

# Plastics Introduction

Tuesday March 9<sup>th</sup>, 2021

Gayle Bentley  
Technology Manager  
Peer Review 2021

# Outline

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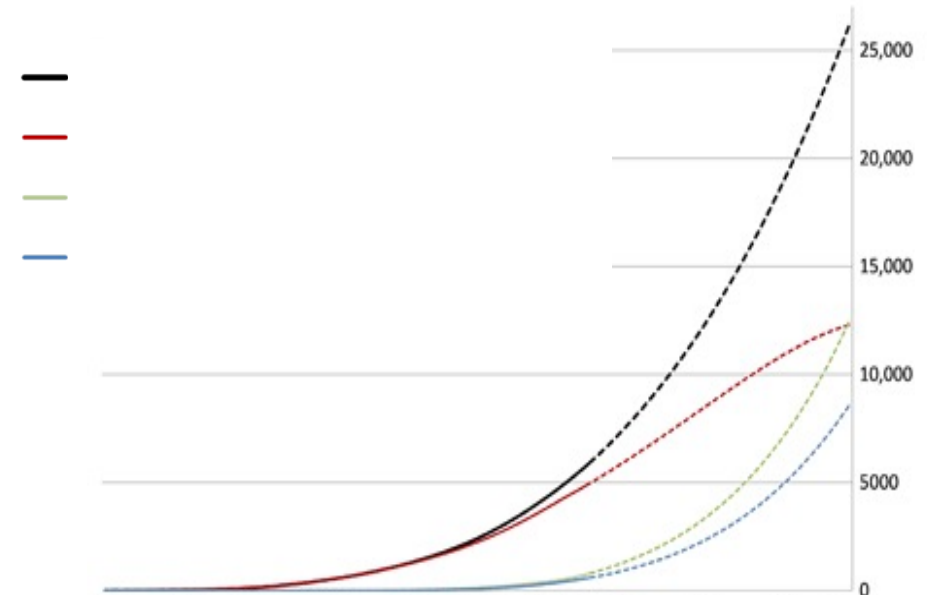
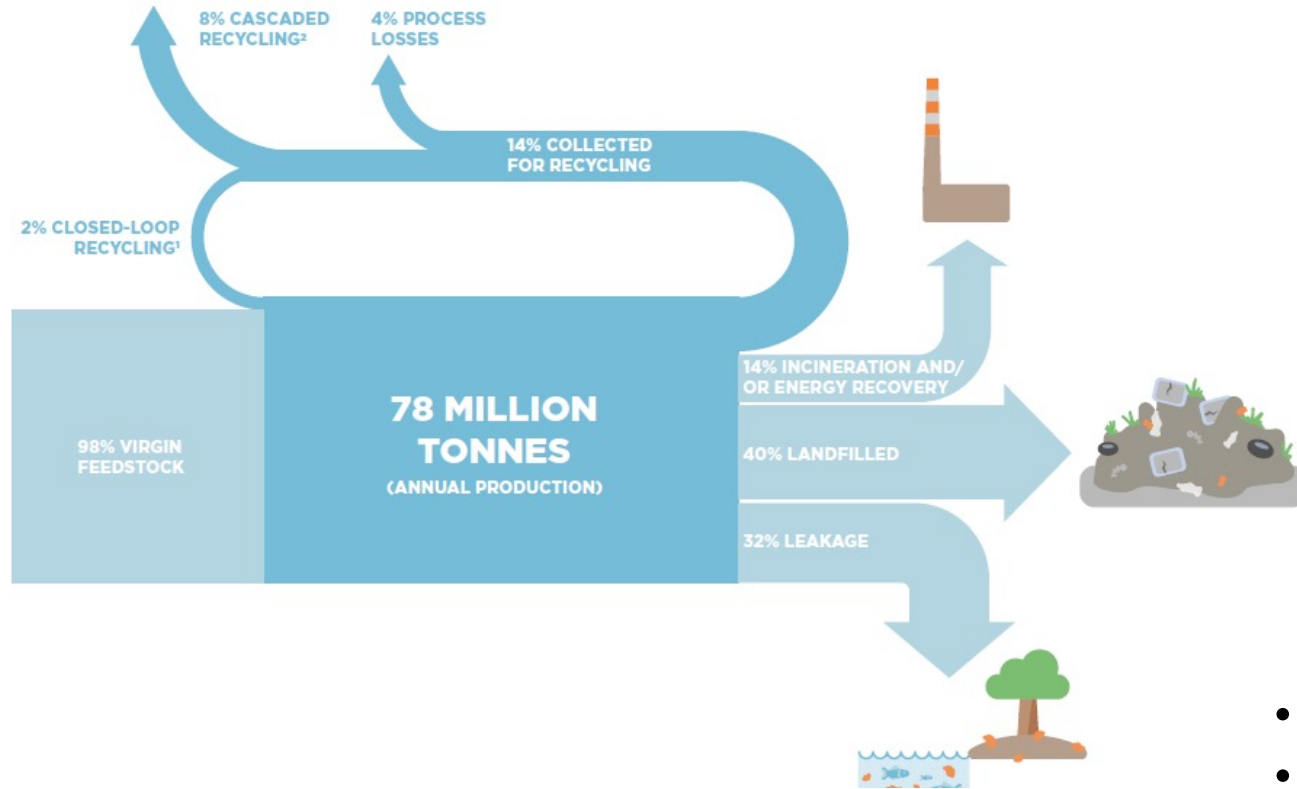
- Schedule walk-through
- Reviewer welcome
- Plastics overview
- BETO efforts

| Start Time ET                         | End Time ET | Min. | Title   | Organization                       | Speaker                  |
|---------------------------------------|-------------|------|---|------------------------------------|--------------------------|
| <b>DAY 2 – Tuesday, March 9, 2021</b> |             |      |   |                                    |                          |
| 10:00 AM                              | 10:20 AM    | 20   | Gather, Tech Check, Networking Questions  | BETO + Reviewers                   |                          |
| 10:20 AM                              | 10:30 AM    | 10   | Plastics - Session Overview   | BETO                               | Gayle Bentley            |
| 10:30 AM                              | 11:15 AM    | 45   | Bio-Optimized Technologies to keep Thermoplastics out of Landfills and the Environment (BOTTLE)                                 | Various                            | Gregg Beckham            |
| 11:15 AM                              | 11:45 AM    | 30   | Q&A / Break   | All                                |                          |
| 11:45 AM                              | 12:30 PM    | 45   | Bio-Optimized Technologies to keep Thermoplastics out of Landfills and the Environment (BOTTLE)                                 | Various                            | Gregg Beckham            |
| 12:30 PM                              | 12:55 PM    | 25   | Q&A / Break   | All                                |                          |
| 12:55 PM                              | 1:00 PM     | 5    | BOTTLE Consortia vs FOAs  | BETO                               | Gayle Bentley            |
| 1:00 PM                               | 1:30 PM     | 30   | Bioconversion of Heterogeneous Polyester Wastes to High Value Chemical Products   | University of Massachusetts Lowell | Margaret Sobkowicz-Kline |
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| 3:00 PM                               | 3:30 PM     | 30   | Upcycling of CFRP Waste: Viable Eco-friendly Chemical Recycling and Manufacturing of Novel Repairable and Recyclable Composites | Washington State University        | Jinwen Zhang             |
| 3:30 PM                               | 3:45 PM     | 15   | Comment Review Session  | All Reviewers                      |                          |

# Welcome to our Reviewers!

| Name                          | Affiliation  | Previous Peer Review Experience |
|-------------------------------|--|---------------------------------|
| Matt Tobin<br>(Lead Reviewer) | Independent Consultant - Formerly Lygos, Amyris, and Codexis | 2019 Panel                      |
| Peter Keeling                 | Purdue University  | 2019 Panel                      |
| Karen Draths                  | Michigan State University                                    | New this year                   |
| Ray Miller                    | Independent Consultant – Formerly DuPont and PDO             | 2019 Panel                      |
| Bill Orts                     | USDA   | New this year                   |
| Sharon Haynie                 | Independent Consultant, Formerly DuPont                      | New this year                   |
| Paul Bryan                    | Independent Consultant – Formerly BETO, Sandia, and Chevron  | BETO longtimer                  |

# The Challenge: A linear carbon economy for plastics



- Plastic is made from non-renewable feedstocks
- Plastic waste is increasingly accumulating<sup>1</sup>
- Most of that plastic waste ends up in landfills and the environment<sup>2</sup>
- Plastic production currently consumes 6% of global oil and is anticipated to increase to 20% of global oil by 2050<sup>3</sup>

<sup>1</sup>Geyer et al. Science Advances 2017

<sup>2</sup>Zheng and Suh. Nature Climate Change 2019

<sup>3</sup>Jambeck et al. Science 2015 and Ellen MacArthur Foundation

# BOTTLE National Lab-Led Consortium

**BOTTLE vision:** deliver selective and scalable technologies that enable cost-effective recycling, upcycling, and increased energy efficiency for plastics.

**BOTTLE mission:** develop robust processes to upcycle existing waste plastics, and new plastics and processes that are recyclable-by-design

## METRICS

### Energy/Emissions:

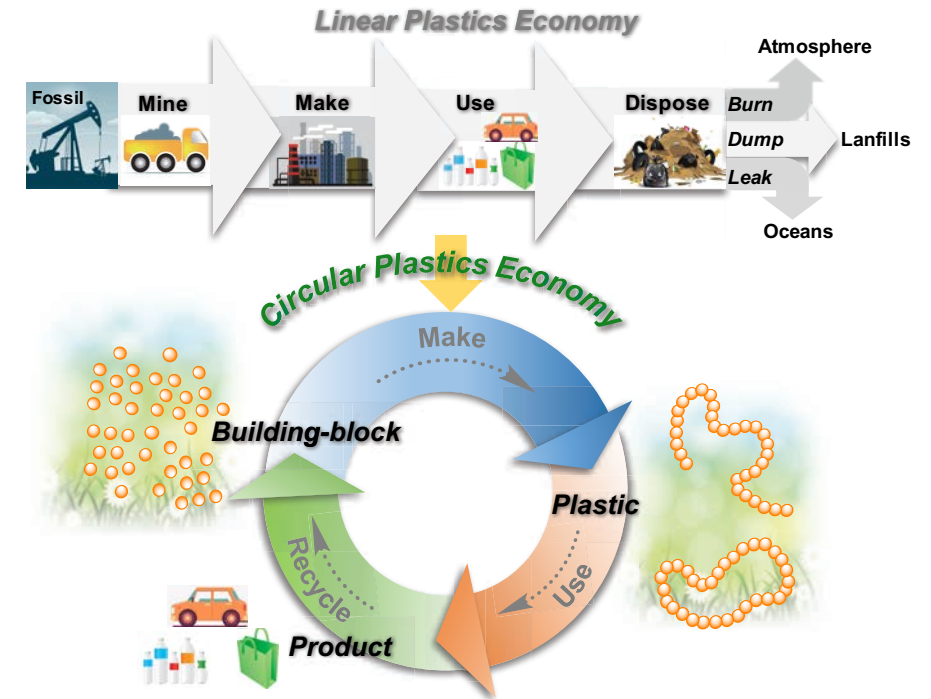
- **≥50% energy savings** relative to virgin material production

