

# DOE Bioenergy Technologies Office (BETO) 2021 Project Peer Review

## Multi-pronged approach to improving carbon utilization by cyanobacterial cultures

March 23, 2021  
Advanced Algal Systems  
WBS: 1.3.2.410

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# Project Overview

**Problem statement:** Bubbling cultures or ponds with CO<sub>2</sub>-enriched air is very inefficient as most of the CO<sub>2</sub> escapes into the atmosphere

This contributes to cost (CO<sub>2</sub> is \$50-100/tonne at scale) as well as to greenhouse gas emissions

**Our approach:** Test both physical/physicochemical and biological approaches to enhance carbon utilization, determine which one(s) are best, and then combine those. All are new approaches in the context of scalable microalgal production, so high risk

## **Where we are in the project:**

- Start: October 2018
- Verification complete / start of project: January 2019
- Verification meeting, Go/No-Go at end of BP2: March 2020
- End of project: September 2021

# Project Overview

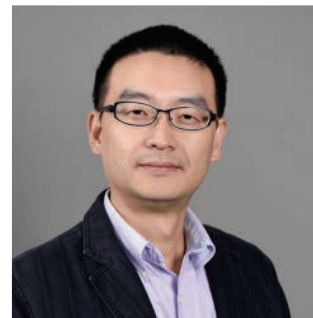
*Test both physical/physicochemical and biological approaches to enhance carbon utilization, determine which one(s) are best, and then combine those*

- **Task 2.** Enhance CO<sub>2</sub> absorption and retention in cyanobacterial culture media
  - CO<sub>2</sub> nanobubbles: greatly increased stability of gaseous CO<sub>2</sub> in the culture medium
  - Amines: react with CO<sub>2</sub> forming carbamates, thus pulling more CO<sub>2</sub> into the medium
- **Task 3.** Enhance bicarbonate uptake by the cyanobacteria
  - Overexpress bicarbonate transporters from different cyanobacterial strains in our strain
- **Task 4.** Introduce additional CO<sub>2</sub> fixation and conservation mechanisms in cyanobacteria
  - Overexpress enzymes that appeared to enhance carbon utilization in other studies
  - Minimize CO<sub>2</sub> production by cyanobacteria through pathway engineering
  - Enhance carbon utilization and cell growth with fermentation gas through adaptive laboratory evolution
- **Task 5.** Demonstrate improved CO<sub>2</sub> uptake efficiency in outdoor conditions
  - Outdoor cultivation trials at AzCATI
- **Task 6.** Techno-economic and life cycle assessments, and dynamic growth modeling
  - Assess economic viability and environmental impact of the system, and determine productivity estimates for different locations

# 1 - Management

## Team:

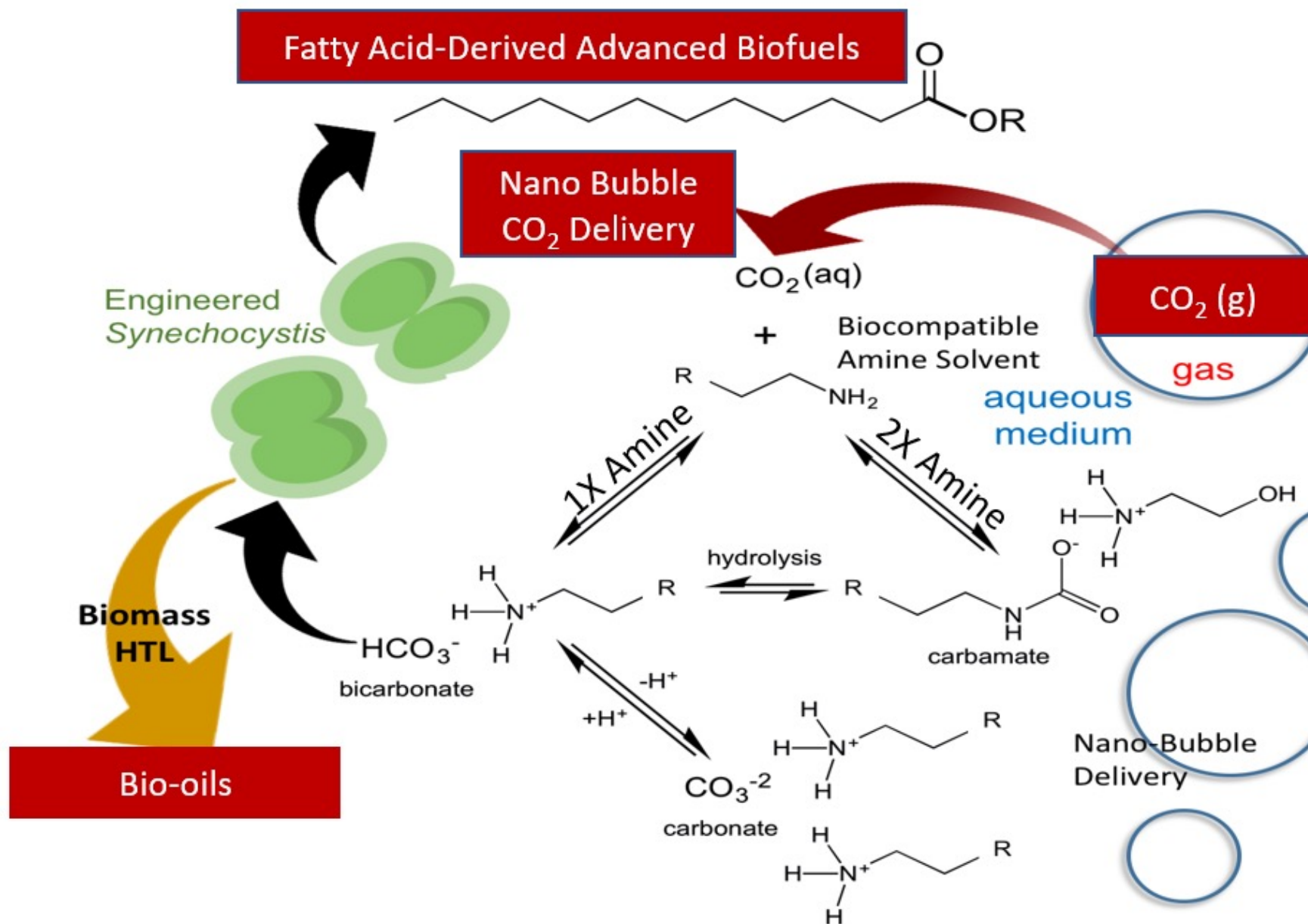
- **Wim Vermaas** (PI; ASU, Life Sciences)
  - Genetic modification of cyanobacteria; overall project oversight
- **Al Darzins** (Co-PI; Nano Gas)
  - CO<sub>2</sub> nanobubble development for cyanobacterial growth improvement
- **Anna Keilty** (ASU, Life Sciences)
  - Project coordinator; administration and financials
- **John McGowen** and **Taylor Weiss** (Co-PIs; ASU, AzCATI)
  - Outdoor cultivation
- **David Nielsen** (Co-PI; ASU, Chemical Engineering)
  - Improved CO<sub>2</sub> mass transfer with amines; bicarbonate transporters
- **Jason Quinn** (Co-PI; CSU, Mechanical Engineering)
  - Techno-economic analysis and life cycle assessments
- **Xuan Wang** (Co-PI; ASU, Life Sciences)
  - Metabolic engineering; adaptive laboratory evolution
- Two **postdocs**, three **graduate students**, several **research staff** members
- Biweekly two-hour meetings of the entire team to share results, discuss progress, risk and issues, and develop plans and mitigation strategies



## 2 – Approach

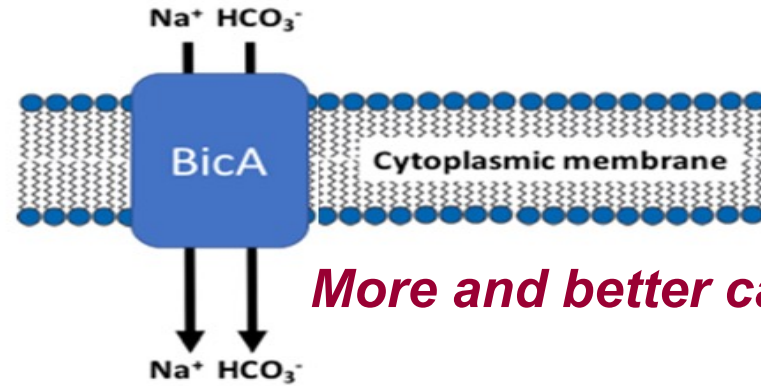
1. Improve  $\text{CO}_2$  mass transfer into liquid using biocompatible amine solvents and nanobubbles

Integrate with TEA/LCA to determine the economic feasibility and impact on emissions

