

Thursday, February 28, 2019

The following **Annual Freedom of Information Act** report covers the Period 10/01/2017, through 09/30/2018, as required by 5 U.S.C. 552.

## I. BASIC INFORMATION REGARDING REPORT

1. David Brown, Director

Office of Administration, MA-40

U.S. Department of Energy

1000 Independence Avenue,

SW Washington, DC 20585

202-586-5710

Alexander Morris, FOIA

Officer FOIA Office, MA-46

Office of Public Information

U.S. Department of Energy

1000 Independence Avenue,

SW Washington, DC 20585

202-586-5955

2. An electronic copy of the Freedom of Information Act (FOIA) report can be obtained at <http://energy.gov/management/office-management/operational-management/freedom-information-act/documents/annual-reports>.

3. A paper copy of the report can be obtained at the Headquarters FOIA Public Reading Room located in the Forrestal Building, 1000 Independence Avenue, SW, room 1J-018, Washington, DC 20585, or by submitting a request to the FOIA Office. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

## II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

For the names, addresses and telephone numbers, visit our website at

<http://energy.gov/management/office-management/operational-management/freedom-information-act/foia-contacts>.

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

The DOE's mission is to advance the national, economic, and energy security of the United States; to promote scientific and technological innovation in support of that mission; and to ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by the DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. The DOE invokes several of the FOIA's exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under the FOIA to protect national security, proprietary interests of submitters; personal privacy of individuals, and allow open and frank discussion as part of business deliberations and policy.

## III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Include the following definitions of terms used in this Report:
  - a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.

- d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks.



- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
BPA	Bonneville Power Administration
CBFO	Carlsbad Field Office
CH	Chicago Operations Office
EMCBC	Environmental Management Consolidated Business Center
GFO	Golden Field Office
HQ	Headquarters
ID	Idaho Operations Office
NETL	National Energy Technology Laboratory
NR	Naval Reactors
NRLFO	Naval Reactors Laboratory Field Office
ORO	Oak Ridge Office
OSTI	Office of Scientific and Technical Information
ROO	Richland Operations Office
SEPA	South Eastern Power Administration
SPR	Strategic Petroleum Reserve

SRO	Savannah River Office
SWPA	Southwestern Power Administration
WAPA	Western Area Power Administration
NNSA	National Nuclear Security Administration

#### IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BPA	3	5
			CH	1	
			NNSA	1	
26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).	CH	1	9
			EMCBC	1	
			HQ	6	
			ROO	1	

42 U.S.C. §§ 2162; 2168(a)(1) (Atomic Energy Act)	"Restricted Data" pertaining to atomic weapons and special nuclear material	Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986).	HQ	3	9
			SRO	1	
			NNSA	5	
5 U.S.C. app. § 105	Financial disclosure reports and applications to inspect financial disclosure reports	N/A	HQ	1	1
50 U.S.C. § 3024(i)(1) (formerly at 50 U.S.C. § 403-1(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	CIA v. Sims, 471 U.S. 159, 167 (1985).	HQ	13	13
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	ID	1	2
			WAPA	1	
15 U.S.C. § 638(k)(4)	Information contained within a Small Business Innovative Research (SBIR) and Small Business Technology Transfer (STTR) program evaluation databases	N/A	ID	1	1



6 U.S.C. § 824o-1(d)	Information pertaining to Critical Electric Infrastructure Security	N/A	WAPA	3	3
					0
22 U.S.C. § 2778(e) (Arms Export Control Act)	Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, 1998 U.S. Dist. LEXIS 23642 at *11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).	NNSA	1	1
					0

## V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BPA	33	57	70	20
CBFO	1	7	8	0
CH	6	41	44	3
EMCBC	11	66	57	20
GFO	1	107	100	8
HQ	222	1,219	1,038	403
ID	0	12	11	1
NETL	9	15	20	4
NR	0	0	0	0
NRLFO	1	11	9	3
ORO	30	142	157	15
OSTI	4	16	15	5
ROO	2	40	39	3
SEPA	0	1	1	0
SPR	0	8	7	1
SRO	2	22	23	1
SWPA	1	1	2	0
WAPA	2	24	26	0
NNSA	158	284	266	176
AGENCY OVERALL	483	2,073	1,893	663

After reviewing its database, DOE made adjustments to the number of requests pending as of the start of the fiscal year for CH, HQ, ORO, SRO, and NNSA.

