific and Technical Information
ROO	Richland Operations Office
SEPA	Southeastern Power Administration
SPR	Strategic Petroleum Reserve
SRO	Savannah River Office
SWPA	Southwestern Power Administration
WAPA	Western Area Power Administration

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency/Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or	Sinkfield v. HUD, No. 10-885, 2012 U.S. dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D.	BPA	1	2
			ID	1	

	incorporated by reference into contracts	Nev. Mar 31, 2011); Hornbostel v. U.S. Dep't of Interior, 305 F. Supp. 2d 21, 30 v. (D.D.C. 2003), summary of affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. cir. Aug 25, 2004).			
42 U.S.C. § 2162	"Restricted Data" pertaining to atomic weapons and special nuclear material and certain safeguards information for nuclear material for consistency in reporting amongst agencies	Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986); Va. Sunshine All. v. NRC, 509 F. Supp. 863 (D.D.C 1981), aff'd per curiam on other grounds, 669 F.2d 788 (D.C. Cir. 1981).	NRLFO	1	11
			NNSA	10	
5 U.S.C. app. § 105	Financial disclosure reports and applications to inspect financial disclosure reports	N/A	HQ	5	5
26 U.S.C. §§ 6103, 6105	Certain tax return information, to	Church of Scientology v. IRS, 484 U.S. 9, 15	HQ	9	10
			ROO	1	

	include Taxpayer Identification Numbers of third parties, and certain tax convention information	(1987) (26 U.S.C. § 6103); Elec. Priv. Info. Ctr. v. IRS, 910 F.3d 1232 (D.C. Cir. 2018); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).			
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))	Contractor bid or proposal information; source selection information	Legal & Safety Emp. Rsch., Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BPA	1	3
			ID	1	
			NNSA	1	
31 U.S.C. § 3730(b)(2)	Records pertaining to civil actions for false claims against the	n/a	HQ	2	2

	United States Government				
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V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
HQ	413	799	791	421
CH	8	58	61	5
ID	1	18	15	4
ORO	23	109	98	34
OSTI	9	18	8	19
ROO	1	38	38	1
SRO	3	19	20	2
NRLFO	2	1	1	2
NR	0	0	0	0
CBFO	2	1	0	3
GFO	6	5	2	9
EMCBC	7	31	31	7
BPA	28	76	76	28
SEPA	0	2	0	2

NR	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0
GFO	0	2	0	0	0	0	0	0	0	0	0	0	2
EMCBC	10	7	0	5	4	2	1	0	0	1	1	0	31
BPA	24	33	0	15	0	3	0	1	0	0	0	0	76
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	1	0	0	0	0	0	0	1
WAPA	0	1	0	0	0	2	1	0	0	0	0	0	4
SPR	3	0	0	1	0	0	0	1	0	0	0	0	5
NETL	1	7	0	7	0	1	0	0	0	0	2	0	18
NNSA	65	55	4	48	15	29	0	4	1	6	12	0	239
AGENCY OVERALL	273	319	14	506	61	148	6	14	4	36	27	0	1408

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	n/a	0	0

CH	n/a	0	0
ID	n/a	0	0
ORO	n/a	0	0
OSTI	n/a	0	0
ROO	n/a	0	0
SRO	n/a	0	0
NRLFO	n/a	0	0
NR	n/a	0	0
CBFO	n/a	0	0
GFO	n/a	0	0
EMCBC	n/a	0	0
BPA	n/a	0	0
SEPA	n/a	0	0
SWPA	n/a	0	0
WAPA	n/a	0	0
SPR	n/a	0	0
NETL	n/a	0	0
NNSA	n/a	0	0
AGENCY OVERALL			0

SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	6	1	2	0	0	0	0	0	0	0	0
NNSA	2	1	11	13	16	42	0	0	1	0	9	6	0	0
AGENCY OVERALL	4	15	33	71	99	267	8	0	38	0	35	7	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
HQ	12	14	14	12
AGENCY OVERALL	12	14	14	12

After reviewing its database, HQ updated its Number of Appeals Pending as of Start of Fiscal Year.

AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	2	8
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VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	Agency Performed Adequate Search	4	8
	Moot	4	
AGENCY OVERALL			8

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
HQ	10	11	1	22
AGENCY OVERALL	10	11	1	22

CH	5	6.82	<1	25	22	31.75	1	87	n/a	n/a	n/a	n/a
EMCBC	3	52.14	<1	289	37	94.67	<1	677	n/a	n/a	n/a	n/a
GFO	53	53	52	54	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
HQ	24	95.6	<1	1046	128	210.26	1	1280	401.5	354.5	153	500
ID	15	16.53	<1	59	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
NETL	10.5	15.67	<1	64	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
NNSA	31.5	31.5	7	56	78	192.74	<1	1958	n/a	n/a	n/a	n/a
NR	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
NRLFO	20	20	20	20	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
ORO	36.5	65.92	<1	521	0	<1	<1	<1	n/a	n/a	n/a	n/a
OSTI	9	99.5	1	409	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
ROO	9	8.28	<1	27	16	28.33	5	79	n/a	n/a	n/a	n/a
SEPA	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
SPR	5.5	14.25	1	45	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
SRO	45.5	46.15	1	86	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
SWPA	5	5	5	5	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
WAPA	127	122.33	50	190	547	547	547	547	n/a	n/a	n/a	n/a
AGENCY OVERALL	21	75.35	<1	1046	90.50	187.48	<1	1958	401.50	354.50	153	500

EMCBC	8	5	5	0	1	1	1	0	0	0	0	1	2	24
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	29	26	20	24	18	11	13	14	10	8	24	22	50	269
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	54	25	22	18	13	14	8	7	5	14	19	5	28	232
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	5	1	2	1	0	0	0	0	0	0	0	0	0	9
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	1	1
AGENCY OVERALL	111	68	52	49	33	29	26	22	19	23	47	34	84	597

SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	1	1	0	0	1	3	6

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BPA	2	71.5	71	26	58	98	0	n/a	n/a
CBFO	0	n/a	n/a	3	290	336	0	n/a	n/a
CH	0	n/a	n/a	3	18	18	0	n/a	n/a
EMCBC	1	153	153	5	250	563	0	n/a	n/a
GFO	8	207	228	0	n/a	n/a	0	n/a	n/a
HQ	33	92	167	378	237.5	334	3	496	440
ID	4	58.5	61	0	n/a	n/a	0	n/a	n/a
NETL	0	n/a	n/a	0	n/a	n/a	0	n/a	n/a
NNSA	0	n/a	n/a	248	238.5	369	1	392	392

NR	0	n/a	n/a	0	n/a	n/a	0	n/a	n/a
NRLFO	2	548.5	548	0	n/a	n/a	0	n/a	n/a
ORO	33	166	258	1	1077	1077	0	n/a	n/a
OSTI	17	125	346	1	121	121	0	n/a	n/a
ROO	0	n/a	n/a	0	n/a	n/a	0	n/a	n/a
SEPA	2	213.5	213	0	n/a	n/a	0	n/a	n/a
SPR	0	n/a	n/a	0	n/a	n/a	0	n/a	n/a
SRO	2	16	16	0	n/a	n/a	0	n/a	n/a
SWPA	0	n/a	n/a	0	n/a	n/a	0	n/a	n/a
WAPA	3	54	147	1	477	477	0	n/a	n/a
AGENCY OVERALL	107	123	216.02	666	212	337.34	4	444	428

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BPA	Date of Receipt	2021- 04-13	2021- 03-29	2021- 03-29	2021- 03-26	2021- 03-16	2021- 03-10	2021- 01-07	2020- 10-28	2020- 09-25	2019- 11-18

	Number of Days Pending	120	131	131	132	140	144	186	233	255	471
CBFO	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	n/a	2020-11-24	2020-08-05	2019-09-27
	Number of Days Pending	0	0	0	0	0	0	0	215	291	505
CH	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	n/a	2021-09-28	2021-09-27	2021-07-20
	Number of Days Pending	0	0	0	0	0	0	0	3	4	52
EMCBC	Date of Receipt	n/a	n/a	n/a	n/a	2021-08-18	2021-08-03	2021-02-24	2020-10-01	2019-11-04	2013-08-19
	Number of Days Pending	0	0	0	0	31	42	154	251	480	2016
GFO	Date of Receipt	n/a	n/a	2021-08-24	2021-05-26	2021-03-23	2021-02-01	2020-10-08	2020-08-17	2020-04-13	2019-09-19
	Number of Days Pending	0	0	27	89	135	170	246	283	371	511
HQ	Date of Receipt	2017-09-15	2017-09-01	2017-08-11	2017-08-01	2016-10-12	2016-09-21	2016-02-23	2015-09-23	2014-03-13	2013-05-21
	Number of Days Pending	1015	1024	1039	1047	1248	1262	1410	1512	1776	2100