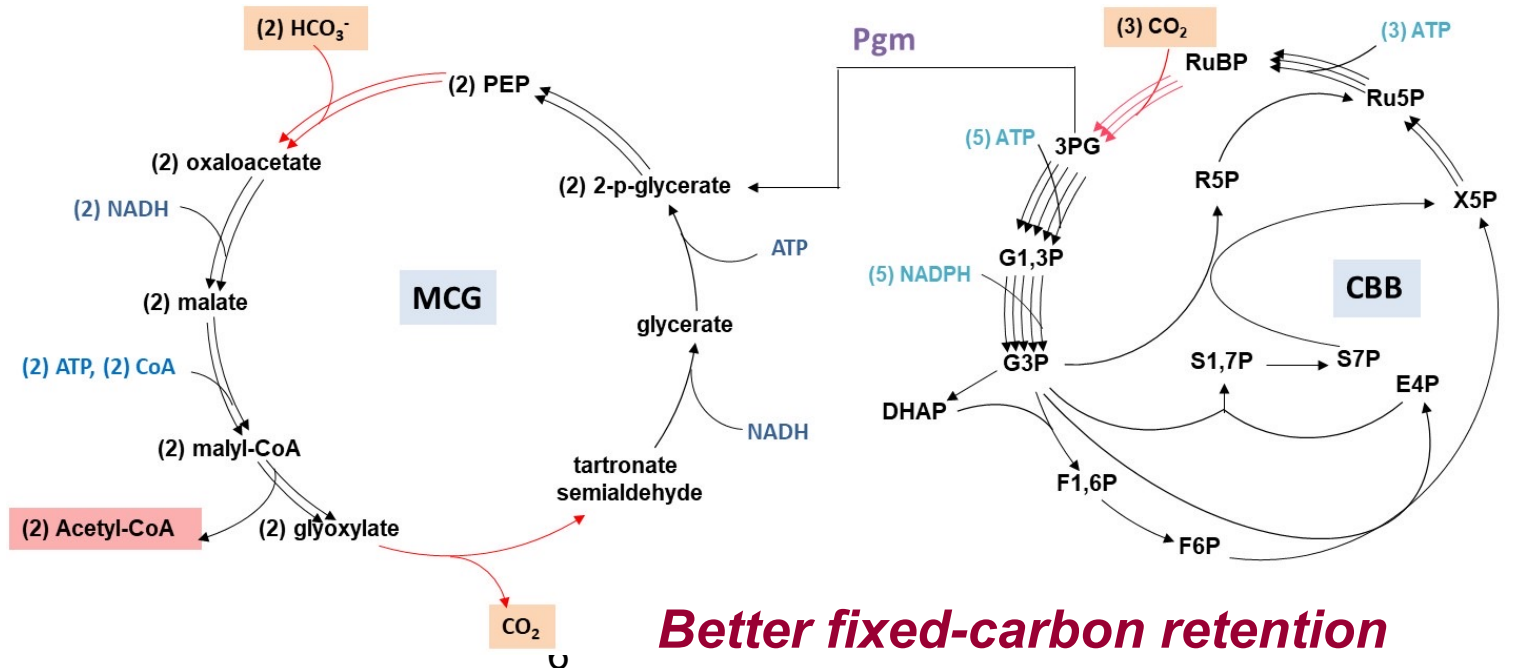
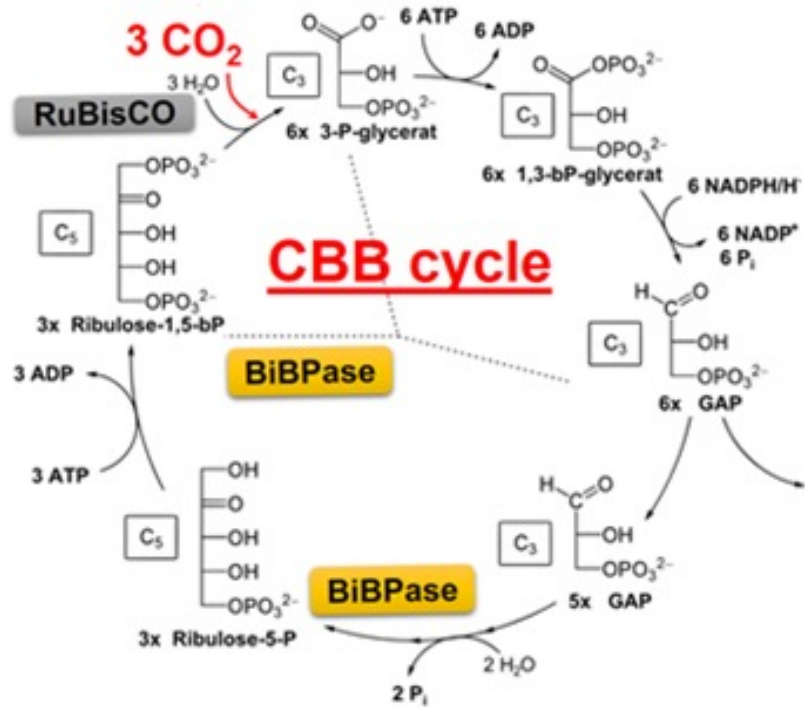


# 2 – Approach

## 2. Improve bicarbonate uptake and carbon fixation in cyanobacteria



*More and better carbon uptake*



*Better CO<sub>2</sub> fixation and storage*

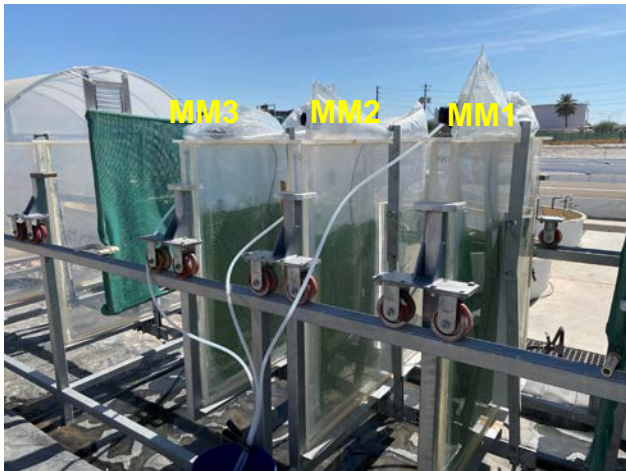
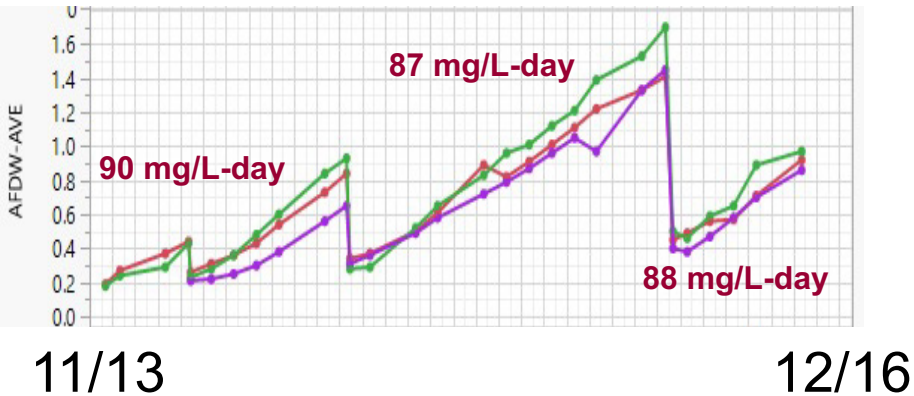
*Better fixed-carbon retention*

*No decarboxylation to make acetyl-CoA*

Integrate with TEA/LCA to determine the economic feasibility and impact on emissions

## 2 – Approach

### 3. Grow best strains in 50-L PBRs at AzCATI



#### *Go/No-Go decision points:*

**Early 2020 (month 18):** Demonstrate mechanisms resulting in 25% increase in CO<sub>2</sub> utilization efficiency by the biofuel-producing baseline strain under laboratory conditions. ✓

**End of project (month 36):** Isolate a biofuel-producing *Synechocystis* strain with at least a 15% increase in growth rate as measured by optical density at 730 nm at laboratory scale under conditions (light/dark cycling, temperature profile) relevant to outdoor culturing and supplemented with CO<sub>2</sub> from at least one industrial source

#### *A challenge we encountered:*

CO<sub>2</sub> utilization efficiency is hard to measure exactly: We measure CO<sub>2</sub> in outlet gas from “blank” medium (no cyanobacteria) and from cultures; the difference between the two is CO<sub>2</sub> utilized. There is error in both measurements as the output from CO<sub>2</sub> probes is not very stable; distinguishing small gains (like 10%) in CO<sub>2</sub> utilization efficiency is difficult.