### Carbon:

- **≥75% carbon utilization** from waste plastics

### Economics:

- **≥ 2x economic incentive** above price of reclaimed materials



Eugene Chen

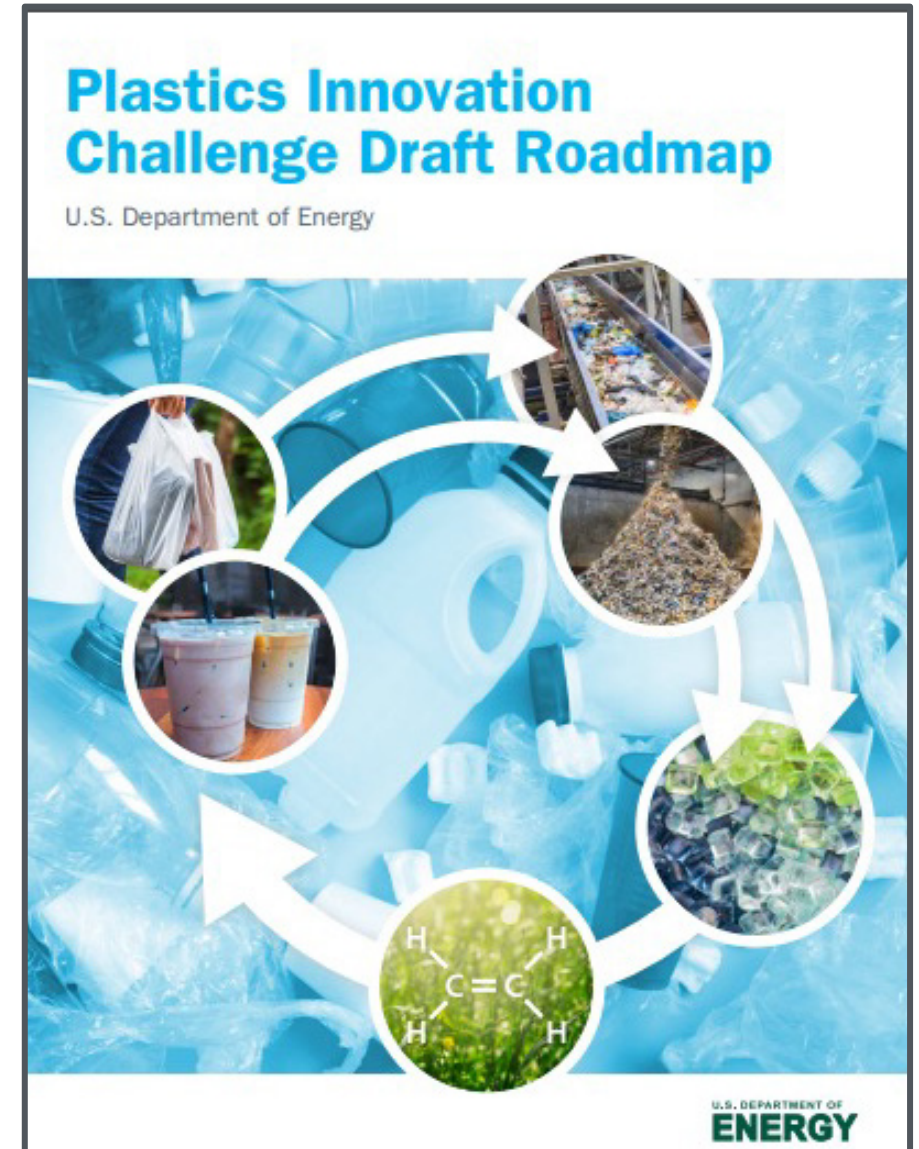
# DOE's Plastics Innovation Challenge: A Low Carbon, High Reuse Vision for Plastics

## Vision

For the United States to lead the world in developing and deploying technologies that minimize plastic waste and promote energy-efficient and economic plastic and bioplastic design, production, reuse, and recycling.

## Objectives/Metrics by 2030

- Address **end-of-life fate for >90%** of plastics
- **≥50% energy savings** relative to virgin material production
- Achieve **≥75% carbon utilization** from waste plastics
- Develop **cost-competitive** recyclable-by-design plastic.
- *In Development:* A GHG reduction metric.



Plastics Innovation Challenge Draft Roadmap, January 19th 2020

# Goals and Targets | Plastics Strategy

- BETO program goals broken down into BETO Level Barriers and challenges
- Feedstock Supply & Logistics R&D Barriers and Challenges
- Advanced Algal Systems R&D Barriers and Challenges
- Conversion R&D Barriers and Challenges
- Advanced Development and Optimization Barriers and Challenges
- Analysis and Crosscutting Sustainability Barriers and Challenges



# Goals and Targets | Plastics Strategy

- BETO Program Barriers
  - Barrier Ot-B Cost of production: “As with petroleum refineries, product slates will need to include bioproducts to spread the costs of production across biofuels and higher-valued bioproducts to be competitive in commodity markets.”
- Conversion R&D Barriers and Challenges
  - Barrier Ct-C- Process Development for Conversion of Lignin. Lignin conversion to valuable bioproducts.
  - Barrier Ct-J. Identification and Evaluation of Potential Bioproducts: “To more efficiently realize the full value of biomass feedstocks, conversion processes need to integrate bioproduct production with that of drop-in fuels.”

# Engagement | Technology Area Strategy

Stakeholder feedback has been solicited to ensure BETO's Plastics work is positioned for success

DOE PIC entails active coordination



## Plastics Innovation Challenge Draft Roadmap

U.S. Department of Energy



Active industry engagement is integral to BOTTLE efforts

Cross-agency coordination



A Request for Information (RFI) was released to solicit stakeholder feedback on the PIC Draft Roadmap



Energy Efficiency & Renewable Energy

# Approach | How has BETO invested to overcome these barriers?

## BOTTLE Consortium

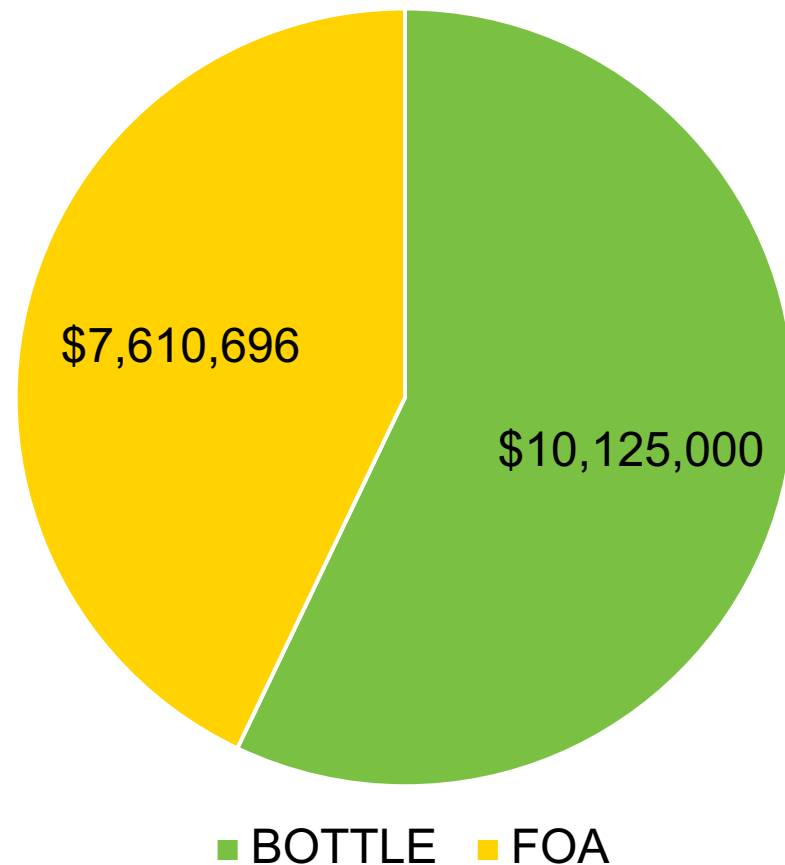
- BOTTLE started with NREL, LANL, ORNL, CSU, MIT, MSU in FY20.
- A lab call invited other national laboratory researchers to contribute to the Consortium's goals in FY20. The lab call recipients added ANL, SLAC, & NU as Consortium members

## Funding opportunity announcements (FOA) open to all:

- FY19 BETO-Wide FOA: Plastics in the Circular Carbon Economy Topic Area
- FY20 BOTTLE FOA
- Small Business Innovation Research (SBIR) Calls:
  - FY2020: Phase 1 Novel Utilization Strategies for Ocean Plastic Waste
  - FY2020: Phase 2 Reshaping Plastic Design and Degradation for the Bioeconomy
  - FY2021: Phase 1 compatibilizers of existing plastics

# Portfolio and Active Management | Technology Area Strategy

**In this Plastics panel, we are reviewing  
4 FOA projects and 1 Consortium**



BOTTLE Consortium is actively managed by BETO technology managers

- Quarterly reports and update meetings
  - Quarterly Financial reporting
- Monitor progress toward milestones
- Go/No-Go decision points

