U.S. Department of Energy
Categorical Exclusion Determination Form

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Proposed Action Title: University of California, Santa Barbara -- Coherent wavelength switched co-packaged optics to disrupt datacenters/HPC

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): Santa Barbara, CA; Pasadena, CA

Proposed Action Description:

Funding will support the project team's small-scale research and development activities to develop technologies and architectures for introducing various types of optical switches in data centers to enhance their energy efficiency and streamline their operation. Specifically, the project team will (1) conduct initial design reviews and demonstrate high-speed performance of 1st gen quantum dot (QD) lasers, (2) complete final designs and subsystem demonstrations, and (3) complete network design and performance estimations for energy efficient growth of full-scale data centers. If successful, the project team will demonstrate efficient coherent transmitters and receivers that have the potential to transform data center networks by enabling all-optical switching and routing to maximize server utilization and total workload efficiency by >2X.

Project activities will be conducted at existing facilities at the University of California, Santa Barbara (Santa Barbara, CA); Rockley Photonics, Inc. (Pasadena, CA); and Quintessent, Inc. (Santa Barbara, CA) designed for the applicable activities. Project tasks will be conducted in accordance with established safety and materials/waste management protocols and pursuant to applicable Federal, State, and local regulatory requirements. No modifications will be made to existing facilities to accommodate the proposed work.

Categorical Exclusion(s) Applied:

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of 10 CFR Part 1021.

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

☑ The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

☑ There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

☑ The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer: Geoffrey Goode Digitally signed by Geoffrey Goode Date: 2020.05.07 05:03:00 -04'00' Date Determined:

(This form will be locked for editing upon signature)