# 3 – Impact

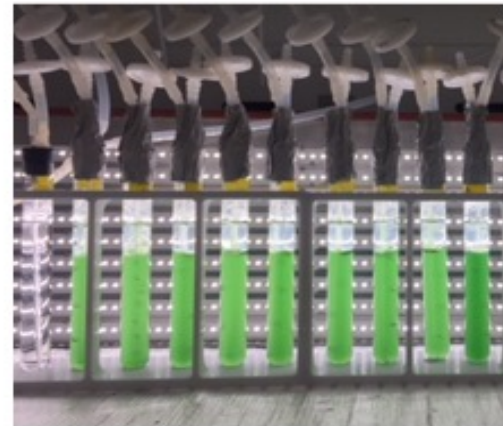
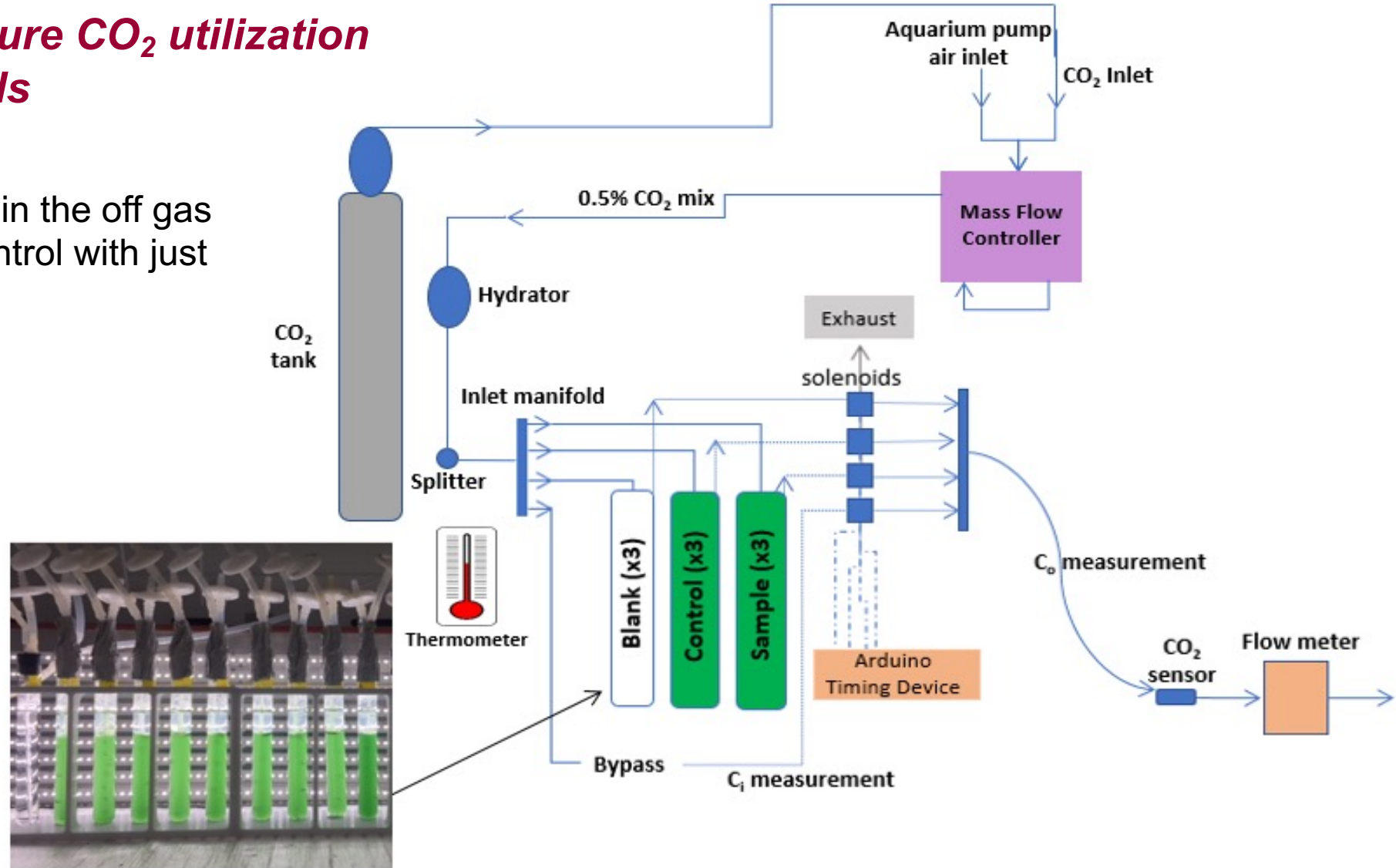
- CO<sub>2</sub> utilization efficiency is an understudied field, yet it is key to economic considerations as well as to greenhouse gas removal
- In this project we test which approaches aid in improving CO<sub>2</sub> utilization efficiency:
  - Amines
  - CO<sub>2</sub> nanobubbles
  - Bicarbonate transporters in the cell
  - More CO<sub>2</sub> fixation in cells
  - Less decarboxylation in cells
  - Adaptive evolution using fermentation gas
  - Verify with 50-L PBRs at AzCATI
  - Use TEA/LCA to determine economics and greenhouse gas emission impacts
- Strategies that work will help CO<sub>2</sub> utilization in other projects: results are unlikely to be strain-specific
- Improving CO<sub>2</sub> utilization efficiency helps reaching BETO's 2030 \$2.50/gasoline gal equivalent goal as well as BETO's 2030 areal productivity target of 25 g m<sup>-2</sup> day<sup>-1</sup>



# 4 – Progress and Outcomes

## General setup to measure $\text{CO}_2$ utilization by cells

Compare how much  $\text{CO}_2$  is in the off gas from a culture vs. from a control with just medium



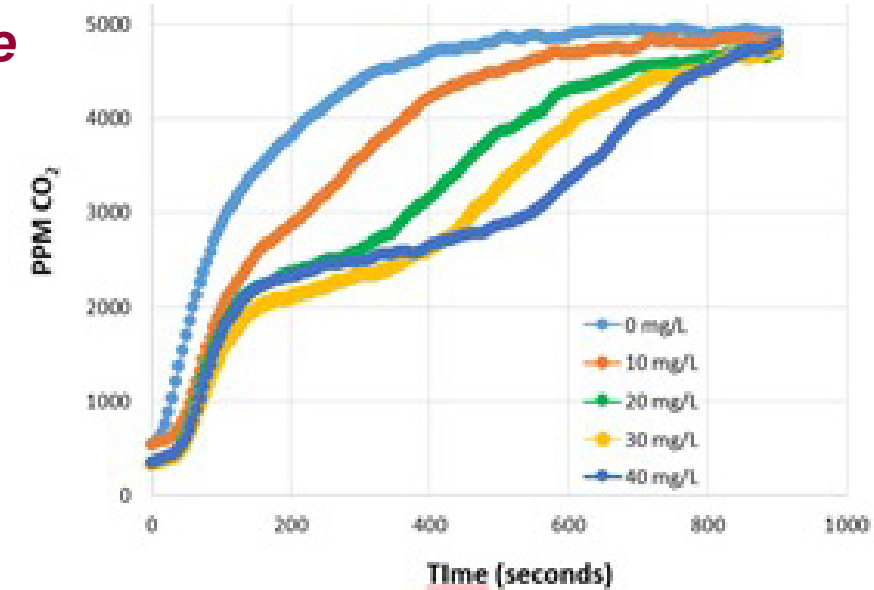
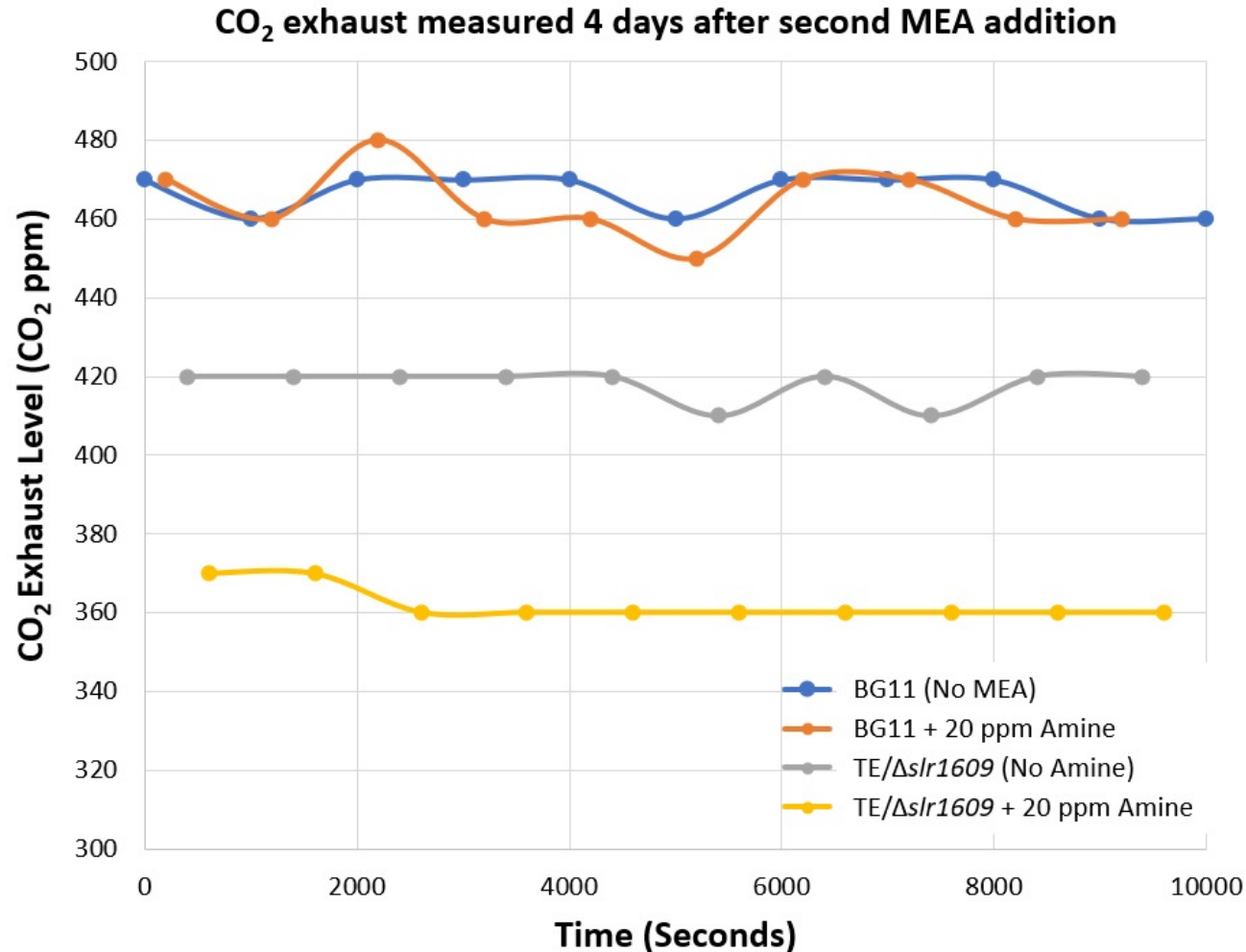
Tyson Tubes®

# varies based on experiment, typically nine tubes total; multiple inlet manifolds can be connected to accommodate more sample tubes

# 4 – Progress and Outcomes

## Task 2: CO<sub>2</sub> uptake in the presence of an amine in the culture

Early data:



CO<sub>2</sub> breakthrough curves with BG-11 medium with 0-40 ppm of an amine. The area above each curve represents the CO<sub>2</sub> retained in the medium.