# Let's get started!

To begin, we will start the BOTTLE Consortium

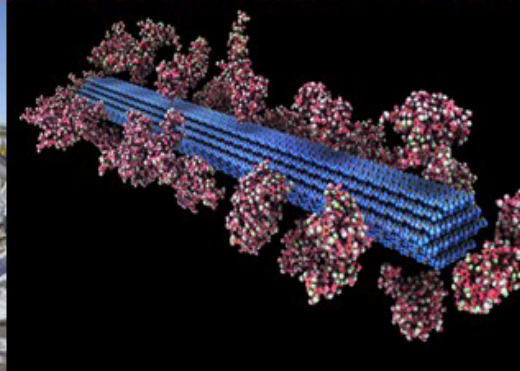
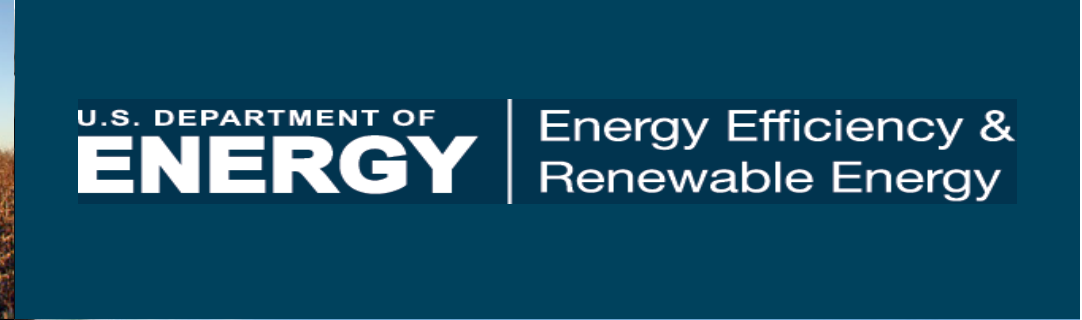
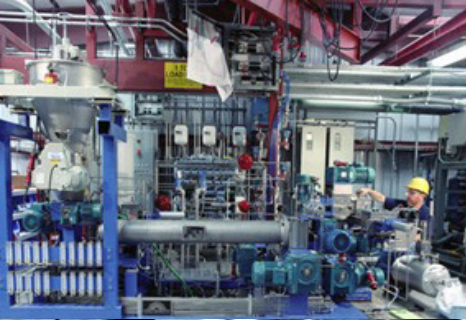
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| 12:30           | 12:45           | 15        | <i>Q&amp;A</i>  | <i>All</i>   |               |

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# FOA vs AOP Interlude

9 March 2021

**Gayle Bentley**

Technology manager

# Approach | Funding Types

|                            | FOA                      | AOP                     |
|----------------------------|--------------------------|-------------------------|
| Selection Method           | Competitive              | Lab Call                |
| Open to the Public         | ✓                        | ✗                       |
| National Lab Participant   | Only as Subrecipient     | ✓                       |
| Go/No-Go Decision Points   | ✓                        | ✓                       |
| Verifications              | ✓                        | ✗                       |
| Award Modifications Method | Contracting Officer (CO) | AOP Tool Change Control |

FOA = Funding Opportunity Announcement

AOP = Annual Operating Plan

# All competitive projects reviewed in this panel were funded by the 2019 Multi-Topic FOA

| Title  | Recipient                          | Award Type                                     | Presenter                |
|--|------------------------------------|--|--------------------------|
| <b>Bioconversion of Heterogeneous Polyester Wastes to High Value Chemical Products</b>   | University of Massachusetts Lowell | FY19 - BETO wide FOA: DE-FOA-0002029, Topic 8b | Margaret Sobkowicz-Kline |
| <b>ResIn: Responsible Innovation for Highly Recyclable Plastics</b>  | Northwestern University            | FY19 - BETO wide FOA: DE-FOA-0002029, Topic 8a | Linda Broadbelt          |
| <b>Recyclable Thermoset Polymers from Lignin Derived Phenols</b>   | Spero Renewables, LLC              | FY19 - BETO wide FOA: DE-FOA-0002029, Topic 8a | Ian Klein                |
| <b>Upcycling of CFRP Waste: Viable Eco-friendly Chemical Recycling and Manufacturing of Novel Repairable and Recyclable Composites</b> | Washington State University        | FY19 - BETO wide FOA: DE-FOA-0002029, Topic 8b | Jinwen Zhang             |

## Topic 8: Plastics in the Circular Carbon Economy



# FOA Overview – Topic 8a Designing Highly Recyclable Plastics

## Recipients:

- Northwestern University
- Spero Renewables, LLC

## Specific Areas of Interest:

- Development of novel biobased plastics that have improved performance attributes over a comparable incumbent plastic and can be cost effectively chemically recycled (e.g., catalytically deconstructed into monomers)
- Applicants may use model compounds for initial testing of their plastic; however, the plastic synthesized and tested at the end of the project must be synthesized from biobased feedstocks
- Applicants are encouraged to explore performance-advantaged plastics that, in addition to superior end-of-life considerations, can outperform traditional plastics for a specific, chosen application

| Topic 8a Metric  | Unit          | Minimum | Stretch |
|--|---------------|---------|---------|
| Biobased Content   | % by Mass     | 50%     | 100%    |
| Chemically Recyclable, as Measured by % Recovered Monomers                         | % Improvement | 10%     | 30%     |
| Performance Advantage (Outperform Traditional Plastics for a Specific Application) | Variable      | 10%     | 20%     |

# Topic 8b Designing Novel Methods for Deconstructing and Upcycling Existing Plastics

## Recipients:

- University of Massachusetts Lowell
- Washington State University

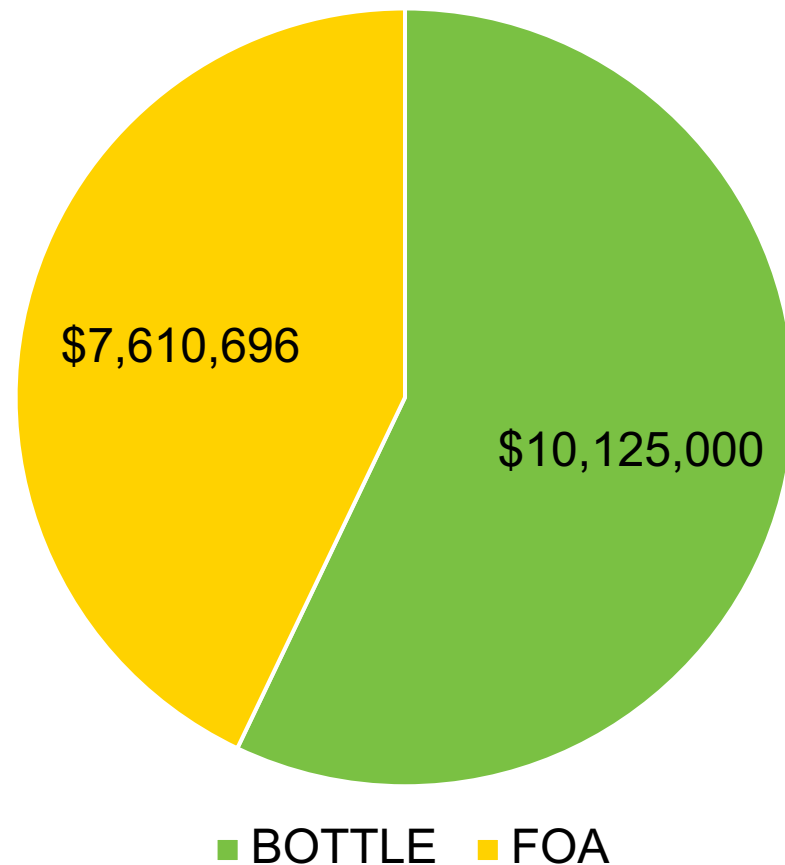
## Specific Areas of Interest

- Biological, low-temperature (<300 C) chemical, or hybrid systems capable of one of the following:
  - Breaking plastics down into low molecular weight streams which are either consumable by an organism, or are easily separable
  - Breaking down a plastic stream into intermediates which can be upgraded into high value products
  - Breaking down multiple plastic streams simultaneously or sequentially
  - Tolerating contaminants generally found in mixed plastic waste streams

| Topic 8b Metric  | Unit   | Minimum | Stretch |
|--|--|---------|---------|
| Increased Rate of Degradation Over State of Technology                                       | Mass or Time                                     | 20%     | 100%    |
| Ability to Degrade Mixed Plastic Streams Simultaneously or Sequentially (>2 Plastic Streams) | Mass of Each Plastic Stream at the End of 7 Days | 50%     | 20%     |

# Portfolio and Active Management | Technology Area Strategy

**In this Plastics panel, we are reviewing  
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FOA projects are actively managed by BETO technology managers

- Quarterly reports and update meetings
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- **Initial, intermediate, and final verifications**
- Monitor progress toward milestones
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# Exciting progress to be showcased by our presenters!

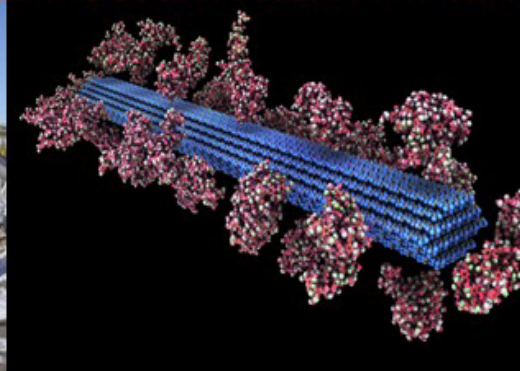
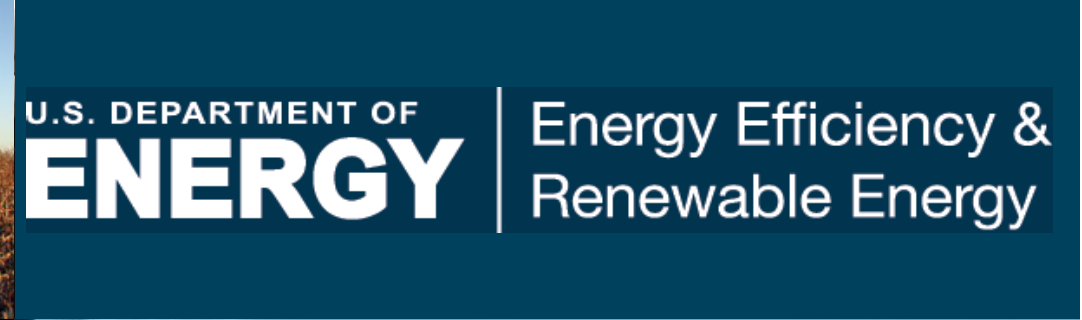
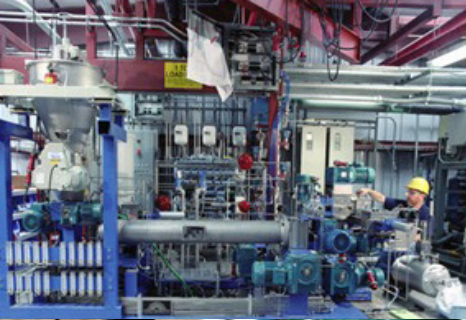
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# Performance-Advantaged Bioproducts Introduction

Wednesday March 10<sup>th</sup>, 2021

Gayle Bentley  
Technology Manager  
Peer Review 2021

# Outline

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- Schedule walk-through
- Reviewer welcome
- PABP overview