ID	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	2021-09-14	2021-07-20	2021-06-28	2021-04-19
	Number of Days Pending	0	0	0	0	0	0	13	52	67	116
NETL	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NNSA	Date of Receipt	2017-06-12	2017-05-23	2017-04-13	2017-02-28	2017-02-27	2017-01-11	2016-12-01	2016-09-29	2016-08-16	2016-08-01
	Number of Days Pending	1081	1094	1122	1154	1155	1186	1213	1255	1286	1297
NR	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	2020-09-02	2018-06-15
	Number of Days Pending	0	0	0	0	0	0	0	0	271	828
ORO	Date of Receipt	2020-04-01	2020-03-03	2019-12-17	2019-12-04	2019-10-22	2019-08-08	2018-12-07	2017-06-28	2017-05-17	2017-01-17

SWPA	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
WAPA	Date of Receipt	n/a	n/a	n/a	n/a	n/a	n/a	2021-08-02	2021-07-15	2020-05-14	2019-11-06
	Number of Days Pending	0	0	0	0	0	0	43	55	348	478
AGENCY OVERALL	Date of Receipt	2016-09-29	2016-09-21	2016-08-16	2016-08-01	2016-06-14	2016-02-23	2015-09-23	2014-03-13	2013-08-19	2013-05-21
	Number of Days Pending	1255	1262	1286	1297	1331	1410	1512	1776	2016	2100

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BPA	0	6	9	31.67	5
CBFO	0	0	n/a	n/a	0

CH	0	2	0.5	0.5	2
EMCBC	0	1	2	2	1
GFO	0	0	n/a	n/a	0
HQ	3	32	4	17.97	28
ID	0	0	n/a	n/a	0
NETL	0	0	n/a	n/a	0
NNSA	0	6	10	10.83	3
NR	0	0	n/a	n/a	0
NRLFO	0	0	n/a	n/a	0
ORO	0	0	n/a	n/a	0
OSTI	0	1	14	14	0
ROO	0	1	2	2	1
SEPA	0	0	n/a	n/a	0
SPR	0	0	n/a	n/a	0
SRO	0	0	n/a	n/a	0
SWPA	0	0	n/a	n/a	0
WAPA	0	0	n/a	n/a	0
AGENCY OVERALL	3	49	6	17.37	40

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BPA	0	1	29	29
CBFO	0	0	n/a	n/a
CH	0	2	1	1
EMCBC	0	0	n/a	n/a
GFO	0	0	n/a	n/a
HQ	55	18	3	24.49
ID	0	0	n/a	n/a
NETL	0	0	n/a	n/a
NNSA	6	0	55	45.67
NR	0	0	n/a	n/a
NRLFO	0	0	n/a	n/a
ORO	0	0	n/a	n/a
OSTI	0	0	n/a	n/a
ROO	2	0	2	2
SEPA	0	0	n/a	n/a
SPR	0	0	n/a	n/a

SRO	0	0	n/a	n/a
SWPA	0	0	n/a	n/a
WAPA	0	1	110	110
AGENCY OVERALL	63	22	3	25.96

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BPA	4	1.57	5.57	649583.96	8333.08	657917.04
CBFO	0	0.15	0.15	6200.00	0.00	6200.00
CH	0	1.05	1.05	129229.35	0.00	129229.35
EMCBC	3	1.00	4.00	165595.00	0.00	165595.00
GFO	1	0.00	1.00	87039.50	0.00	87039.50
HQ	24	12.27	36.27	4679331.29	334032.00	5013363.29

ID	0	0.25	0.25	24339.00	0.00	24339.00
NETL	1	0.20	1.20	75000.00	0.00	75000.00
NNSA	8	2.00	10.00	1300000.00	9000.00	1309000.00
NR	0	0.00	0.00	0.00	0.00	0.00
NRLFO	0	0.05	0.05	8459.75	0.00	8459.75
ORO	1	0.50	1.50	90000.00	0.00	90000.00
OSTI	0	0.39	0.39	49784.43	0.00	49784.43
ROO	1	3.00	4.00	285035.45	0.00	285035.45
SEPA	0	0.25	0.25	2022.09	0.00	2022.09
SPR	0	0.30	0.30	31329.00	0.00	31329.00
SRO	0	0.40	0.40	38464.00	0.00	38464.00
SWPA	0	0.25	0.25	11550.00	0.00	11550.00
WAPA	0	0.10	0.10	7500.00	0.00	7500.00
AGENCY OVERALL	43	23.73	66.73	7640462.82	351365.08	7991827.90