Much less CO<sub>2</sub> is in the exhaust gas stream of cultures in the presence of amine, indicating that more CO<sub>2</sub> is taken up from the gas stream

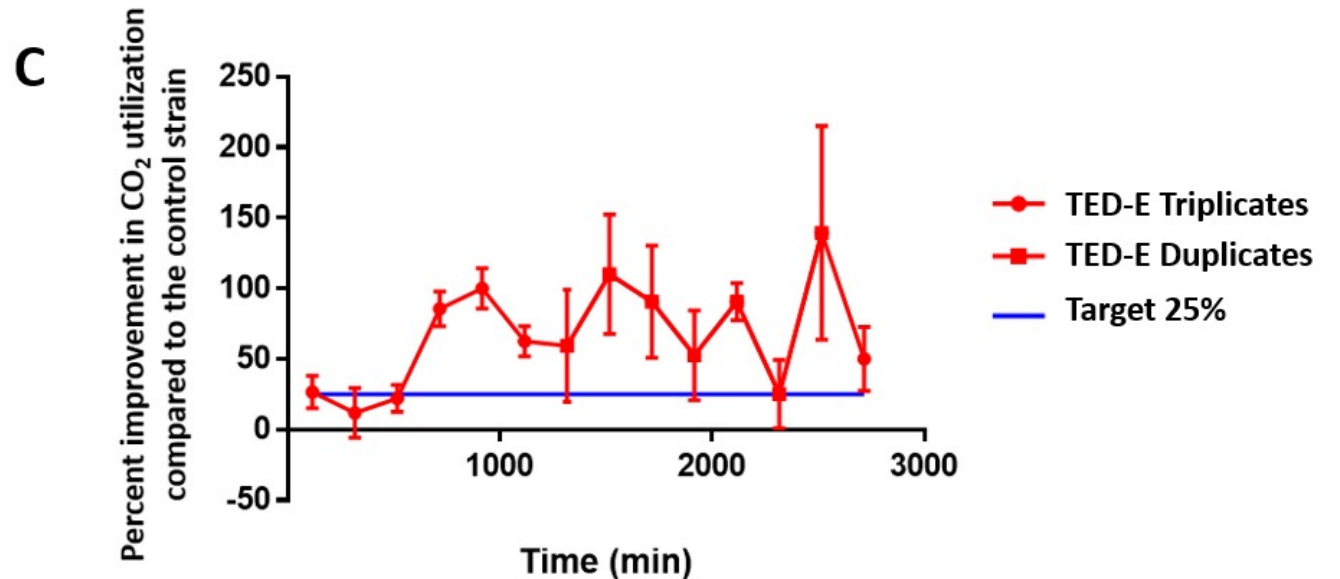
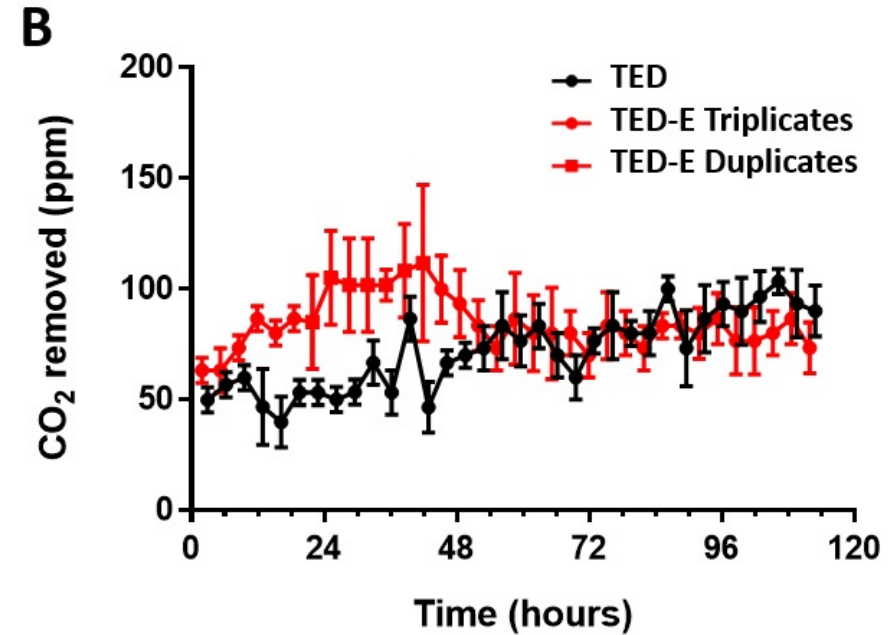
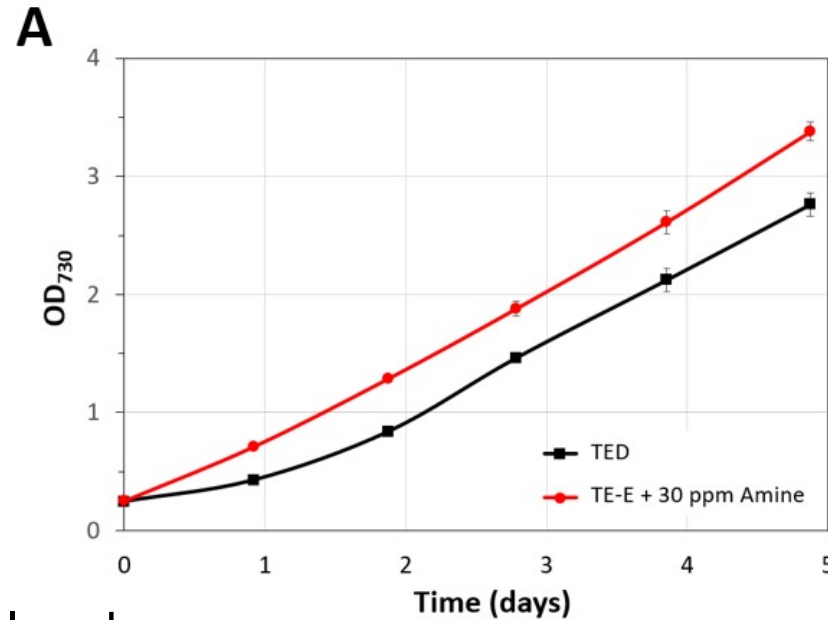
# 4 – Progress and Outcomes

## *Go/No-Go between BP2 and BP3:*

Demonstrate mechanisms resulting in 25% increase in CO<sub>2</sub> utilization efficiency by the biofuel-producing baseline strain under laboratory conditions.

In continuous light CO<sub>2</sub> removal and culture growth were greatly improved by the amine and in a spontaneously derived strain with better amine tolerance. CO<sub>2</sub> utilization was improved by much more than 25% in the first 2.5 days.

The amine advantage is more difficult to prove in diurnal light and temperature conditions, but we should have the answer after more replicates.



# 4 – Progress and Outcomes

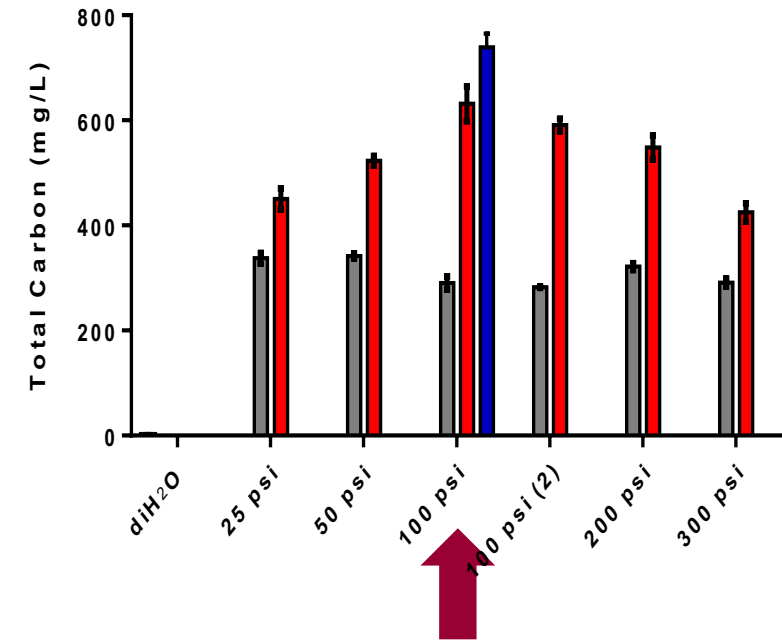
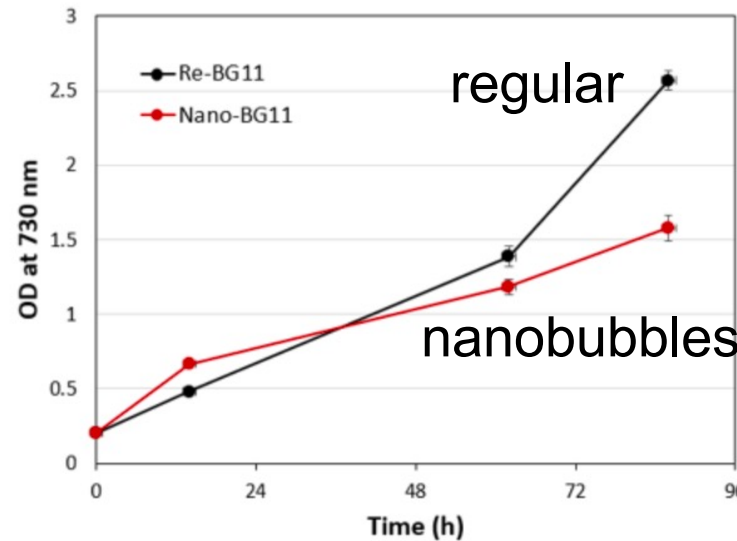
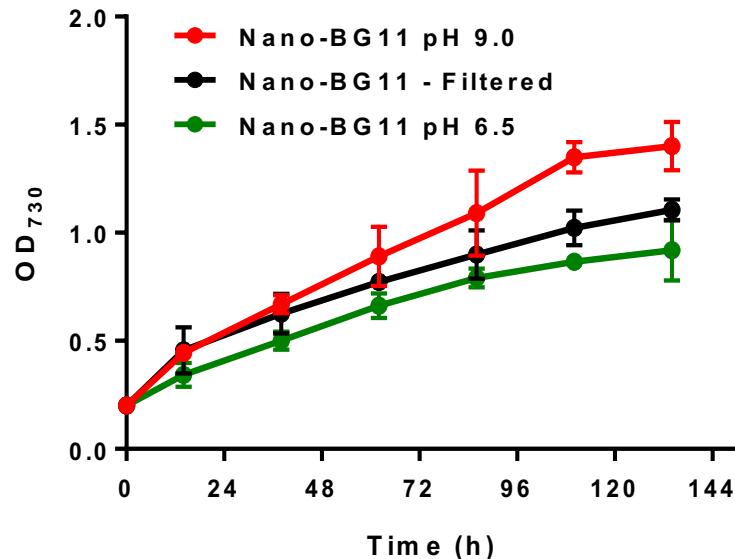
## Task 2: Nanobubbles