**DAY 3 – Wednesday, March 10, 2021**

|                 |                 |           |  |                                    |                  |
|-----------------|-----------------|-----------|--|------------------------------------|------------------|
| <i>10:15 AM</i> | <i>10:35 AM</i> | <i>20</i> | <i>Gather, Tech Check, Networking Questions</i>  | <i>BETO + Reviewers</i>            |                  |
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| 10:45 AM        | 11:15 AM        | 30        | Renewable Carbon Fibers Consortium   | NREL                               | Adam Bratis      |
| 11:15 AM        | 11:45 AM        | 30        | Melt-stable engineered lignin thermoplastic: a printable resin   | ORNL                               | Amit Naskar      |
| 11:45 AM        | 12:15 PM        | 30        | Bio-Insecticides from Thermochemical Biomass Conversion  | NREL                               | Nolan Wilson     |
| <i>12:15 PM</i> | <i>12:30 PM</i> | <i>15</i> | <i>Break</i>   | <i>All</i>                         |                  |
| 12:30 PM        | 1:00 PM         | 30        | Performance-Advantaged Bioproducts via Selective Biological and Catalytic Conversions  | NREL                               | Gregg Beckham    |
| 1:00 PM         | 1:30 PM         | 30        | Inverse biopolymer design through machine learning and molecular simulation  | NREL                               | Nolan Wilson     |
| <i>1:30 PM</i>  | <i>1:40 PM</i>  | <i>10</i> | <i>Break</i>   | <i>All</i>                         |                  |
| 1:40 PM         | 1:45 PM         | 5         | AOP vs FOA Overview  | BETO                               | Gayle Bentley    |
| 1:45 PM         | 2:15 PM         | 30        | Identifying Performance Advantaged Biobased Chemicals Utilizing Bioprivileged Molecules  | Iowa State University              | Brent Shanks     |
| 2:15 PM         | 2:45 PM         | 30        | Cellulose-Chitin Composites for Performance Advantaged Barrier Packaging Bioproducts   | Georgia Institute of Technology    | Carson Meredith  |
| <i>2:45 PM</i>  | <i>3:15 PM</i>  | <i>30</i> | <i>Lunch</i>   | <i>All</i>                         |                  |
| 3:15 PM         | 3:45 PM         | 30        | Fermentative production of Tulipalin A: a next-generation, sustainable monomer that drastically improves the Performance of pMMA | Arzeda                             | Alex Zanghellini |
| 3:45 PM         | 4:15 PM         | 30        | Design and development of bio-advantaged vitrimers as closed-loop bioproducts  | University of California, Berkeley | Jay Keasling     |
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# Definitions

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- **Drop-in replacement:** a bio-derived compound that is chemically identical to its petroleum counter part
- **Functional replacement:** a bio-derived compound that has a different chemical structure than a petroleum counter part, but performs the same function/has the same performance attributes
- **Performance-advantaged bioproduct:** a bio-derived compound that does not resemble an existing petroleum-derived compound in structure, function, or performance attributes. The bio-based compound offers novel functionality or improved performance attributes.

# Why Pursue Performance Advantaged Biobased Chemicals?



## Product Design Benefits

- Biomass contains functionality not present in other feedstocks
- Opportunity to design and bring new products to market



## Economic Benefits

- Increase value of domestic feedstock; revitalize rural economies
- Provide additional source of revenue for existing bioprocessing facilities



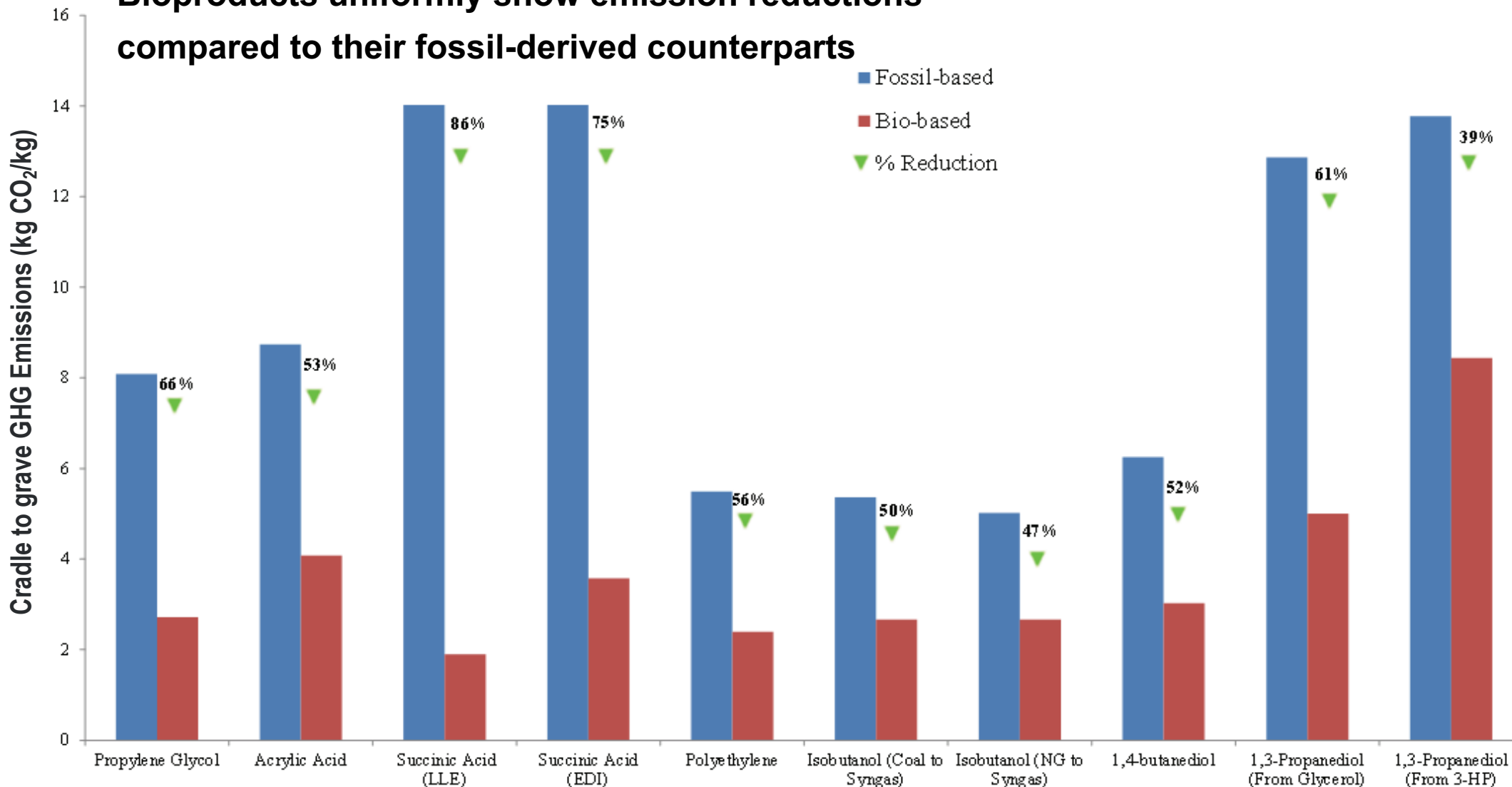
## Environmental Benefits

- Potential for lower toxicity
- Reduced life cycle impact
- Reduced energy requirements

Photos: National Renewable Energy Lab

# Why is BETO interested in biobased products?

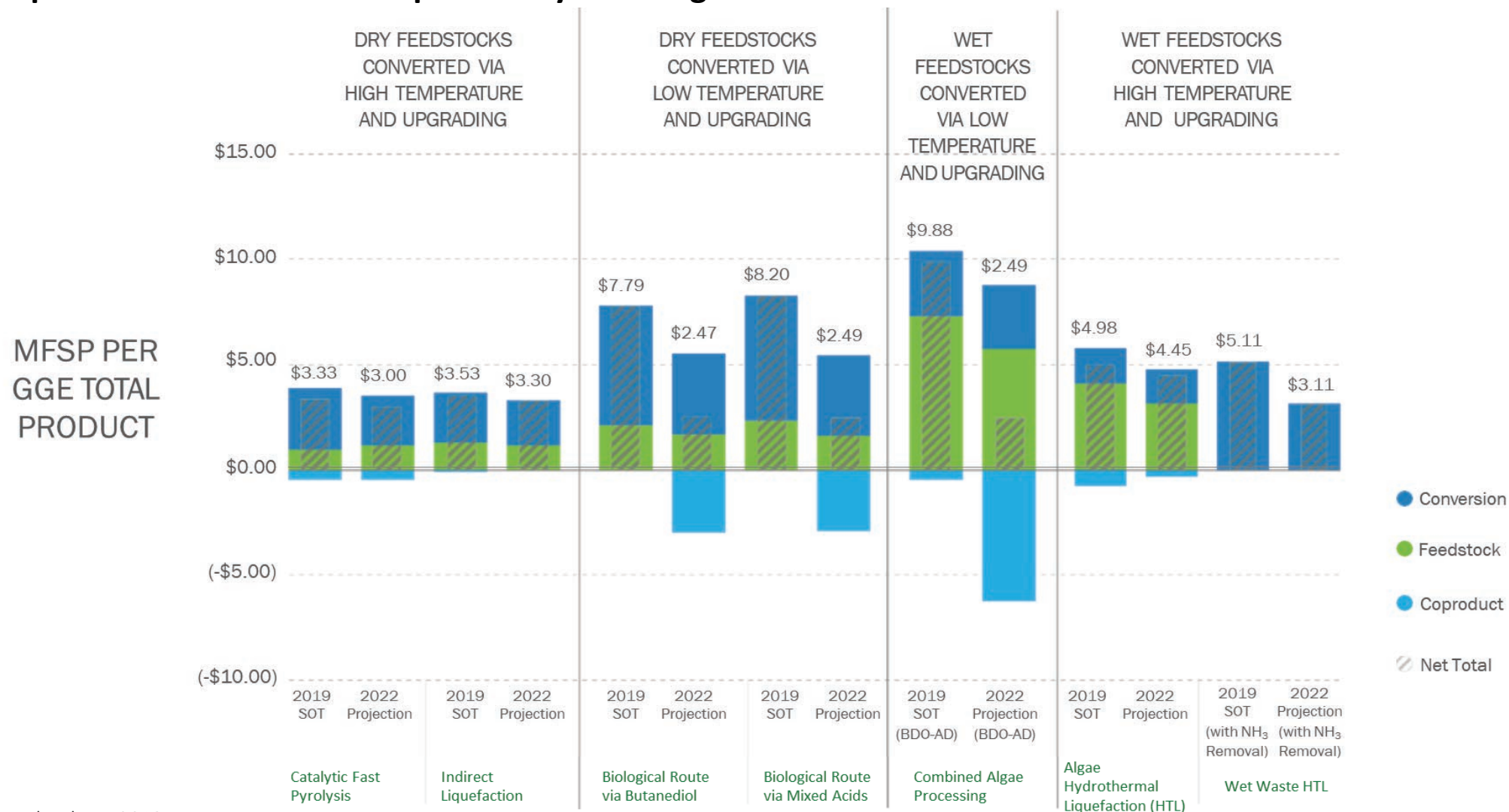
## Bioproducts uniformly show emission reductions compared to their fossil-derived counterparts



Life-Cycle Fossil Energy Consumption and Greenhouse Gas Emissions of Bioderived Chemicals and Their Conventional Counterparts – Felix Adom, Jennifer Dunn, Jeongwoo Han, and Norm Sather.