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
BPA	25	25	1	14	0	3	0	1	1	0	0	0	70
CBFO	3	0	0	1	1	0	0	0	2	0	1	0	8
CH	7	2	1	12	5	1	5	2	1	7	1	0	44
EMCBC	19	14	0	14	3	2	1	3	0	0	1	0	57
GFO	29	19	0	15	1	21	9	5	1	0	0	0	100
HQ	216	205	12	136	190	228	6	11	4	17	13	0	1,038
ID	5	4	1	1	0	0	0	0	0	0	0	0	11
NETL	3	4	2	0	0	4	5	1	0	0	1	0	20
NR	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	1	0	5	0	2	0	0	1	0	0	0	9
ORO	90	24	0	24	1	2	0	7	2	6	1	0	157
OSTI	7	0	0	3	1	0	0	1	0	0	3	0	15
ROO	11	10	0	9	1	6	0	1	1	0	0	0	39
SEPA	0	0	0	1	0	0	0	0	0	0	0	0	1
SPR	3	2	0	2	0	0	0	0	0	0	0	0	7
SRO	12	3	1	5	0	1	0	0	1	0	0	0	23
SWPA	1	0	0	0	1	0	0	0	0	0	0	0	2
WAPA	5	12	0	3	0	3	0	1	2	0	0	0	26
NNSA	95	34	2	95	14	8	0	1	8	3	6	0	266

AGENCY OVERALL	531	359	20	340	218	281	26	34	24	33	27	0	1,893
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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
BPA	N/A	0	0
CBFO	N/A	0	0
CH	N/A	0	0
EMCBC	N/A	0	0
GFO	N/A	0	0
HQ	N/A	0	0
ID	N/A	0	0
NETL	N/A	0	0
NR	N/A	0	0
NRLFO	N/A	0	0
ORO	N/A	0	0
OSTI	N/A	0	0
ROO	N/A	0	0
SEPA	N/A	0	0

SPR	N/A	0	0
SRO	N/A	0	0
SWPA	N/A	0	0
WAPA	N/A	0	0
NNSA	N/A	0	0
AGENCY OVERALL			0

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BPA	0	4	3	4	8	20	0	0	1	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	2	0	0	2	0	0	0	0	0	0	0	0
EMCBC	0	0	1	6	3	12	0	0	0	0	0	0	0	0
GFO	0	0	0	12	2	9	0	0	0	0	0	0	0	0
HQ	3	2	23	29	77	190	3	0	58	1	18	1	0	0
ID	0	0	2	2	1	2	0	0	0	0	0	0	0	0
NETL	0	0	0	4	3	1	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	1	0	0	0	0	0	0	0	0
ORO	0	0	0	9	3	16	0	0	1	0	1	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	1	2	4	8	0	0	0	0	2	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	2	1	1	0	0	0	0	0	0	0	0
SRO	0	0	1	1	1	2	0	0	0	0	0	1	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	4	2	4	9	0	0	0	0	0	0	0	0
NNSA	0	0	7	7	10	19	1	0	1	0	5	3	0	0
AGENCY OVERALL	3	6	44	80	117	292	4	0	61	1	26	5	0	0

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
BPA	0	0	0	0
CBFO	0	0	0	0
CH	0	0	0	0
EMCBC	0	0	0	0
GFO	0	0	0	0
HQ	12	53	56	9
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	0	0	0
OSTI	0	0	0	0
ROO	0	0	0	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	0	0	0	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	0	0	0	0
AGENCY OVERALL	12	53	56	9



## VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
BPA	0	0	0	0	0
CBFO	0	0	0	0	0
CH	0	0	0	0	0
EMCBC	0	0	0	0	0
GFO	0	0	0	0	0
HQ	14	14	4	24	56
ID	0	0	0	0	0
NETL	0	0	0	0	0
NR	0	0	0	0	0
NRLFO	0	0	0	0	0
ORO	0	0	0	0	0
OSTI	0	0	0	0	0
ROO	0	0	0	0	0
SEPA	0	0	0	0	0
SPR	0	0	0	0	0
SRO	0	0	0	0	0
SWPA	0	0	0	0	0
WAPA	0	0	0	0	0
NNSA	0	0	0	0	0
AGENCY OVERALL	14	14	4	24	56

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	2	0	2	1	10	3	2	0	1	0	0	0	0	0
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	2	0	2	1	10	3	2	0	1	0	0	0	0	0

**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
BPA	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0
HQ	7	0	14	1	1	10	0	0	0	1	6
ID	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0

AGENCY OVERALL	7	0	14	1	1	10	0	0	0	1	6
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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	Agency Performed Adequate Search	6	6
AGENCY OVERALL			6

#### VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
HQ	8.50	42.39	0.00	925.00
AGENCY OVERALL	8.50	42.39	<1	925.00

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
BPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
CBFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
CH	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
EMCBC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
GFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
HQ	Date of Appeal	N/A	2018-09-28	2017-05-24	2016-06-21	2015-04-20	2014-12-08	2013-11-15	2013-10-16	2013-10-10	2012-07-03
	Number of Days Pending	0	0	340	572	867	958	1224	1245	1249	1569
ID	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NETL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NR	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
ORO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
OSTI	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
ROO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SEPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SPR	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SRO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SWPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
WAPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0



NNSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	N/A	2018-09-28	2017-05-24	2016-06-21	2015-04-20	2014-12-08	2013-11-15	2013-10-16	2013-10-10	2012-07-03
	Number of Days Pending	0	0	340	572	867	958	1224	1245	1249	1569

## VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	8	35.08	1	313	74	158.09	8	1209	N/A	N/A	N/A	N/A
CBFO	11.5	41	7	185	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CH	2	4.68	<1	19	38	41.25	26	63	N/A	N/A	N/A	N/A
EMCBC	38.5	68.33	4	253	25.5	66.53	<1	971	N/A	N/A	N/A	N/A
GFO	<1	7.9	<1	116	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	25	66.28	<1	934	76	107.11	<1	778	21	21	21	21
ID	5	7.27	<1	20	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	15	31.74	<1	98	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	22	24.38	1	49	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	36	43.61	1	254	232	261.25	70	614	N/A	N/A	N/A	N/A
OSTI	8	15.07	<1	90	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	8	9.88	<1	26	41	37.55	<1	99	21	21	21	21
SEPA	1	1	1	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	6	15	2	47	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SRO	22.5	29.36	3	134	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	94	94	16	172	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	9	25.65	1	280	21	21.33	17	26	N/A	N/A	N/A	N/A
NNSA	48	192.75	4	671	67	149.12	1	2347	N/A	N/A	N/A	N/A
AGENCY OVERALL	20	52.93	<1	934	63	131.94	<1	2347	21	21	21	21

**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	5	41.31	1	313	96.5	178.24	20	1209	N/A	N/A	N/A	N/A
CBFO	11	67.67	7	185	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
CH	3	7.6	<1	19	38	41.25	26	63	N/A	N/A	N/A	N/A
EMCBC	73	86.08	8	253	39.5	48.35	1	134	N/A	N/A	N/A	N/A
GFO	2	11.46	<1	116	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	64	107.64	<1	934	79.5	112.2	<1	778	N/A	N/A	N/A	N/A
ID	5	8.22	<1	20	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	35	29.57	8	61	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	27	27	27	27	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	38	46.65	9	254	241	284.71	70	614	N/A	N/A	N/A	N/A
OSTI	18	27.57	6	90	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	15	13.38	<1	26	45	51.43	16	99	21	21	21	21
SEPA	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	18	18.8	2	47	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SRO	26	34.47	3	134	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	172	172	172	172	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	13	14	3	37	23.5	23.5	21	26	N/A	N/A	N/A	N/A
NNSA	88	254.33	4	671	79	184.17	1	2001	N/A	N/A	N/A	N/A
AGENCY OVERALL	37	77.47	<1	934	75	151.44	<1	2001	21	21	21	21

## VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	20	2	0	0	0	1	0	0	0	0	1	1	0	25
CBFO	4	1	0	0	0	0	0	0	0	1	0	0	0	6
CH	37	0	0	0	0	0	0	0	0	0	0	0	0	37
EMCBC	5	4	2	2	1	0	0	2	1	0	1	0	0	18
GFO	85	2	5	1	0	1	0	0	0	0	0	0	0	94
HQ	437	142	70	47	38	33	39	32	19	14	50	15	15	951
ID	11	0	0	0	0	0	0	0	0	0	0	0	0	11
NETL	11	2	2	2	2	0	0	0	0	0	0	0	0	19
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	4	2	2	0	0	0	0	0	0	0	0	0	0	8
ORO	21	63	32	12	6	2	1	0	1	1	1	0	0	140
OSTI	12	1	0	0	1	0	0	0	0	0	0	0	0	14
ROO	21	3	0	0	0	0	0	0	0	0	0	0	0	24
SEPA	1	0	0	0	0	0	0	0	0	0	0	0	0	1
SPR	5	1	1	0	0	0	0	0	0	0	0	0	0	7
SRO	10	8	1	1	1	0	1	0	0	0	0	0	0	22
SWPA	1	0	0	0	0	0	0	0	1	0	0	0	0	2
WAPA	17	2	0	0	0	0	0	0	0	0	1	0	0	20
NNSA	2	0	0	0	1	0	0	0	0	0	0	0	1	4
AGENCY OVERALL	704	233	115	65	50	37	41	34	22	16	54	16	16	1,403

## VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	3	10	5	5	2	0	1	1	4	2	4	2	4	43
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	2	1	1	0	0	0	0	0	0	0	0	0	4
EMCBC	14	9	2	6	1	0	2	1	0	0	0	0	1	36
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	8	6	14	10	10	1	6	4	1	4	2	4	1	71
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	1	1	0	0	0	0	0	4	1	1	8
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	4	1	4	1	1	0	0	0	0	0	0	0	0	11
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	1	2	0	0	0	0	0	0	0	0	0	0	0	3
NNSA	33	42	43	33	24	14	14	7	3	3	10	9	18	253
AGENCY OVERALL	63	72	69	57	39	15	23	13	8	9	20	16	25	429

**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	1	0	0	0	0	0	0	0	0	0	0	0	1
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	2	0	0	0	0	0	0	0	0	0	0	0	2
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	3	0	0	0	0	0	0	0	0	0	0	0	3

## VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BPA	2	76	76	18	299	263	0	N/A	N/A
CBFO	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
CH	2	11.5	11	1	11	11	0	N/A	N/A
EMCBC	8	73	241	11	69	87	0	N/A	N/A
GFO	7	4	34	0	N/A	N/A	0	N/A	N/A
HQ	389	116	143	2	761	761	0	N/A	N/A
ID	1	40	40	0	N/A	N/A	0	N/A	N/A
NETL	4	62.5	65	0	N/A	N/A	0	N/A	N/A
NR	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NRLFO	2	71.5	71	0	N/A	N/A	0	N/A	N/A
ORO	14	26	77	1	429	429	0	N/A	N/A
OSTI	4	447	407	0	N/A	N/A	0	N/A	N/A
ROO	0	N/A	N/A	3	12	16	0	N/A	N/A
SEPA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SPR	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SRO	1	4	4	0	N/A	N/A	0	N/A	N/A
SWPA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
WAPA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NNSA	0	N/A	N/A	175	207	299	0	N/A	N/A
AGENCY OVERALL	434	104	140.3	211	197	281.99	0	N/A	N/A