- A lab-scale nanobubble generator was fabricated
- Optimal operating pressure for CO<sub>2</sub> nanobubble production is 100 psi – saves on energy costs
- More carbon retained under alkaline conditions
- Typical CO<sub>2</sub> nanobubble size range: 50-200 nm
- Nanobubble concentration: about 10<sup>8</sup> per mL
- Cyanobacterial growth with nanobubbles exceeds that in normal growth conditions for the first day, but not after that
- Nanobubble generation procedures are now being modified

Lab-scale nanobubble generator

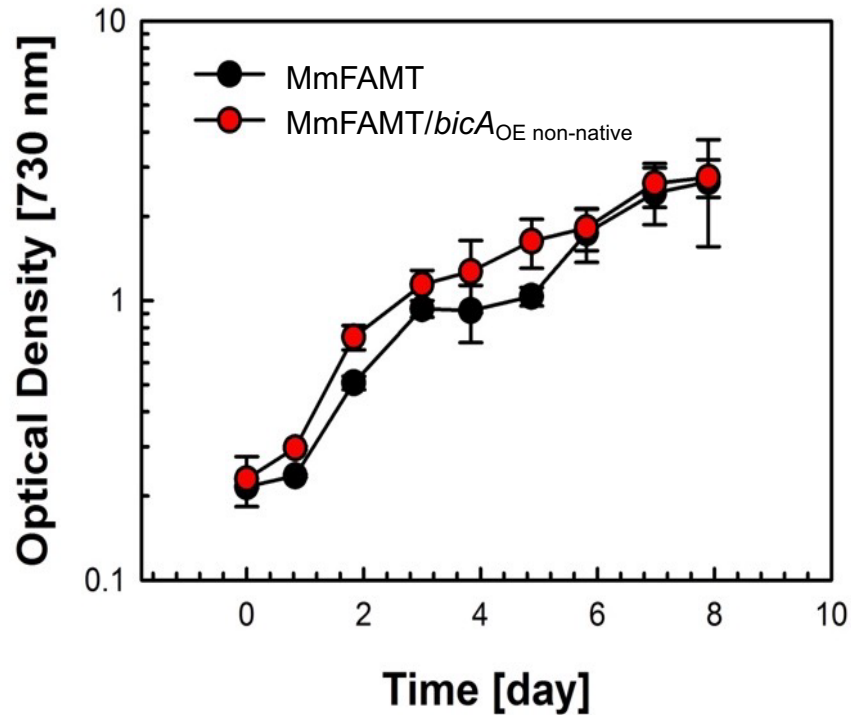


- diH<sub>2</sub>O
- Nano-H<sub>2</sub>O
- Nano-H<sub>2</sub>O + PO<sub>4</sub> buffer (pH 6.5)
- Nano-H<sub>2</sub>O + PO<sub>4</sub> buffer (pH 8.5)



# 4 – Progress and Outcomes

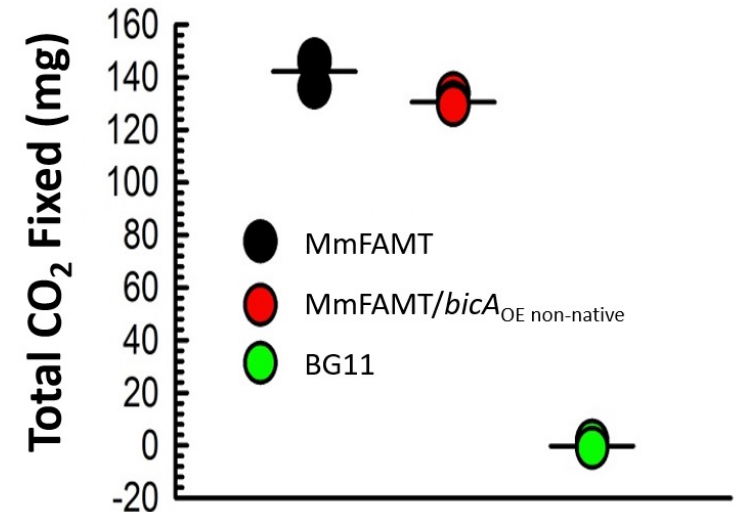
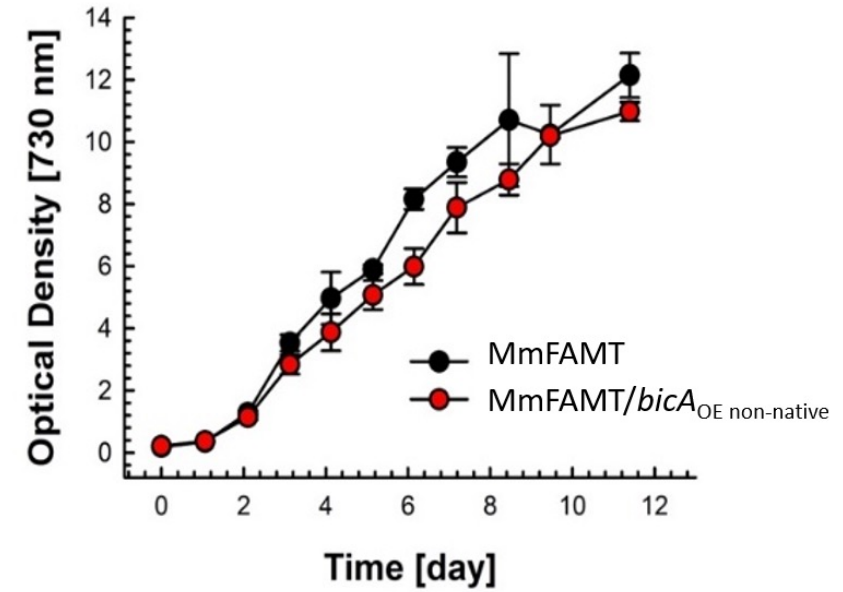
## Task 3: Add bicarbonate transporters to strain



*Overall, much less of a positive effect than sometimes is seen in the literature.*

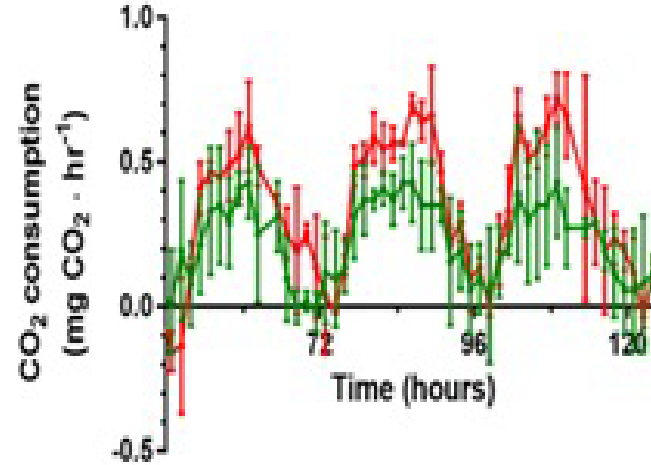
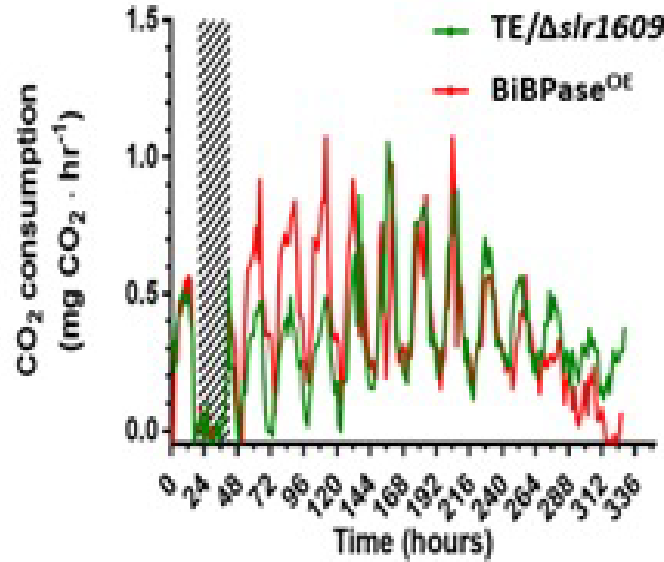
Under ambient CO<sub>2</sub> conditions under continuous illumination at 150  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  sometimes a small improvement is seen...