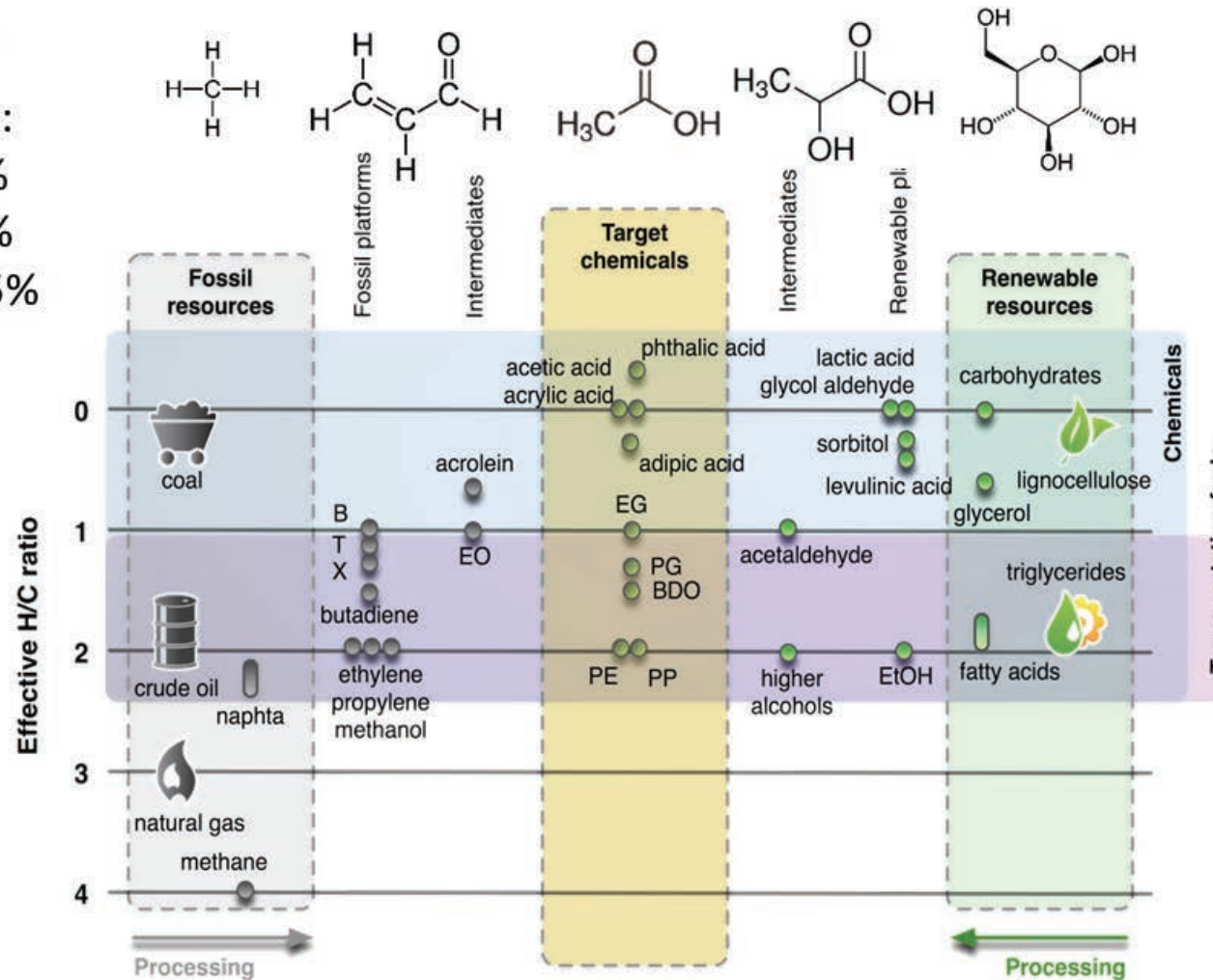
# Why is BETO interested in biobased products?

## Bioproducts can enable a bioprocess by reducing the MFSP



# Biomass provides functionalized chemistry to support new material design

Crude oil  
 Avg. wt%:  
 C 83-87%  
 H 10-14%  
 O 0.1-1.5%



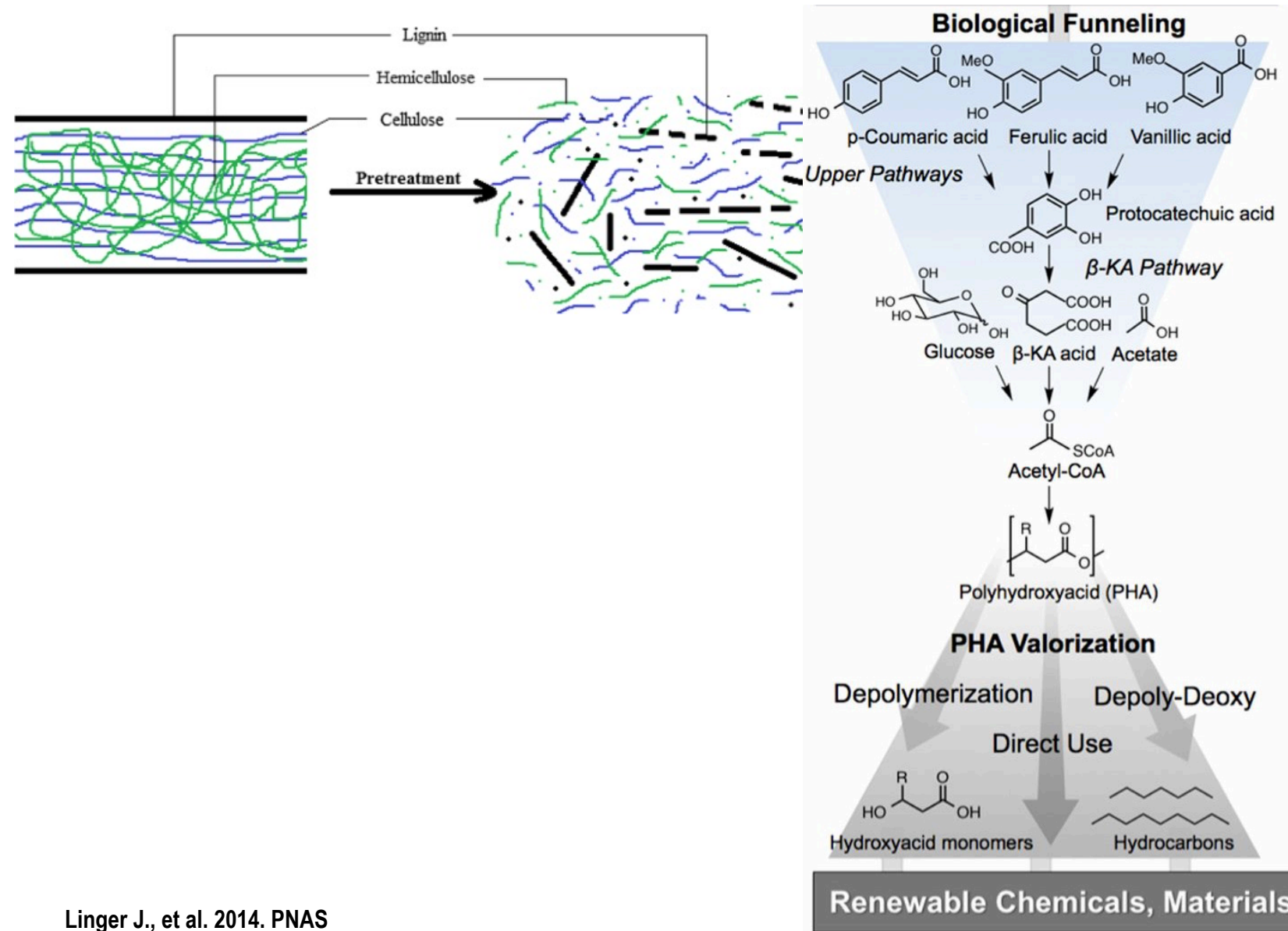
Biomass  
 Avg. wt%:  
 C 36-53%,  
 H 5-7%,  
 O 31-48%

Consider the  
 oxidation  
 state of  
 chemicals –  
 retain what  
 nature  
 provides

Vennestrøm, P.N. R. *et al* *Angew. Chem. Int. Ed.* **2011**, *50*, 10502-10509

Shen, J. *et al* *Energy Conversion and Management* **2010**, *51*, 983–987

# Microbial organisms are talented at funneling biomass to products



Linger J., et al. 2014. PNAS

# Performance-Advantaged Bioproducts Strategy – Goals and Targets

- BETO program goals broken down into BETO Level Barriers and challenges
- Feedstock Supply & Logistics R&D Barriers and Challenges
- Advanced Algal Systems R&D Barriers and Challenges
- **Conversion R&D Barriers and Challenges**
- Advanced Development and Optimization Barriers and Challenges
- Analysis and Crosscutting Sustainability Barriers and Challenges

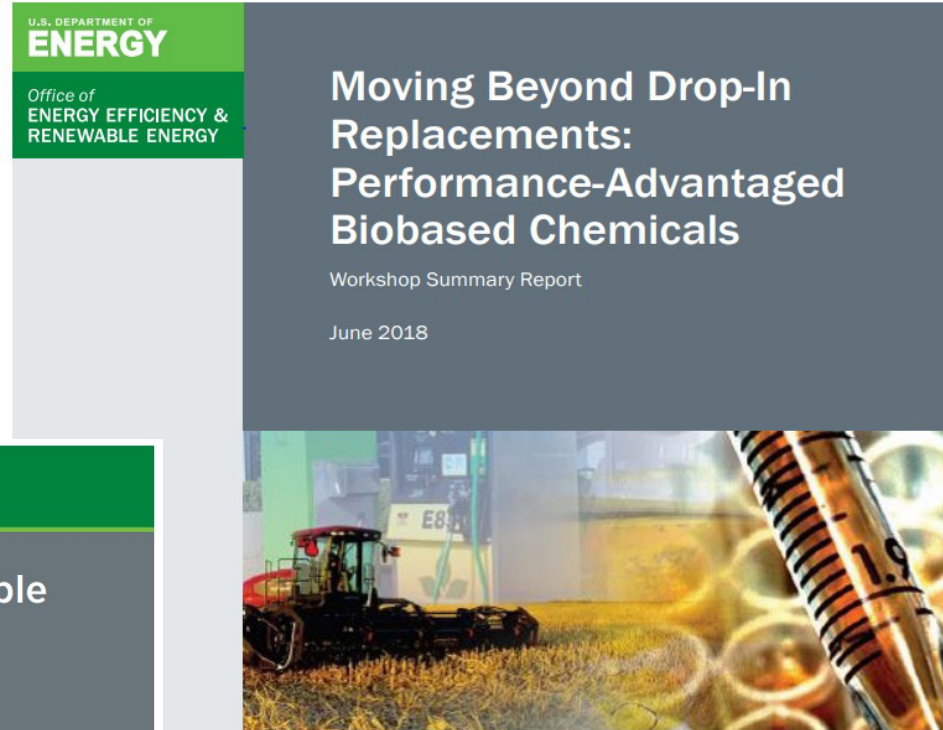
# Performance-Advantaged Bioproducts Strategy – Goals and Targets