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BPA	0.00	0.0000
CBFO	0.00	0.0000
CH	3876.34	3.0000
EMCBC	0.00	0.0000
GFO	0.00	0.0000
HQ	0.00	0.0000
ID	0.00	0.0000
NETL	0.00	0.0000
NNSA	0.00	0.0000
NR	0.00	0.0000
NRLFO	0.00	0.0000
ORO	0.00	0.0000
OSTI	0.00	0.0000
ROO	679.48	0.2400
SEPA	0.00	0.0000
SPR	0.00	0.0000

SRO	0.00	0.0000
SWPA	0.00	0.0000
WAPA	0.00	0.0000
AGENCY OVERALL	4555.82	0.0600

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
BPA	0
CBFO	0
CH	0
EMCBC	0
GFO	0
HQ	0
ID	0
NETL	0
NNSA	0

NR	0
NRLFO	0
ORO	0
OSTI	0
ROO	0
SEPA	0
SPR	0
SRO	0
SWPA	0
WAPA	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
BPA	40	0

CBFO	0	0
CH	2	0
EMCBC	0	0
GFO	0	0
HQ	77	13
ID	0	0
NETL	0	0
NNSA	11	0
NR	0	0
NRLFO	0	0
ORO	0	0
OSTI	1	0
ROO	19	1394
SEPA	0	0
SPR	0	13
SRO	0	0
SWPA	0	0
WAPA	0	0
AGENCY OVERALL	150	1420

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BPA	0	n/a
CBFO	3	n/a
CH	1	n/a
EMCBC	6	n/a
GFO	8	n/a
HQ	374	12
ID	3	n/a
NETL	0	n/a
NNSA	225	n/a
NR	0	n/a
NRLFO	2	n/a
ORO	32	n/a
OSTI	18	n/a
ROO	0	n/a

SEPA	2	n/a
SPR	0	n/a
SRO	0	n/a
SWPA	0	n/a
WAPA	4	n/a
AGENCY OVERALL	678	12

XII.B. CONSULTATIONS ON FOIA REQUESTS-- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BPA	0	2	2	0
CBFO	0	0	0	0
CH	0	12	11	1
EMCBC	0	0	0	0

GFO	0	1	0	1
HQ	184	82	121	145
ID	0	0	0	0
NETL	0	0	0	0
NNSA	18	54	32	40
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	1	1	0
OSTI	0	0	0	0
ROO	0	1	1	0
SEPA	0	1	0	1
SPR	0	0	0	0
SRO	0	0	0	0
SWPA	0	0	0	0
WAPA	0	0	0	0
AGENCY OVERALL	202	154	168	188

	Number of Days	0	0	0	0	0	0	0	0	0	37
SPR	Date	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days	0	0	0	0	0	0	0	0	0	0
SRO	Date	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days	0	0	0	0	0	0	0	0	0	0
SWPA	Date	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days	0	0	0	0	0	0	0	0	0	0
WAPA	Date	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	2019-03-26	2019-03-12	2018-09-10	2018-05-31	2018-05-07	2018-02-08	2017-07-24	2017-01-09	2016-10-13	2015-09-29
	Number of Days	627	637	768	838	845	906	1052	1188	1246	1507

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	77	76	65	76
CBFO	5	1	5	0
CH	40	58	34	61
EMCBC	36	31	46	31
GFO	14	5	13	2
HQ	834	799	808	791
ID	12	18	12	15
NETL	13	19	15	18
NNSA	238	289	248	239
NR	0	0	0	0
NRLFO	6	1	5	1
ORO	74	109	69	98

OSTI	8	18	5	8
ROO	39	38	39	38
SEPA	0	2	0	0
SPR	14	6	14	5
SRO	29	19	28	20
SWPA	1	1	1	1
WAPA	13	2	9	4
AGENCY OVERALL	1453	1492	1416	1408

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BPA	0	0
CBFO	2	3
CH	0	1

EMCBC	7	6
GFO	5	8
HQ	330	374
ID	1	3
NETL	0	0
NNSA	180	225
NR	0	0
NRLFO	1	2
ORO	21	32
OSTI	8	18
ROO	0	0
SEPA	0	2
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	1	4
AGENCY OVERALL	556	678

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
HQ	47	14	46	14
AGENCY OVERALL	47	14	46	14

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
HQ	13	12
AGENCY OVERALL	13	12