But this positive effect evaporates under standard diurnal conditions (14 hours 150  $\mu\text{mol photons m}^{-2} \text{s}^{-1}$  light at 35 °C followed by 10 hours of darkness at 20 °C). Error bars represent one standard deviation of biological triplicate.

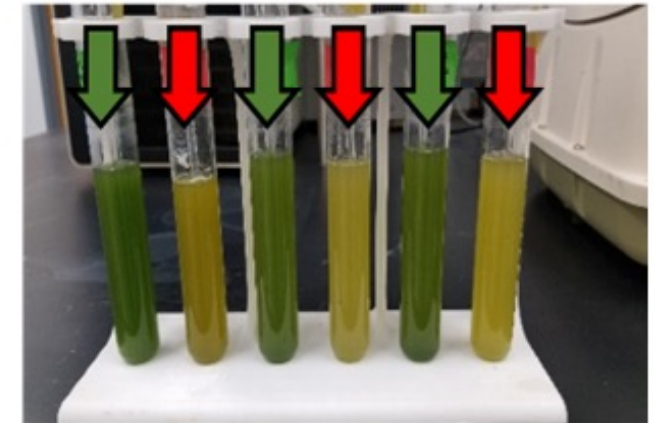
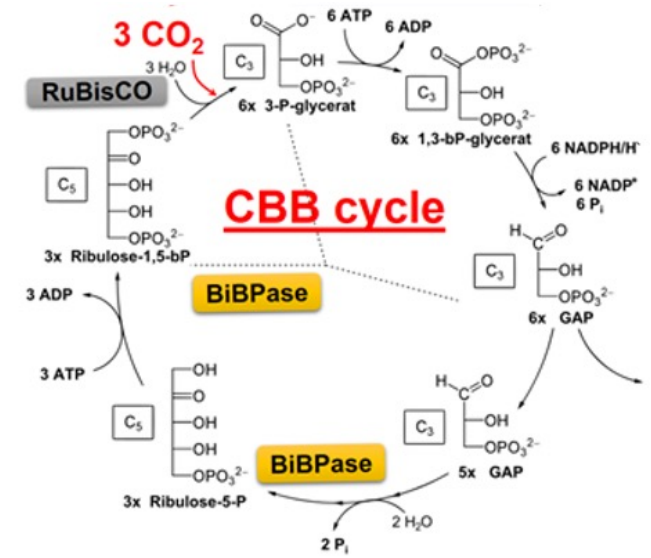
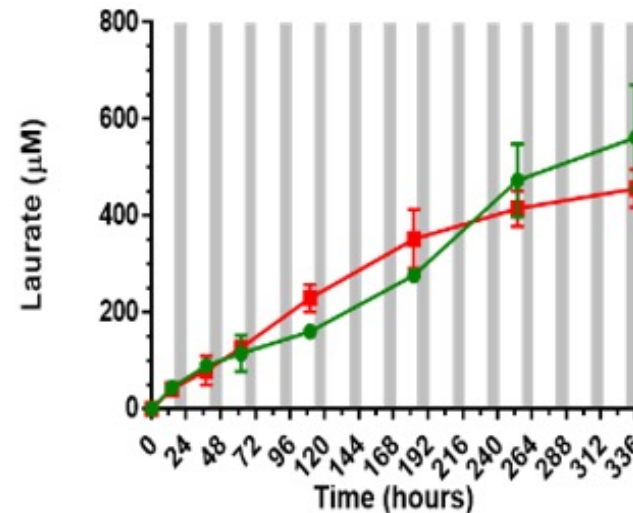
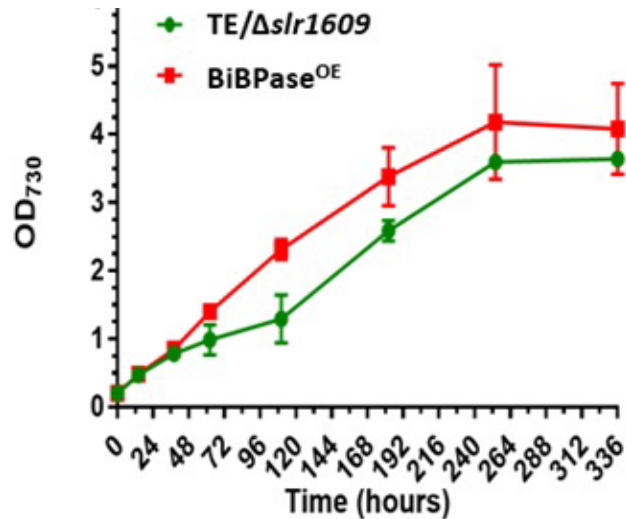


# 4 – Progress and Outcomes

## Task 4. Overexpress BiBPase



- Helps in CO<sub>2</sub> consumption and growth when bubbled with ambient air, at least in the first five days; requires further testing to confirm

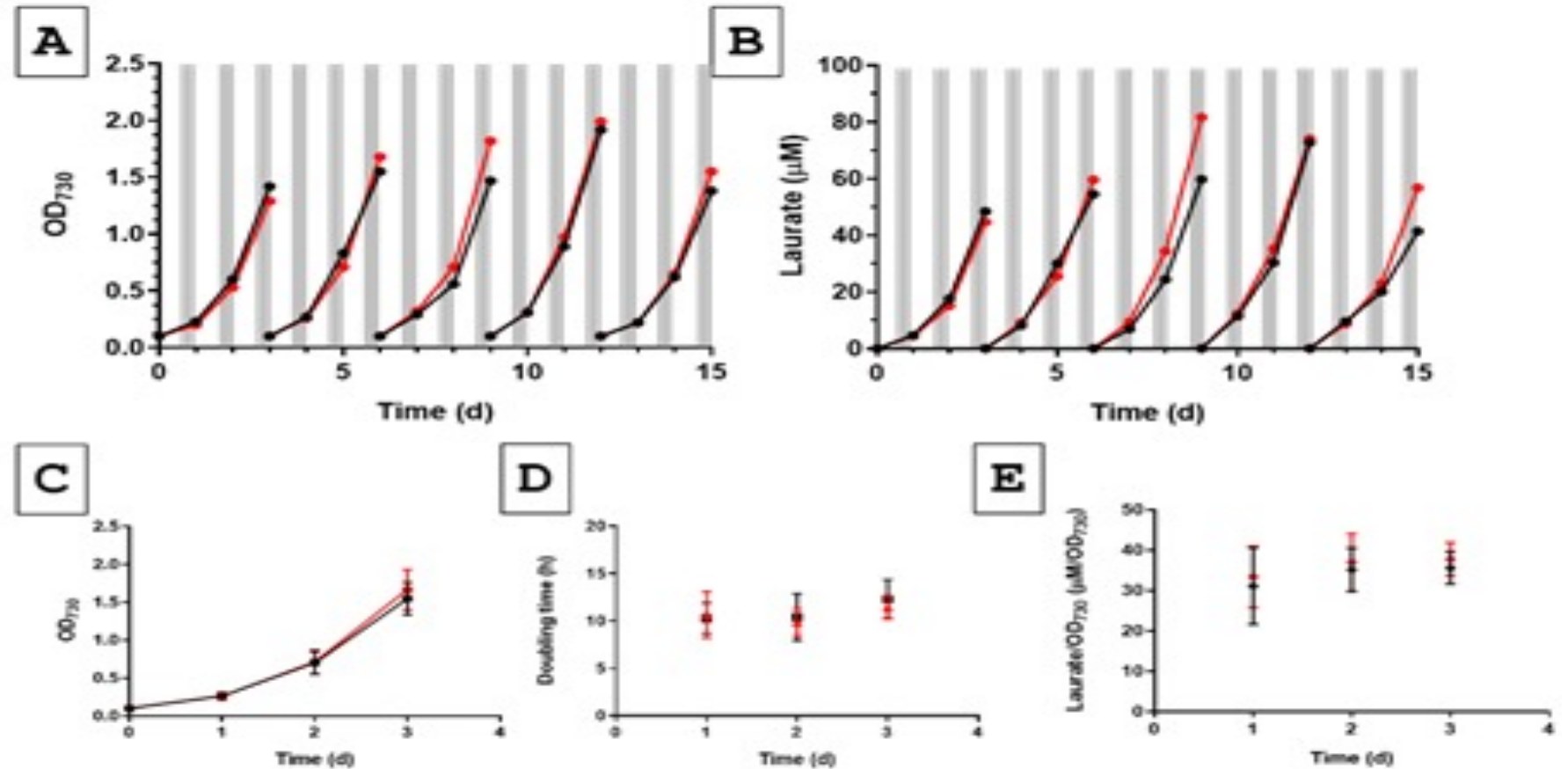


# 4 – Progress and Outcomes

## Task 4. Adaptive laboratory evolution using fermentation off-gas

This improved BiBPase overexpression strain is used for adaptive laboratory evolution to determine if a faster-growing strain can be evolved. The strain is grown under standard diurnal conditions, and CO<sub>2</sub> is provided in the form of fermentation off-gas from a brewery.

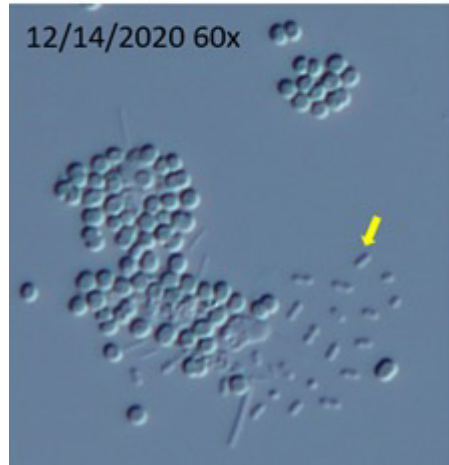
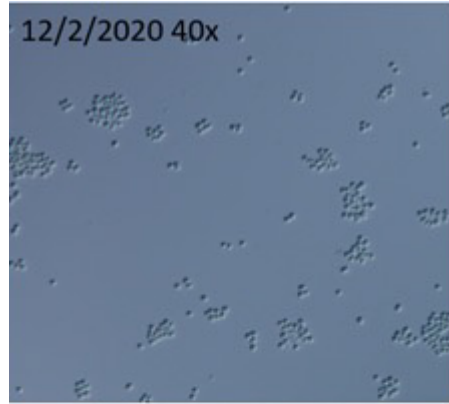
Thus far no significant changes yet, but the experiment only has been going on for a month or so.



