PMC-ND (1.08.09.13)

U.S. DEPARTMENT OF ENERGY OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY NEPA DETERMINATION



RECIPIENT: Arizona State University

PROJECT TITLE:

ASU's DAC polymer-enhanced cyanobacterial bioproductivity (AUDACity)

Funding Opportunity Announcement Number

Procurement Instrument Number

NEPA Control Number CID Number

DE-FOA-0002203

DE-EE0009274

GFO-0009274-001

STATE: AZ

GO9274

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

CX, EA, EIS APPENDIX AND NUMBER:

Description:

A9 Information gathering, analysis, and

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and dissemination informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of

appendix B to this subpart.)

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to Arizona State University (ASU) to develop a novel system for the cultivation of cyanobacteria for biofuel production applications. The system would capture and deliver CO2 from the air to cyanobacterial strains that would in turn produce inputs for biofuel production.

The project would be divided into three Budget Periods (BPs). This review will only be applicable to BP1 activities. BP2 and BP3 activities are currently being developed in coordination with DOE. Tasks for these two BPs cannot be reviewed until they are fully defined. BP2 and BP3 will be reviewed at a later date, once all relevant information has been submitted to DOE.

BP1 would consist solely of process and data validation to support claims in ASU's application to DOE. Project work in this task would consist solely of office-based data analysis performed at the facilities of ASU in Tempe, AZ and/or Mesa, AZ. Due to the nature of project activities, no significant health and safety hazards are anticipated. Nonetheless, ASU would adhere to established health and safety policies and procedures and would observe all applicable Federal, state, and local health, safety, and environmental regulations.

NEPA PROVISION

DOE has made a conditional NEPA determination.

The NEPA Determination applies to the following Topic Areas, Budget Periods, and/or tasks:

All BP1 Tasks.

The NEPA Determination does <u>not</u> apply to the following Topic Area, Budget Periods, and/or tasks:

All BP2 and BP3 Tasks.

Notes:

Bioenergy Technologies Office This NEPA determination requires a tailored NEPA provision. Review completed by Jonathan Hartman, 11/20/2020

FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

A portion of the proposed action is categorically excluded from further NEPA review. The NEPA Provision identifies Topic Areas, Budget Periods, tasks, and/or subtasks that are subject to additional NEPA review.

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature: NEPA Compliance Officer FIELD OFFICE MANAGER DETERMINATION Field Office Manager review not required Field Office Manager review required BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO: Field Office Manager's Signature: Date:

Field Office Manager