

FY 2021 Q1

Overall Root Cause Analysis (RCA)/Corrective Action Plan (CAP) Performance Metrics

No.	Contract/Project Management Performance Metrics	FY 2021 Target	FY 2021 Forecast	Comment
1	Capital Asset Project Management Success: Complete 90% of capital asset projects at original scope and within 110% of CD-2 TPC.	90%	Construction 83%	Based on 3-year rolling period (FY19 to FY21). TPC is Total Project Cost.

No.	Contract/Project Management Performance Metrics	FY 2021 Target	FY 2021 Q1 Actual	Comment
2	Certified EVM Systems: Post CD-3, greater than \$100 million.	95%	100%	EVM: Earned Value Management. CD-3: Critical Decision-3, Approve Start of Construction/Execution.
3	Certified FPD's at CD-1: Projects have certified FPDs no later than CD-1.	95%	100%	FPD: Federal Project Director CD-1: Critical Decision-1, Approve Alternative Selection and Cost Range.
4	Certified FPD's at CD-3: Projects have FPDs certified at the appropriate level assigned to projects no later than CD-3.	90%	76%	
5	Certified Contracting Staff: By the end of FY 2011, 85% of the 1102 contracting series will be certified.	85%	96%	Certified 1102s verified against DOE Human Resources GS-1102 job series
6	Schedule Compliance, Projects Less Than 5 Years Duration: Projects will meet the project schedule metric that follows: from CD-3 to CD-4, projects less than five years duration will be completed within 12 months of the original CD-3/4 duration.	90%	100%	FY19-FY21: 7 completions through 1st Qtr. CD-4: Critical Decision-4, Approve Start of Operations/Project Completion.
7	Schedule Compliance, Projects Greater Than 5 Years Duration: Projects will meet the project schedule metric that follows: from CD-3 to CD-4, projects greater than five years duration will be completed within 20% of the original CD-3/4 duration.	90%	67%	FY19-FY21: 3 completions through 1st Qtr.

Note: Projects \$50M or less that have achieved Critical Decision (CD)-2/3 prior to the applicability threshold change to DOE Order 413.3B (Change 5) are included in the project management success metrics.

FY 2021 Q1 Project Management Performance Metrics
Supporting Detail for Overall Project and Schedule Success

Program	PARS II Project ID	Project Name	Site Code	CD-2 TPC	Latest TPC	Project Status	FY Completed	Success Metric		
								#1 Project Success	#6 Schedule Success < 5 Years	#7 Schedule Success > 5 Years
EM-L	389	Salt Waste Processing Facility (SWPF)	SRS	900,000,000	2,260,000,000	Completed	2020	No Cost	NA	No
NA	400	Mixed Oxide Fuel Fabrication Facility (MOX)	SRS	4,814,329,000		Cancelled - Post CD-2	2019	No Scope	NA	NA
SC	736	Materials Design Laboratory (MDL)	ANL	96,000,000	96,000,000	Completed	2020	Yes	Yes	NA
SC	844	LSST Camera	SLAC	168,000,000	168,000,000	Active	2021	Yes (F)	TBD	TBD
SC	929	Mid-Scale Dark Energy Spectroscopic Instrument (DESI)	LBNL	56,328,000	54,418,000	Completed and Closed	2020	Yes	NA	Yes
SC	1007	Photon Science Laboratory Building (PSLB)	SLAC	57,000,000	56,959,000	Completed	2019	Yes	Yes	NA
SC	1008	Integrative Genomics Building (IGB) Project	LBNL	91,500,000	91,500,000	Completed	2020	Yes	Yes	NA
SC	1009	Infrastructure and Operational Improvements (IOI) Project	PPPL	26,000,000	25,669,000	Completed and Closed	2019	Yes	Yes	NA
NA	1013	Radioactive Liquid Waste Treatment Facility Upgrade Project Low Level Waste	LANL	82,694,000	87,974,630	Completed and Closed	2019	Yes	Yes	NA
SC	1023	LUX-Zeplin Dark Matter Experiment (LZ)	LBNL	55,500,000	53,590,000	Completed	2020	Yes	NA	Yes
NA	1085	UPF Substation Subproject	Y-12	60,000,000	43,622,000	Completed	2020	Yes	Yes	NA
NA	1111	Exascale Class Computer Cooling Equipment	LANL	55,800,000	35,800,000	Completed	2020	Yes	Yes	NA

Notes:

NA: Not Applicable

(F): (Forecast)

TBD: Result will be determined when Critical Decision-4 Project Completion is approved.

Summary	Project Success	Schedule Success < 5 Years	Schedule Success > 5 Years
# Projects	12	7	3
# Success	10	7	2
% Success	83%	100%	67%

FY 2021 Q1 Project Management Performance Metrics
Metric #2) Certified Contractor EVM Systems: 100% (23 of 23). Exceptions:

Program	Project Name	Site Code	Current CD	Current TPC (\$K)	CD-3 Is EVMS Certified?	Comments
-	None	-	-	-	-	-

Metric #3) Percentage of Projects With CD-1 and a Certified FPD: 100% (33 of 33). Exceptions:

Program	Project Name	Site Code	Current CD	Current TPC (\$K)	CD-1 Is FPD Certified?	Comments
-	None	-	-	-	-	-

Metric #4) Percentage of Projects With CD-3 and a Properly Certified FPD: 76% (25 of 33). Exceptions:

Program	Project Name	Site Code	Current CD	Current TPC (\$K)	CD-3 Is FPD Properly Certified?	Comments
NE	Sample Preparation Laboratory (SPL)	INL	CD3	\$ 166,000	No	FPD is not certified at the proper level
NA	Calcliner Project	Y-12	CD3	\$ 107,800	No	FPD is not certified
NA	Exascale Computing Facility Modernization Project	LLNL	CD3	\$ 111,200	No	FPD is not certified at the proper level
SC	Core Facility Revitalization (CFR) Project	BNL	CD3	\$ 74,850	No	FPD is not certified at the proper level
SC	Gamma-Ray Energy Tracking Array (GRETA)	LBNL	CD3	\$ 58,303	No	FPD is not certified
SC	HL-LHC Accelerator Upgrade (HL-LHC-AUP)	FNAL	CD3	\$ 242,720	No	FPD is not certified at the proper level
SC	SNS Proton Power Upgrade (PPU)	ORNL	CD3	\$ 271,567	No	FPD is not certified at the proper level
EM-L	Tank-Side Cesium Removal System Demonstration Subproject	ORP	CD3	\$ 164,000	No	FPD is not certified at the proper level