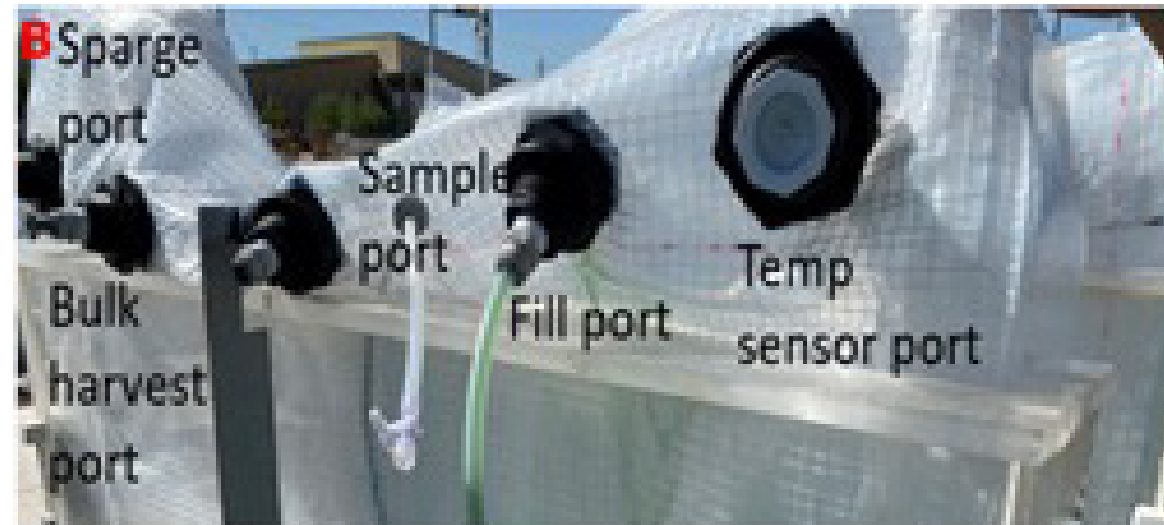
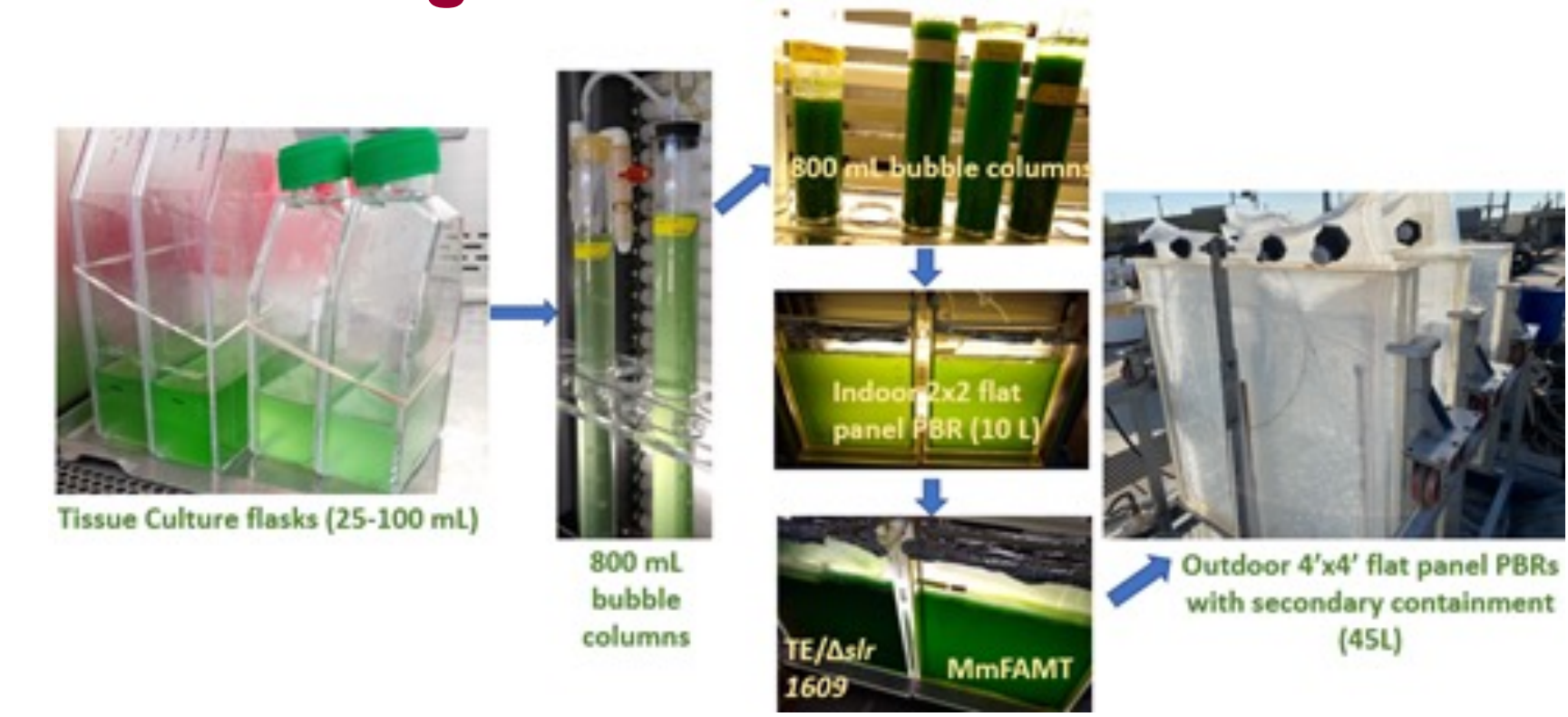
**End of Project Goal:** Isolate a biofuel-producing *Synechocystis* strain with at least a 15% increase in growth rate as measured by optical density at 730 nm at laboratory scale under conditions (light/dark cycling, temperature profile) relevant to outdoor culturing and supplemented with CO<sub>2</sub> from at least one industrial source

**Task 5: Grow strains outdoors at AzCATI and evaluate CO<sub>2</sub> utilization**

# 4 – Progress and Outcomes



Reasonable productivity was observed (see approach slides)



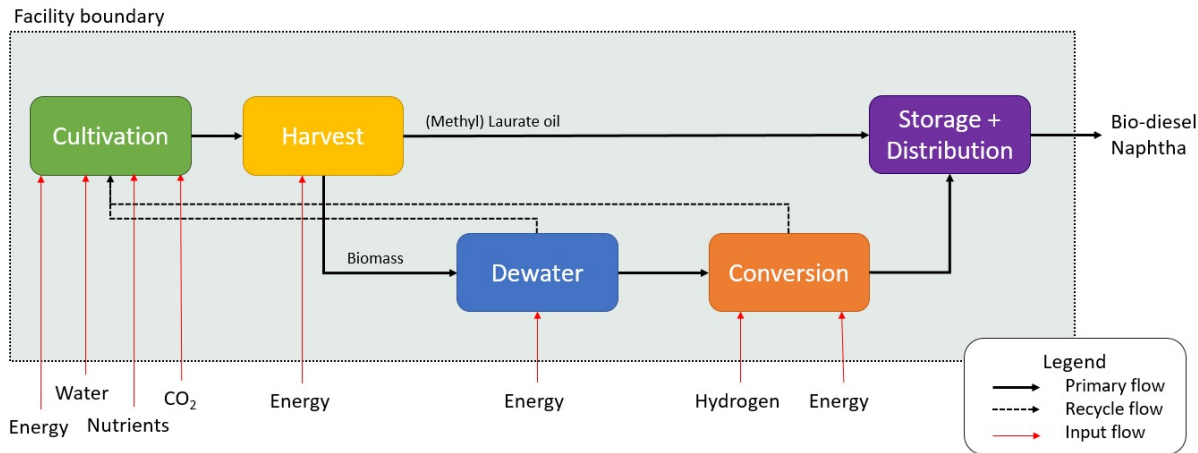


# 4 – Progress and Outcomes

## Task 6. TEA and LCA methods

### 1. Process Modeling

Mass and energetic demands are captured for every unit and sub-unit process, such as hydrothermal liquefaction conversion or carbon dioxide delivery.

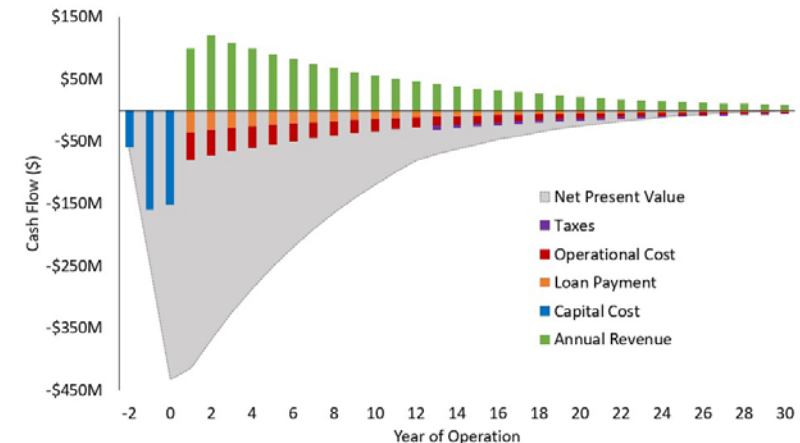


### 4. First-order growth modeling

Incoming photosynthetically active radiation (PAR) is correlated with growth, to determine how much fixed carbon can be expected from a given amount of radiation. Outdoor growth data from the Fall 2020 run is now being processed.