- BETO Program Barriers
  - Barrier Ot-B Cost of production: “As with petroleum refineries, product slates will need to include bioproducts to spread the costs of production across biofuels and higher-valued bioproducts to be competitive in commodity markets.”
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# Engagement | Technology Area Strategy

Stakeholder feedback has been solicited to ensure BETO's PABP work is positioned for success

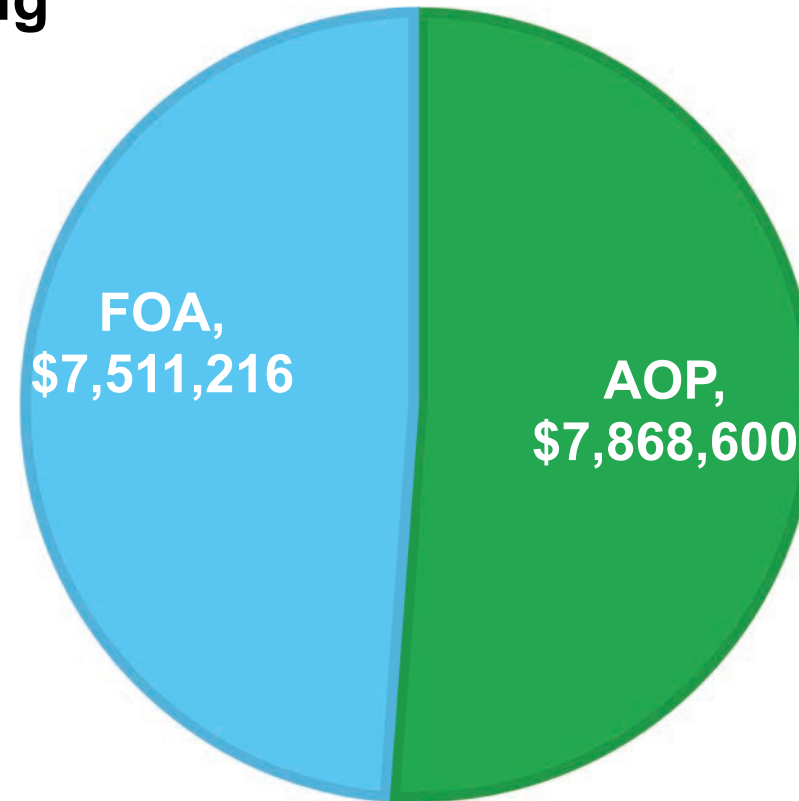


# Approach | How has BETO invested to overcome these barriers?

- Every year we accept proposals from our National Lab partners
  - Only National Labs can compete for this funding
  - These projects are called Annual Operating Plans (AOPs)
- Funding opportunities open to all:
  - **FY14 Carbon Fiber FOA**
  - **FY18 BioEnergy Engineering for Products Synthesis (BEEPS) FOA**
  - FY19 FOA: bio jet topic
  - FY20 FOA: biopower and bioproducts from waste
- Reminder: this session does not encompass *all* of BETO's bioproducts work. Some appears in the Biochemical Conversion and Catalytic Upgrading sessions. Some relevant analysis appears in the Analysis and Sustainability session.

# Portfolio | Technology Area Strategy

In this PABP panel, we are reviewing  
4 FOA projects and 5 AOP projects



## Active Management | Technology Area Progress

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- Many projects required a no-cost time extension (NCTE) due to the impacts of the global SARS-CoV2 pandemic
- All projects are actively managed by BETO technology managers
  - Quarterly reports and update meetings
    - Quarterly Financial reporting
  - Monitor progress toward milestones
  - Initial, Intermediate, and Final verifications for FOA projects

# Let's get started!

To begin, we will start with our AOP portfolio

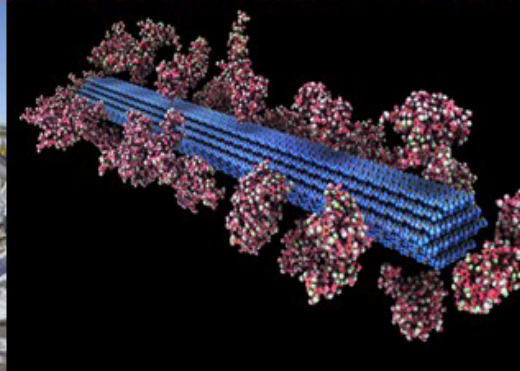
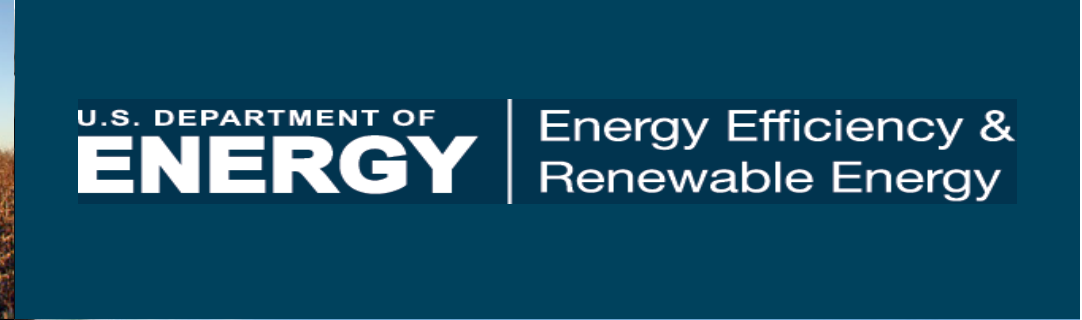
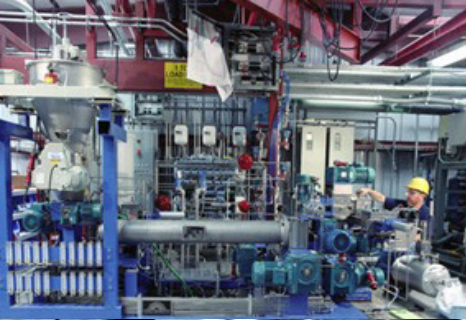
| Start           | End (ET)        | Time      | Project Title   | Organization | PI            |
|-----------------|-----------------|-----------|---|--------------|---------------|
| 10:45 AM        | 11:15 AM        | 30        | Renewable Carbon Fibers Consortium  | NREL         | Adam Bratis   |
| 11:15 AM        | 11:45 AM        | 30        | Melt-stable engineered lignin thermoplastic: a printable resin                        | ORNL         | Amit Naskar   |
| 11:45 AM        | 12:15 PM        | 30        | Bio-Insecticides from Thermochemical Biomass Conversion                               | NREL         | Nolan Wilson  |
| <i>12:15 PM</i> | <i>12:30 PM</i> | <i>15</i> | <i>Break</i>  | <i>All</i>   |               |
| 12:30 PM        | 1:00 PM         | 30        | Performance-Advantaged Bioproducts via Selective Biological and Catalytic Conversions | NREL         | Gregg Beckham |
| 1:00 PM         | 1:30 PM         | 30        | Inverse biopolymer design through machine learning and molecular simulation           | NREL         | Nolan Wilson  |
| <i>1:30 PM</i>  | <i>1:40 PM</i>  | <i>10</i> | <i>Break</i>  | <i>All</i>   |               |

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## FOA vs AOP Interlude

10 March 2021

**Gayle Bentley**

Technology manager

# Approach | Funding Types

|                            | FOA                      | AOP                     |
|----------------------------|--------------------------|-------------------------|
| Selection Method           | Competitive              | Lab Call                |
| Open to the Public         | ✓                        | ✗                       |
| National Lab Participant   | Only as Subrecipient     | ✓                       |
| Go/No-Go Decision Points   | ✓                        | ✓                       |
| Verifications              | ✓                        | ✗                       |
| Award Modifications Method | Contracting Officer (CO) | AOP Tool Change Control |

FOA = Funding Opportunity Announcement

AOP = Annual Operating Plan

# Approach | BETO invests in both AOP and FOA projects to overcome barriers

- Every year we accept proposals from our National Lab partners
  - Only National Labs can compete for this funding
  - These projects are called Annual Operating Plans (AOPs)
- Funding opportunities open to all (related to PABP):
  - **FY14 Carbon Fiber FOA**
  - **FY18 BioEnergy Engineering for Products Synthesis (BEEPS) FOA**
  - FY19 FOA: bio jet topic
  - FY20 FOA: biopower and bioproducts from waste
- Reminder: this session does not encompass *all* of BETO's bioproducts work. Some appears in the Biochemical Conversion and Catalytic Upgrading sessions. Some relevant analysis appears in the Analysis and Sustainability session.