**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BPA	Date of Receipt	2017-07-26	2017-07-18	2017-07-06	2017-03-07	2016-11-29	2016-09-29	2016-09-29	2016-09-29	2016-08-03	2016-06-20
	Number of Days Pending	296	302	310	395	461	501	501	501	541	572
CBFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
CH	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-27	2018-09-13	2018-08-28
	Number of Days Pending	0	0	0	0	0	0	0	1	11	22
EMCBC	Date of Receipt	2018-06-20	2018-06-12	2018-04-11	2018-02-20	2018-02-05	2018-01-30	2018-01-16	2018-01-04	2017-11-24	2013-08-19
	Number of Days Pending	70	76	119	155	165	169	179	186	213	1283
GFO	Date of Receipt	N/A	N/A	N/A	2018-09-27	2018-09-27	2018-09-27	2018-09-24	2018-09-24	2018-06-15	2018-02-13
	Number of Days Pending	0	0	0	1	1	1	4	4	73	159
HQ	Date of Receipt	2016-08-04	2016-05-02	2016-02-23	2015-09-23	2015-06-24	2014-03-13	2013-08-06	2013-05-21	2013-04-04	2013-02-19
	Number of Days Pending	540	606	655	757	820	1143	1292	1345	1378	1410
ID	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-08-02
	Number of Days Pending	0	0	0	0	0	0	0	0	0	40
NETL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-13	2018-09-13	2018-04-18	2018-03-30
	Number of Days Pending	0	0	0	0	0	0	11	11	114	127
NR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A



	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-06-20	2018-06-15	
	Number of Days Pending	0	0	0	0	0	0	0	0	70	73	
ORO	Date of Receipt	2018-09-05	2018-09-05	2018-08-09	2018-07-13	2018-06-12	2018-06-07	2018-04-24	2017-06-28	2017-05-17	2017-01-17	
	Number of Days Pending	17	17	35	54	76	79	110	315	344	429	
OSTI	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2018-02-13	2016-12-19	2016-12-19	2016-06-14	
	Number of Days Pending	0	0	0	0	0	0	159	447	447	576	
ROO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-19	2018-09-12	2018-08-16	
	Number of Days Pending	0	0	0	0	0	0	0	7	12	30	
SEPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SPR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SRO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-09-24	
	Number of Days Pending	0	0	0	0	0	0	0	0	0	4	
SWPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
WAPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0

NNSA	Date of Receipt	2015-01-26	2015-01-06	2014-12-18	2014-12-17	2014-11-17	2014-06-12	2013-12-11	2013-09-05	2013-02-28	2011-05-10
	Number of Days Pending	925	938	949	950	971	1079	1205	1271	1403	1855
AGENCY OVERALL	Date of Receipt	2014-03-13	2013-12-11	2013-09-05	2013-08-19	2013-08-06	2013-05-21	2013-04-04	2013-02-28	2013-02-19	2011-05-10
	Number of Days Pending	1143	1205	1271	1283	1292	1345	1378	1403	1410	1855

## VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BPA	0	0	N/A	N/A	0
CBFO	0	0	N/A	N/A	0
CH	0	0	N/A	N/A	0
EMCBC	0	1	36	36	0
GFO	0	0	N/A	N/A	0
HQ	1	31	7	10.44	25
ID	0	0	N/A	N/A	0
NETL	0	0	N/A	N/A	0
NR	0	0	N/A	N/A	0
NRLFO	0	0	N/A	N/A	0
ORO	0	0	N/A	N/A	0
OSTI	0	0	N/A	N/A	0
ROO	2	2	4	5.25	3
SEPA	0	0	N/A	N/A	0
SPR	0	0	N/A	N/A	0
SRO	0	0	N/A	N/A	0
SWPA	0	0	N/A	N/A	0
WAPA	0	0	N/A	N/A	0
NNSA	0	4	4.5	4.5	4
AGENCY OVERALL	3	38	7	9.98	32

## VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BPA	7	0	0	16.71
CBFO	0	0	N/A	N/A
CH	1	2	1	1
EMCBC	13	0	0	15.08
GFO	4	0	1	1
HQ	47	10	5	22.56
ID	0	0	N/A	N/A
NETL	0	0	N/A	N/A
NR	0	0	N/A	N/A
NRLFO	0	0	N/A	N/A
ORO	6	0	N/A	N/A
OSTI	0	0	N/A	N/A
ROO	9	1	1	2.7
SEPA	0	0	N/A	N/A
SPR	0	0	N/A	N/A
SRO	0	0	N/A	N/A
SWPA	0	0	N/A	N/A
WAPA	4	0	1	1
NNSA	5	2	14	105.86
AGENCY OVERALL	96	15	4	21.34

## IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BPA	4	2.6	6.6	\$615,770.00	\$52,000.00	\$667,770.00
CBFO	0	0.5	0.5	\$40,247.00	\$0.00	\$40,247.00
CH	0	1.2	1.2	\$150,224.00	\$0.00	\$150,224.00
EMCBC	10	0	10	\$0.00	\$0.00	\$0.00
GFO	1	0	1	\$125,000.00	\$20,000.00	\$145,000.00
HQ	62	0	62	\$0.00	\$0.00	\$0.00
ID	1	0	1	\$0.00	\$0.00	\$0.00
NETL	0	1.25	1.25	\$210,000.00	\$0.00	\$210,000.00
NR	1	0	1	\$0.00	\$0.00	\$0.00
NRLFO	0	0.05	0.05	\$4,125.00	\$0.00	\$4,125.00
ORO	2	0.75	2.75	\$176,663.25	\$0.00	\$176,663.25
OSTI	1	0	1	\$0.00	\$0.00	\$0.00
ROO	1	1.4	2.4	\$233,418.62	\$0.00	\$233,418.62
SEPA	0	0.04	0.04	\$2,251.00	\$0.00	\$2,251.00
SPR	0	0.3	0.3	\$28,793.10	\$0.00	\$28,793.10
SRO	0	0.1	0.1	\$7,692.50	\$0.00	\$7,692.50
SWPA	0	0.07	0.07	\$3,397.03	\$0.00	\$3,397.03
WAPA	0	0.5	0.5	\$32,000.00	\$0.00	\$32,000.00
NNSA	4	1.5	5.5	\$417,348.00	\$107,000.00	\$524,348.00

AGENCY OVERALL	87	10.26	97.26	\$2,046,929.50	\$179,000.00	\$2,225,929.50
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## X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BPA	\$1,355.00	0.22%
CBFO	\$0.00	0.00%
CH	\$30.00	0.02%
EMCBC	\$0.00	0.00%
GFO	\$7,837.55	6.27%
HQ	\$900.00	0.00%
ID	\$0.00	0.00%
NETL	\$623.56	0.30%
NR	\$0.00	0.00%
NRLFO	\$0.00	0.00%
ORO	\$0.00	0.00%
OSTI	\$0.00	0.00%
ROO	\$4,771.80	2.04%
SEPA	\$0.00	0.00%
SPR	\$799.92	2.78%
SRO	\$0.00	0.00%
SWPA	\$0.00	0.00%
WAPA	\$0.00	0.00%
NNSA	\$0.00	0.00%
AGENCY OVERALL	\$16,317.83	0.80%

## XI.A. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection Used
BPA	0
CBFO	0
CH	0
EMCBC	0
GFO	0
HQ	0
ID	0
NETL	0
NR	0
NRLFO	0
ORO	0
OSTI	0
ROO	0
SEPA	0
SPR	0
SRO	0
SWPA	0
WAPA	0
NNSA	0
AGENCY OVERALL	0



## XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
BPA	0	0
CBFO	0	0
CH	0	6
EMCBC	0	0
GFO	0	0
HQ	7	74
ID	0	0
NETL	0	3
NR	0	0
NRLFO	0	0
ORO	0	0
OSTI	0	0
ROO	0	8,423
SEPA	0	24
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	0	0
NNSA	0	0
AGENCY OVERALL	7	8,530

## XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BPA	0	0
CBFO	0	0
CH	0	0
EMCBC	16	0
GFO	0	0
HQ	311	8
ID	1	0
NETL	2	0
NR	0	0
NRLFO	2	0
ORO	8	0
OSTI	4	0
ROO	0	0
SEPA	0	0
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	0	0
NNSA	154	0
AGENCY OVERALL	498	8

**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BPA	2	4	6	0
CBFO	0	0	0	0
CH	0	8	8	0
EMCBC	1	5	5	1
GFO	0	0	0	0
HQ	120	182	183	119
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	0	0	0
OSTI	0	0	0	0
ROO	0	0	0	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	0	0	0	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	14	24	19	19
AGENCY OVERALL	137	223	221	139

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
BPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
CBFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
CH	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
EMCBC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-06-18
	Number of Days	0	0	0	0	0	0	0	0	0	71
GFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
HQ	Date	2017-07-07	2017-06-26	2017-05-19	2017-05-05	2016-08-25	2016-03-30	2016-01-21	2015-05-22	2015-05-22	2012-08-02
	Number of Days	309	317	342	352	525	629	677	842	842	1552
ID	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NETL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NRLFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ORO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-08-29
	Number of Days	0	0	0	0	0	0	0	0	0	21

OSTI	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ROO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SEPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SPR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SRO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SWPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
WAPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NNSA	Date	2017-09-14	2017-09-14	2017-09-14	2017-07-24	2017-01-09	2016-12-06	2016-11-01	2016-10-13	2016-08-11	2015-09-29
	Number of Days	261	261	261	298	434	456	479	492	535	753
AGENCY OVERALL	Date	2016-12-06	2016-11-01	2016-10-13	2016-08-25	2016-08-11	2016-03-30	2016-01-21	2015-05-22	2015-05-22	2012-08-02
	Number of Days	456	479	492	525	535	629	677	842	842	1552

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	94	57	93	70
CBFO	14	7	15	8
CH	80	41	75	44
EMCBC	52	66	54	57
GFO	89	107	95	100
HQ	1,234	1,219	1,153	1,038
ID	32	12	32	11
NETL	25	15	22	20
NR	0	0	0	0
NRLFO	6	11	7	9
ORO	161	142	146	157
OSTI	17	16	17	15
ROO	66	40	71	39
SEPA	9	1	9	1
SPR	8	8	9	7
SRO	24	22	27	23
SWPA	12	1	12	2
WAPA	64	24	70	26
NNSA	158	284	221	266
AGENCY OVERALL	2,145	2,073	2,128	1,893

*After reviewing its database, DOE made adjustments to the number of requests received during the previous fiscal year for HQ.*

*After reviewing its database, DOE made adjustments to the number of requests processed during the previous fiscal year for CH, HQ, ORO, SRO and NNSA.*

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BPA	3	0
CBFO	0	0
CH	0	0
EMCBC	6	16
GFO	0	0
HQ	124	311
ID	0	1
NETL	4	2
NR	0	0
NRLFO	0	2
ORO	14	8
OSTI	3	4
ROO	1	0
SEPA	0	0
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	1	0
NNSA	131	154
AGENCY OVERALL	287	498

*After reviewing its database, DOE made adjustments to the number of backlogged cases as of the end of fiscal year 17 for BPA, ORO, SRO and NNSA.*



**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	0	0	0	0
CBFO	0	0	0	0
CH	0	0	0	0
EMCBC	0	0	0	0
GFO	0	0	0	0
HQ	42	53	42	56
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	0	0	0
OSTI	0	0	0	0
ROO	0	0	0	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	0	0	0	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	0	0	0	0
AGENCY OVERALL	42	53	42	56

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
BPA	0	0
CBFO	0	0
CH	0	0
EMCBC	0	0
GFO	0	0
HQ	10	8
ID	0	0
NETL	0	0
NR	0	0
NRLFO	0	0
ORO	0	0
OSTI	0	0
ROO	0	0
SEPA	0	0
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	0	0
NNSA	0	0
AGENCY OVERALL	10	8