### 2a. Techno-economic analysis (TEA)

Process model data are super-imposed with financial data and evaluated on minimum fuel selling price with a discounted cash flow rate of return (DCFROR).



### 2b. Life cycle assessment (LCA)

Environmental burdens related to energy use and feedstocks are accounted for using OpenLCA and Ecoinvent data.

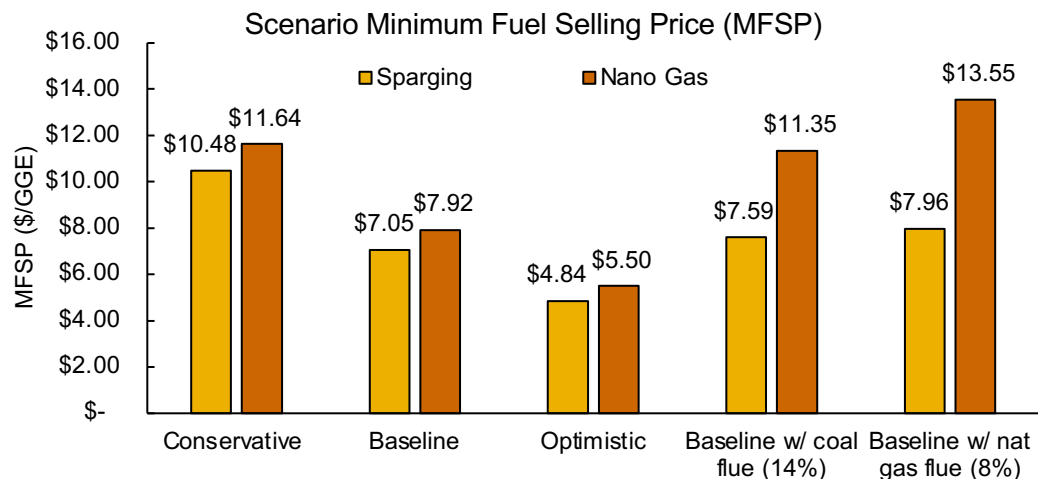
### 3. Monte Carlo Assessment (MCA)

A method of dealing with uncertainty, MCA replaces static inputs with stochastic probability curves using @Risk software and VBA for data processing.

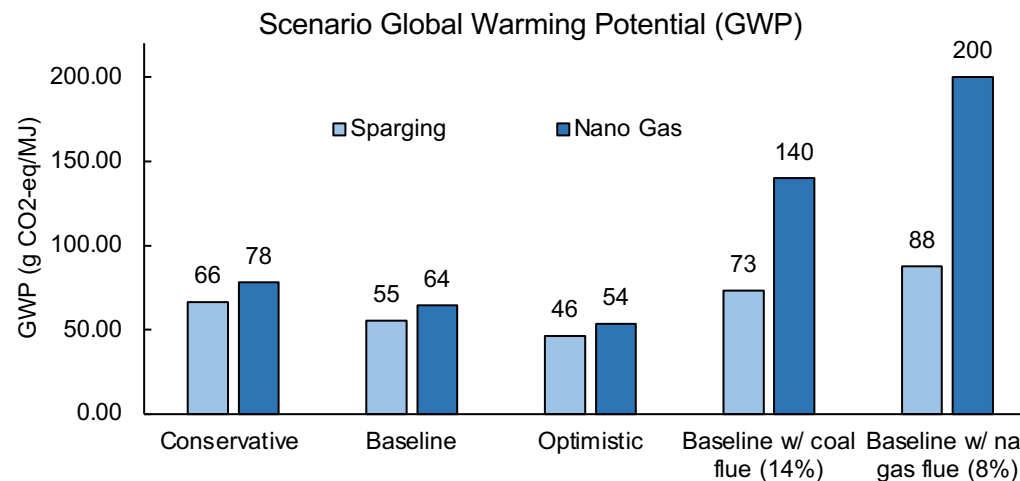
# 4 – Progress and Outcomes

## Task 6, selected results

### Techno-economic analysis

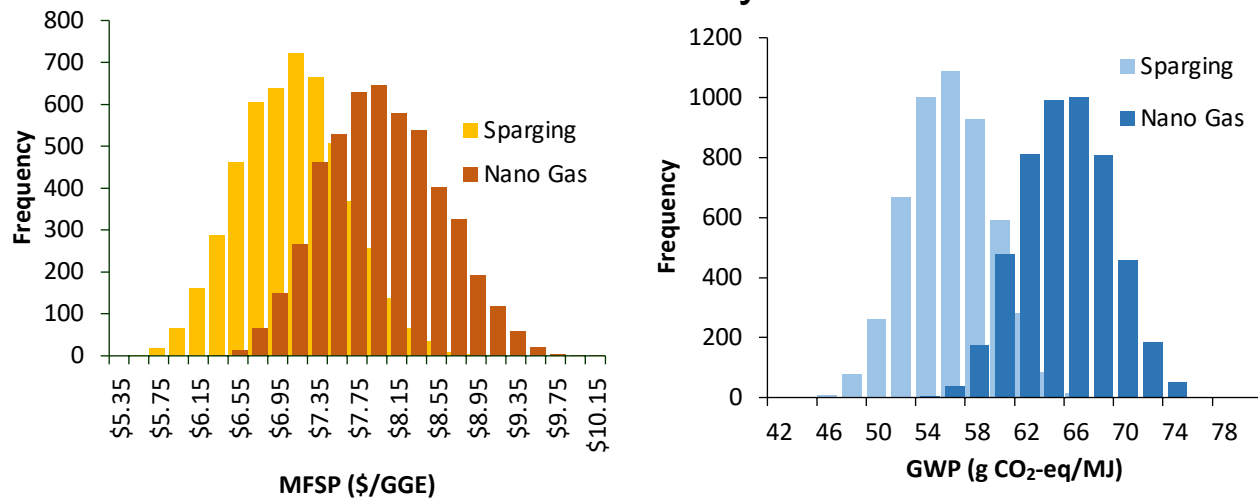


### Life cycle assessment



### TEA results using sparging and Nano Gas carbon delivery systems

#### Monte Carlo Analysis



### LCA results using sparging and Nano Gas carbon delivery systems

The scenario results include the conservative, baseline and optimistic scenarios using sparged and nanobubbled pure CO<sub>2</sub>, as well as the two flue gas scenarios (coal and natural gas). The MCA results only include the pure CO<sub>2</sub> scenarios with input distributions spanning the conservative, baseline and optimistic range.

Preliminary MCA results; see above for abbreviations

# Summary

A number of approaches to enhance CO<sub>2</sub> utilization by biofuel (laurate/methyl laurate)-producing cyanobacteria were investigated in parallel. We knew in advance that some were going to work and some might not, and the challenge was to figure out which of the approaches enhanced CO<sub>2</sub> utilization.

## The ones that work best:

- Addition of amines was particularly effective in enhancing CO<sub>2</sub> utilization by cyanobacteria, enhancing carbon utilization by well over 25% under some conditions
- Overexpression of BiBPase enhances CO<sub>2</sub> utilization at non-saturating CO<sub>2</sub> levels
- TEA and LCA methods

## Promising and in progress:

- Nanobubble optimization
- Adaptive laboratory evolution to further enhance growth using fermentation off gas
- Outdoor growth: They grow well even in winter, and can be stably maintained for >1 month

***Overall, the best methods to enhance CO<sub>2</sub> utilization efficiency are being identified, and will contribute to yield and cost goals of BETO's MYPP***



# Quad Chart Overview

## Timeline

- Project start date: October 1, 2018
- Project end date: September 30, 2021

	FY20 Costed	Total Award
DOE Funding	(10/01/2019 – 9/30/2020) \$799,911	(negotiated total federal share) \$2,500,000
Project Cost Share	\$217,085	\$626,580

## Project Partners

- Colorado State University
- Nano Gas Technologies

## Project Goal

Determine which physicochemical and biological mechanisms are most effective to enhance carbon utilization by cyanobacteria

## End of Project Milestone

Isolate a biofuel-producing *Synechocystis* strain with at least a 15% increase in growth rate as measured by optical density at 730 nm at laboratory scale under conditions (light/dark cycling, temperature profile) relevant to outdoor culturing and supplemented with CO<sub>2</sub> from at least one industrial source

### **Other relevant milestones:**

Identify conditions and biofuel-producing strain improving CO<sub>2</sub> utilization efficiency by  $\geq 50\%$  compared to biofuel-producing baseline strain at lab scale under conditions (light/dark cycling, temperature) relevant to outdoor culturing

Complete an outdoor cultivation trial in a relevant outdoor situation and establish performance capability at larger scale.

Assess economic viability of the proposed process with future recommendations

## Funding Mechanism

DE-FOA-0001908 (Efficient Carbon Utilization in Algal Systems)

Additional Slides

# Responses to Previous Reviewers' Comments

- The project was not presented in an oral presentation two years ago as it had just started
- Change in emphasis based on a Go/No-Go Review by the verification team in March 2020:
  - Nanobubble work does not need to be included in Task 5 as currently CO<sub>2</sub> nanobubbles are not helping growth sufficiently
- The SOPO was revised accordingly

## **Publications, Patents, Presentations, Awards, and Commercialization**

- No publications resulting from this particular project yet, but a number of poster or student presentations have been given