# 2018 BEEPs FOA

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FOA Title: BioEnergy Engineering for Products Synthesis (BEEPS)

- FOA Released: 5/3/2018
- Selections: 9/30/2018
- FOA Number: DE-FOA-0001916
- Topic Area 3: Performance Advantaged Bioproducts
  - Subtopic A: Performance Advantaged Bioproduct **Identification**
  - Subtopic B: Performance Advantaged Bioproduct **Production**

# All competitive projects reviewed in this panel were funded by the BEEPS FOA

| Title  | Organization                       | PI               |
|--|------------------------------------|------------------|
| Identifying Performance Advantaged Biobased Chemicals Utilizing Bioprivileged Molecules  | Iowa State University              | Brent Shanks     |
| Cellulose-Chitin Composites for Performance Advantaged Barrier Packaging Bioproducts   | Georgia Institute of Technology    | Carson Meredith  |
| Fermentative production of Tulipalin A: a next-generation, sustainable monomer that drastically improves the Performance of pMMA | Arzeda                             | Alex Zanghellini |
| Design and development of bio-advantaged vitrimers as closed-loop bioproducts  | University of California, Berkeley | Jay Keasling     |

# FOA Overview – Topic 3a

- Topic 3a: Performance Advantaged Bioproduct **Identification**
  - Iowa State University

It is difficult to identify promising performance advantaged biobased products because of limited available data linking chemical structure to functionality and performance for many biobased compounds. As such, applications of interest must focus on: a) identifying structures of novel biobased compounds, b) identifying performance attributes that could be addressed with biobased compounds, or c) addressing both issues simultaneously.

| Metric  | Unit     | Minimum | Stretch |
|---|----------|---------|---------|
| New / Novel Bio-based Products Identified   | #        | 5       | >5      |
| Performance Advantage (Outperform Incumbent Product's Thermo / Mechanical Properties) | Variable | 10%     | 20%     |

# FOA Overview – Topic 3b

- **Topic 3b: Performance Advantaged Bioproduct Production**

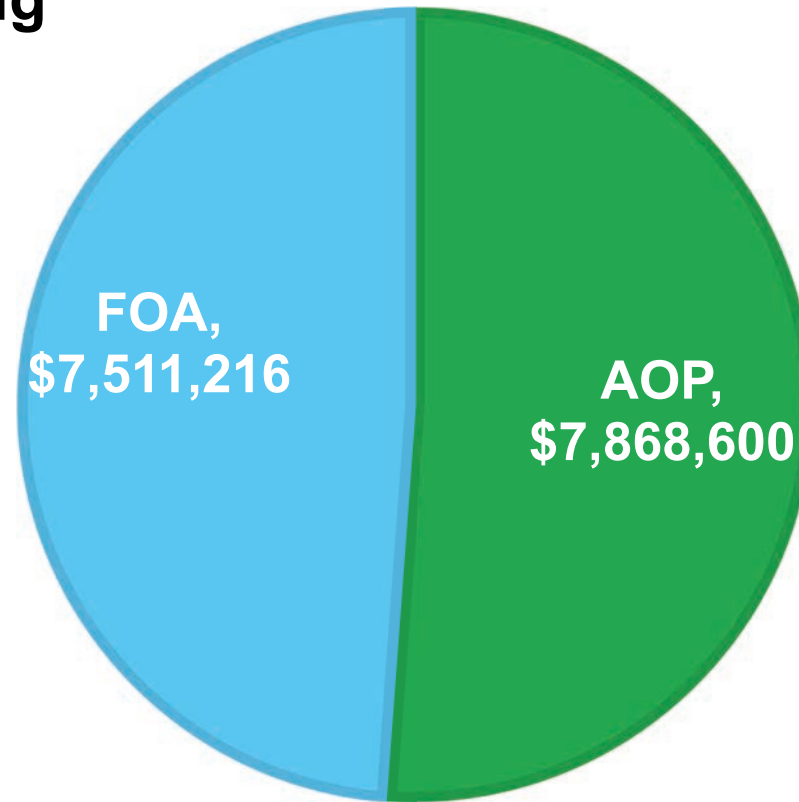
- Georgia Institute of Technology
- Arzeda
- University of California, Berkeley

The relationship between a bioproduct and a petroleum-derived product can be categorized one of three ways: bioproducts can be 1) direct replacements (i.e. the bio-derived product and the petroleum-derived product are chemically identical, also known as “drop-in” replacements); 2) functional replacements (i.e. the bio-derived product and petroleum-derived product are different chemically, but they have similar functions/properties), or 3) novel products (i.e. the bio-derived product does not resemble an existing petroleum-derived product in structure or function.) Performance advantaged biobased products are bioproducts that do not resemble an existing commercial petroleum-derived product with functions that offer a performance advantage over existing products.

| Metric  | Unit     | Minimum | Stretch |
|---|----------|---------|---------|
| Produce Novel Bio-based Product   | #        | 1       | >1      |
| Performance Advantage (Outperform Incumbent Product’s Thermo / Mechanical Properties) | Variable | 10%     | 20%     |

# Technology Area Strategy – Portfolio

In this PABP panel, we are reviewing  
4 FOA projects and 5 AOP projects



# Exciting progress to be showcased by our presenters!

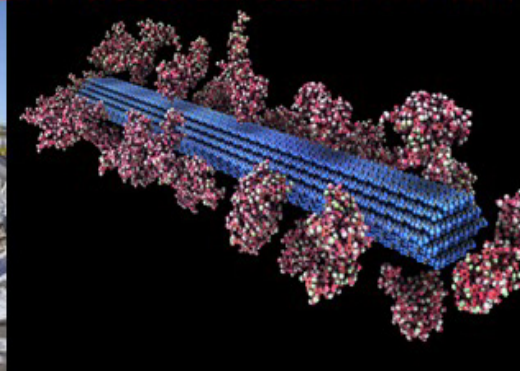
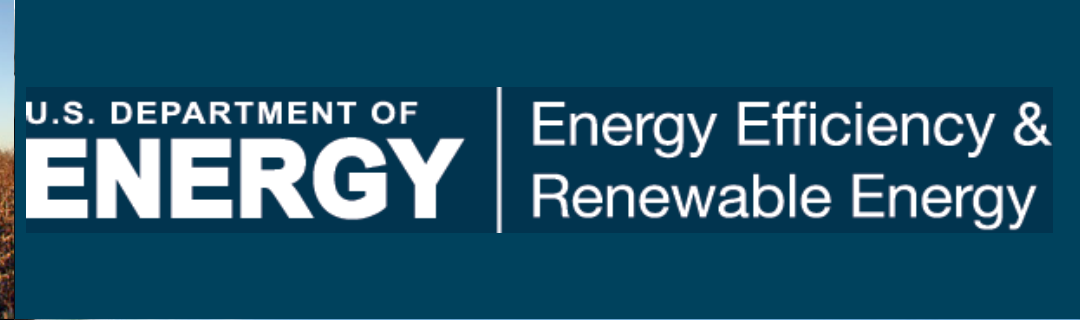
| Start          | End (ET)       | Time      | Project Title  | Organization                       | PI               |
|----------------|----------------|-----------|--|------------------------------------|------------------|
| 1:45 PM        | 2:15 PM        | 30        | Identifying Performance Advantaged Biobased Chemicals Utilizing Bioprivileged Molecules  | Iowa State University              | Brent Shanks     |
| 2:15 PM        | 2:45 PM        | 30        | Cellulose-Chitin Composites for Performance Advantaged Barrier Packaging Bioproducts   | Georgia Institute of Technology    | Carson Meredith  |
| <i>2:45 PM</i> | <i>3:15 PM</i> | <i>30</i> | <i>Lunch</i>   | <i>All</i>                         |                  |
| 3:15 PM        | 3:45 PM        | 30        | Fermentative production of Tulipalin A: a next-generation, sustainable monomer that drastically improves the Performance of pMMA | Arzeda                             | Alex Zanghellini |
| 3:45 PM        | 4:15 PM        | 30        | Design and development of bio-advantaged vitrimers as closed-loop bioproducts  | University of California, Berkeley | Jay Keasling     |
| 4:15 PM        | 4:30 PM        | 15        | Comment Review Session   | All Reviewers                      |                  |

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# Bioprocessing Separations Introduction

Thursday March 11<sup>th</sup>, 2021

Gayle Bentley  
Technology Manager  
Peer Review 2021

# Outline

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- Schedule walk-through
- Reviewer welcome
- Plastics overview
- BETO efforts



# Agenda

| Start Time ET                           | End Time ET | Min. | Title   | Organization | Speaker          |
|---|-------------|------|---|--------------|------------------|
| <b>DAY 4 – Thursday, March 11, 2021</b> |             |      |   |              |                  |
| 11:30 AM                                | 11:50 AM    | 20   | <i>Gather, Tech Check, Networking Questions</i>   |              | <i>All</i>       |
| 11:50 AM                                | 12:00 PM    | 10   | Separations - Overview                            | BETO         | Gayle Bentley    |
| 12:00 PM                                | 1:30 PM     | 90   | Steering committee overview                       | ANL          | Jennifer Dunn    |
| 1:30 PM                                 | 1:45 PM     | 15   | <i>Break</i>                                      |              | <i>All</i>       |
| 1:45 PM                                 | 3:15 PM     | 90   | Lignin Rich Stream Fractionation and Purification | NREL         | Eric Karp        |
|   |             |      | Volatile Products Recovery                        | ANL          | Phil Laible      |
|   |             |      | Redox-Based Electrochemical Separations           | ANL          | Lauren Valentino |
|   |             |      | 2,3-Butanediol Separation                         | ORNL         | Aimee Lu Church  |
|   |             |      | Counter Current Chromatography                    | NREL         | Eric Karp        |
| 3:15 PM                                 | 3:25 PM     | 10   | <i>Break</i>                                      |              | <i>All</i>       |
| 3:25 PM                                 | 3:55 PM     | 30   | Reviewer Debrief                                  |              | All Reviewers    |

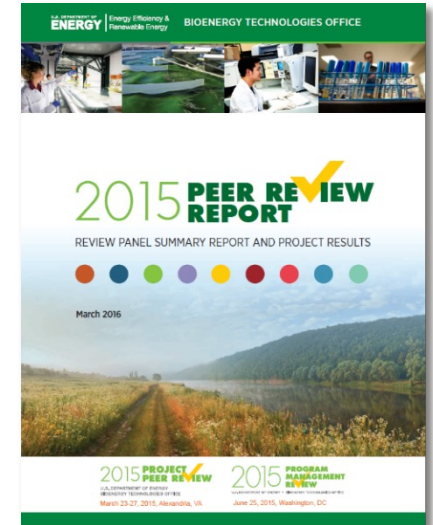
# Welcome to our Reviewers!

| Name                          | Affiliation  | Previous Peer Review Experience |
|-------------------------------|--|---------------------------------|
| Matt Tobin<br>(Lead Reviewer) | Independent Consultant - Formerly Lygos, Amyris, and Codexis | 2019 Panel                      |
| Peter Keeling                 | Purdue University  | 2019 Panel                      |
| Karen Draths                  | Michigan State University                                    | New this year                   |
| Ray Miller                    | Independent Consultant – Formerly DuPont and PDO             | 2019 Panel                      |
| Bill Orts                     | USDA   | New this year                   |
| Sharon Haynie                 | Independent Consultant, Formerly DuPont                      | New this year                   |
| Paul Bryan                    | Independent Consultant – Formerly BETO, Sandia, and Chevron  | BETO longtimer                  |

# A Note About Consortia

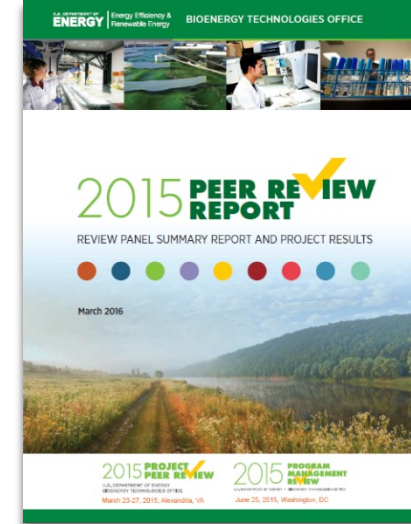
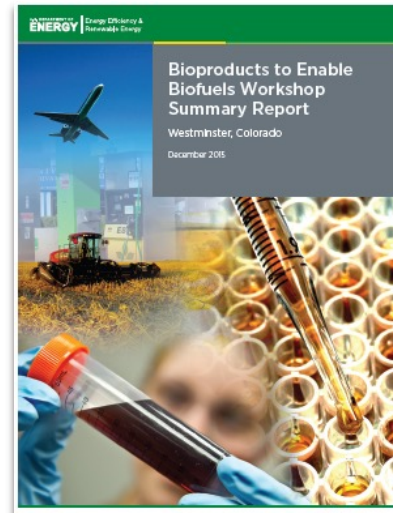
- 2015 Peer Reviewers noted that work across the National Labs was occasionally duplicative and could be better coordinated
- Starting in 2017, launched several multi-lab consortia, including the Bioprocessing Separations Consortium and the Performance Advantaged Bioproducts Consortium
- 2017 Steering Committee Feedback:

“The organization into consortia helps the national laboratories be **more efficient** in their research, **pooling expertise and avoiding** redundancy, while also **encouraging a broader perspective** on problem-solving across different processes and identification of common problems (**particularly for the Separations Consortium**). It also provides a **central point of contact for industry**, allowing companies to more easily find expertise across the national laboratories.”



