

PMC-ND  
(1.08.09.13)

**U.S. DEPARTMENT OF ENERGY  
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY  
NEPA DETERMINATION**

**RECIPIENT:** Case Western Reserve University**STATE:** OH

**PROJECT TITLE:** Robust PV Performance Loss Rate Prediction: Using Spatiotemporal Graph Neural Network Models in a Reliable System-Topology-Aware Learning Framework

<b>Funding Opportunity Announcement Number</b>	<b>Procurement Instrument Number</b>	<b>NEPA Control Number</b>	<b>CID Number</b>
DE-FOA-0002243	DE-EE0009353	GFO-0009353-001	

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

**CX, EA, EIS APPENDIX AND NUMBER:**

Description:

**A9 Information gathering, analysis, and dissemination** Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

**A11 Technical advice and assistance to organizations** Technical advice and planning assistance to international, national, state, and local organizations.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to Case Western Reserve University to use machine learning, deep learning, and artificial intelligence approaches to develop a computer model which would predict the Performance Loss Rate (PLR) of photovoltaic (PV) plants. Rather than studying the performance of PV power plants individually, models will use data from various PV plants in a system's fleet to illustrate how plants correlate in space and time and address questions of long-term performance for fleets of plants. Models would be used to understand PV challenges related to gaps in datasets or uncertain values, performance loss rate of PV plants over lifetimes, and inferring factors affecting PV plant performance loss rate.

Proposed project activities would include literature searches and information gathering, data transfer, data analysis, algorithm development, computer modeling, analytical review, and document preparation. There would be no change in the use, mission, or operation of existing facilities as part of this project and no additional permits required in order to conduct any of the work activities. Case Western Reserve University would oversee the project. Sandia National Laboratories would assist with analytical activities. TerraForm Power, SunPower Corp., and Canadian Solar Solutions, Inc. would provide time-series power plant data for the project.

The proposed project would consist solely of intellectual, academic, and/or analytical activities. All project activities would be conducted from existing offices or computational facilities and use no materials beyond basic office supplies, software, and computer hardware.

Any work proposed to be conducted at a federal facility may be subject to additional NEPA review by the cognizant federal official and must meet the applicable health and safety requirements of the facility.

**NEPA PROVISION**

DOE has made a final NEPA determination.

Notes:

**Solar Energy Technologies Office**

This NEPA determination does not require a tailored NEPA provision.

Review completed by Shaina Aguilar on 2/2/2021.

**FOR CATEGORICAL EXCLUSION DETERMINATIONS**

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

The proposed action is categorically excluded from further NEPA review.

**SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.**

NEPA Compliance Officer Signature: \_\_\_\_\_

  
NEPA Compliance Officer

Date: 2/24/2021

**FIELD OFFICE MANAGER DETERMINATION**

- Field Office Manager review not required
- Field Office Manager review required

**BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :**

Field Office Manager's Signature: \_\_\_\_\_

Field Office Manager

Date: \_\_\_\_\_