



# U.S. Department of Energy

## Categorical Exclusion Determination Form

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Proposed Action Title:

Program or Field Office:

Location(s) (City/County/State):

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Proposed Action Description:

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Categorical Exclusion(s) Applied:

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For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer:

Date Determined:

**Attachment A: Projects in the PERFORM (FOA No. DE-FOA-0002171) Program**

<b>Prime Recipient (Control No.)</b>	<b>Project Title</b>	<b>Categorical Exclusion</b>
<b>Energy and Environmental Economics, Inc. (2171-1533)</b>	Deploying E3's RESERVE Tool to Enable Advanced Operation of Clean Grids	A9
<b>Rensselaer Polytechnic Institute (2171-1535)</b>	Risk segmentation and Portfolio Analysis for Pareto Dominance in High Renewable Penetration and Storage Reserves	A9
<b>Lehigh University (2171-1548)</b>	Application of Banking Scoring and Rating for Coherent Risk Measures in Electricity Systems	A9
<b>Castalune, LLC (2171-1553)</b>	Event Correlation Analysis for Robust Renewable Grids	A9
<b>Tabors Caramanis Rudkevich, Inc. (2171-1566)</b>	Stochastic Nodal Adequacy Platform	A9
<b>Energy Trading Analytics, LLC (2171-1575)</b>	Stochastic Market Auction Redesigned Trading System (SMARTS)	A9
<b>Trustees of Boston University (2171-1585)</b>	A New Risk Assessment and Management Paradigm (NewRAMP) in Electricity Markets	A9
<b>Duke University (2171-1618)</b>	A Grid that's Risk-Aware for Clean Electricity – GRACE	A9
<b>Princeton University (2171-1603)</b>	Stochastic Models, Indices & Optimization Algorithms for Pricing	A9
<b>Columbia University (2171-1561)</b>	Risk-Aware Power System Control, Dispatch and Market Incentives	A9
<b>National Renewable Energy Laboratory (2171-1507)</b>	An Integrated Paradigm for the Management of Delivery Risk in Electricity Markets: From Batteries to Insurance and Beyond	A9