# Context for a Separations Consortium

- 2015 Peer Reviewers, several workshops and FOAs have identified separations challenges



- Separations contribute up to 50% of processing costs
- Biofuels separations processes face a lot of unique challenges
- Cross-cutting area that spans all areas of conversion
- BETO funded a handful of disparate separations technologies, not necessarily affiliated with any critical challenges

# Bioprocessing Separations Consortium

- Launched Separations Consortium in FY17, ~\$3.5M/year
  - Renewed in 2020



- **Goals:**

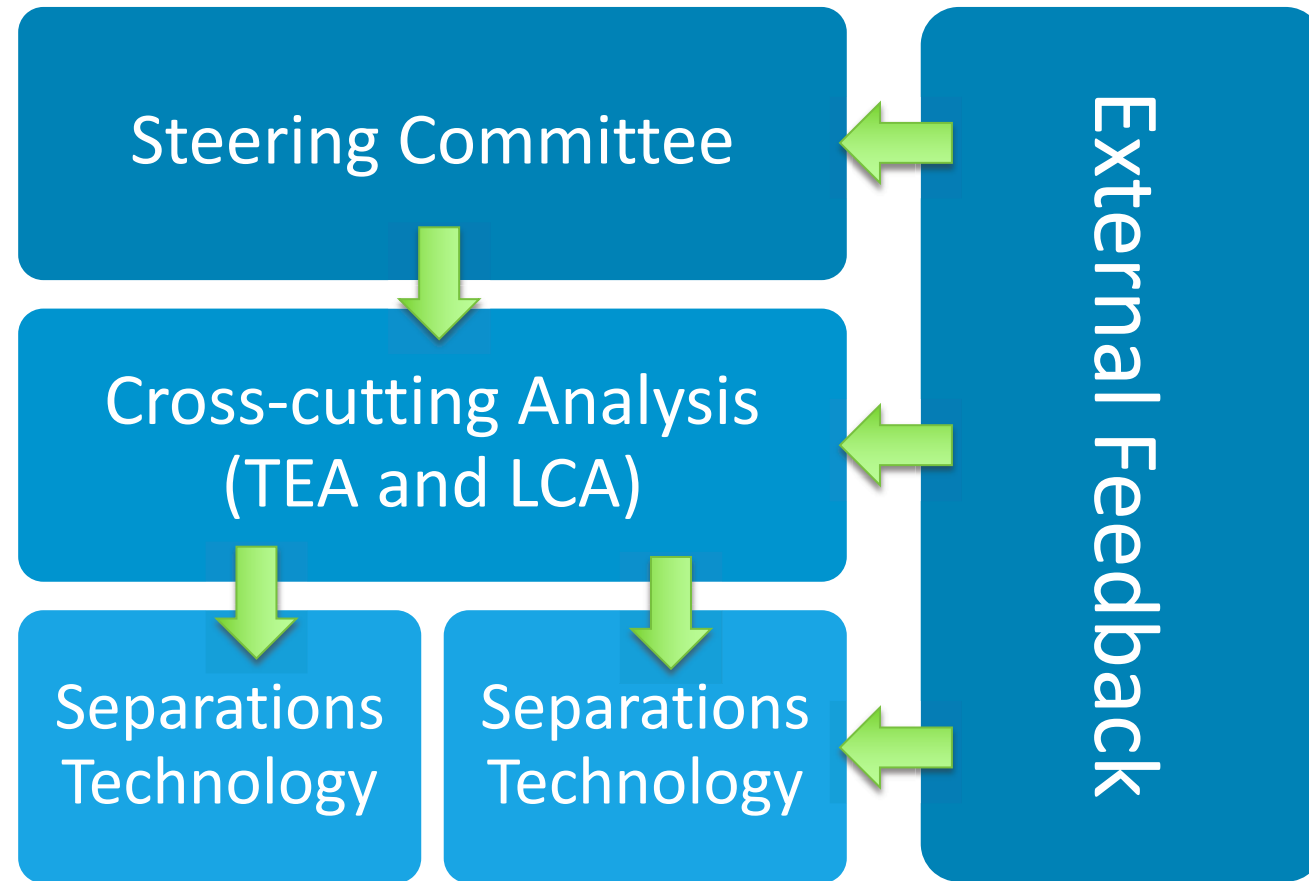
- A separations consortium that addresses industrially relevant separations challenges in bioenergy
- Research approach(es) grounded in technoeconomic analysis
- High impact or broadly applicable separations techniques
- Avoid developing separations processes that are not well suited for biofuel applications
- Capitalize on shared expertise, resources, and passion across the Labs

- **Challenges:**

- How do we determine what the most promising separations challenges are?



# Consortium Structure



Consortium structure has evolved but the mission of the consortium remains constant: pursue separations technologies grounded in TEA and LCA while seeking frequent industry feedback

# Fast Forward to 2021

- **Consortium Structure has evolved**
  - Made difficult decisions about which technologies to focus on, where the Consortium could make the biggest impact
- **Focus on industry relationships**
  - Established an Industry Advisory Board with regular interactions
  - Launched a lab-directed funding opportunity
  - Developed an easy-to-use website to encourage partnerships
    - [www.bioesep.org](http://www.bioesep.org)
- **Renewed in 2020 (2020-2022)**
  - New ideas based on industry feedback
  - Critical decisions about consortium structure and organization
  - Welcome feedback on future directions

# Goals and Targets | Separations Strategy

- BETO program goals broken down into BETO Level Barriers and challenges
- Feedstock Supply & Logistics R&D Barriers and Challenges
- Advanced Algal Systems R&D Barriers and Challenges
- Conversion R&D Barriers and Challenges
- Advanced Development and Optimization Barriers and Challenges
- Analysis and Crosscutting Sustainability Barriers and Challenges



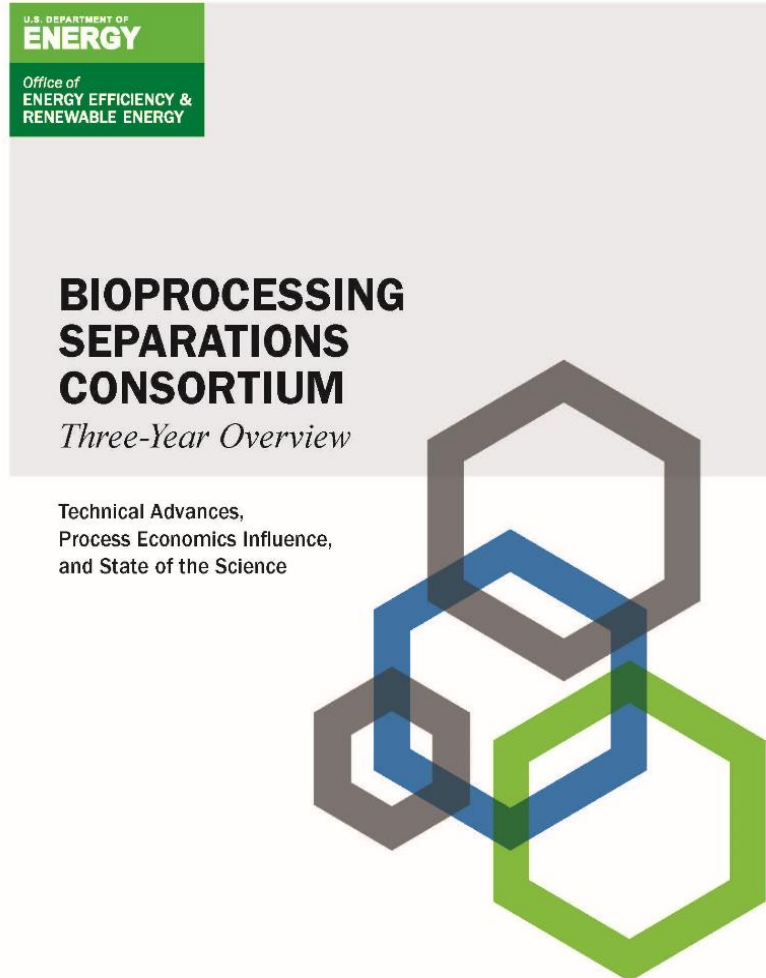
# Goals and Targets | Separations Strategy

- BETO Program Barriers
  - Barrier Ot-B Cost of production: “As with petroleum refineries, product slates will need to include bioproducts to spread the costs of production across biofuels and higher-valued bioproducts to be competitive in commodity markets.”
- Conversion R&D Barriers and Challenges
  - **Ct-D. Advanced Bioprocess Development:** Increasing titer, rates, and yields of bioproducts through metabolic engineering and fermentation processing improvements is critical to lowering the costs of fuels and chemicals produced from biomass.
  - **Ct-O. Selective Separations of Organic Species:** “Separation of organic species in biomass processes for upgrading to final fuel and bioproduct molecules has high energy requirements. Desirable compounds are often closely related structurally to undesired intermediates... Low-cost purification technologies need to be developed to remove other organic contaminants and provide concentrated, clean intermediates from which biofuels and biobased chemicals can be manufactured.”

# Engagement | Technology Area Strategy

Stakeholder feedback is regularly solicited to ensure BETO's Separations work is positioned for success

The Separations Consortium actively receives feedback from the Industry Advisory Board



Request for Information (RFI) run in 2020

Solicit feedback from industry and other stakeholders

- Technology barriers
- Tools and capabilities

# Let's get started!

| Start Time ET | End Time ET | Min. | Title   | Organization | Speaker          |
|---------------|-------------|------|---|--------------|------------------|
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