

Thursday March 1, 2018

The following **Annual Freedom of Information Act** report covers the Period 10/01/2016, through 09/30/2017, as required by 5 U.S.C. 552.

## I. BASIC INFORMATION REGARDING REPORT

1. Peter O’Konski, Director

Office of Administration, MA-40  
U.S. Department of Energy  
1000 Independence Avenue,  
SW Washington, DC 20585  
202-586-5710

Alexander Morris, FOIA  
Officer FOIA Office, MA-46  
Office of Public Information  
U.S. Department of Energy  
1000 Independence Avenue,  
SW Washington, DC 20585  
202-586-5955

2. An electronic copy of the Freedom of Information Act (FOIA) report can be obtained at <http://energy.gov/management/office-management/operational-management/freedom-information-act/documents/annual-reports>.

3. A paper copy of the report can be obtained at the Headquarters FOIA Public Reading Room located in the Forrestal Building, 1000 Independence Avenue, SW, room 1J-018, Washington, DC 20585, or by submitting a request to the FOIA Office. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

## II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

For the names, addresses and telephone numbers, visit our website at <http://energy.gov/management/office-management/operational-management/freedom-information-act/foia-contacts>.

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

The DOE's mission is to advance the national, economic, and energy security of the United States; to promote scientific and technological innovation in support of that mission; and to ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by the DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. The DOE invokes several of the FOIA's exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under the FOIA to protect national security, proprietary interests of submitters; personal privacy of individuals, and allow open and frank discussion as part of business deliberations and policy.

## III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Include the following definitions of terms used in this Report:
  - a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
  - b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
  - c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
  - d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

- e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

**Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

**Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

**Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

- l. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
  - m. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.
  - n. **Perfect Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
  - o. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
  - p. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
  - q. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
2. Include the following concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information
  - b. **Exemption 2:** internal agency rules and practices
  - c. **Exemption 3:** information that is prohibited from disclosure by another federal law
  - d. **Exemption 4:** trade secrets and other confidential business information
  - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
  - f. **Exemption 6:** information involving matters of personal privacy
  - g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
  - h. **Exemption 8:** information relating to the supervision of financial institutions
  - i. **Exemption 9:** geological information on wells

### 3. Agency Component Abbreviations

Component Abbreviation	Component Name
BPA	Bonneville Power Administration
CBFO	Carlsbad Field Office
CH	Chicago Office
EMCBC	Environmental Management Consolidated Business Center
GFO	Golden Field Office
HQ	Headquarters
ID	Idaho Operations Office
NETL	National Energy Technology Laboratory
NR	Office of Naval Reactors
NRLFO	Naval Reactors Laboratory Field Office
ORO	Oak Ridge Office
OSTI	Office of Scientific and Technical Information
ROO	Richland Operations Office
SEPA	Southeastern Power Administration
SPR	Strategic Petroleum Reserve
SRO	Savannah River Office
SWPA	Southwestern Power Administration
WAPA	Western Area Power Administration
NNSA	National Nuclear Security Administration

#### IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	BPA	1	5
			HQ	1	
			ID	2	
			WAPA	1	
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BPA	2	2
26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v.	EMCBC	6	19

		IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).			
			GFO	1	
			HQ	11	
			ROO	1	
42 U.S.C. § 2162 (Atomic Energy Act)	"Restricted Data" pertaining to atomic weapons and special nuclear material	Meeropol v. Smith, No. 75-1121, slip op. at 53-55 (D.D.C. Feb. 29, 1984), aff'd in relevant part & remanded in part on other grounds sub nom., Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986).	HQ	3	19
			ORO	1	
			NNSA	15	
50 U.S.C. § 403-1(i)(1) (currently at 50 U.S.C. § 3024(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	CIA v. Sims, 471 U.S. 159, 167 (1985); ACLU v. DOJ, 681 F.3d 61, 72-75 (2d Cir. May 21, 2012); ACLU v. DOD, 628 F.3d 612, 619, 626 (D.C. Cir. 2011); Berman v. CIA, 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007).	HQ	19	19
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	HQ	1	1
31 U.S.C. § 3730	Records pertaining to civil actions for false claims against the United States Government		HQ	2	2

41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	NNSA	1	1
16 U.S.C. § 470hh (Archaeological Resources Protection Act of 1979)	Information pertaining to the nature and location of certain archaeological resources	Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	NNSA	1	1



## V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BPA	32	94	93	33
CBFO	2	14	15	1
CH	1	80	76	5
EMCBC	13	52	54	11
GFO	7	89	95	1
HQ	141	1,235	1,150	226
ID	0	32	32	0
NETL	6	25	22	9
NR	0	0	0	0
NRLFO	2	6	7	1
ORO	15	161	147	29
OSTI	4	17	17	4
ROO	7	66	71	2
SEPA	0	9	9	0
SPR	1	8	9	0
SRO	5	24	26	3
SWPA	1	12	12	1
WAPA	8	64	70	2
NNSA	151	227	222	156
AGENCY OVERALL	396	2,215	2,127	484

## V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	*Explain in Chart Below	
BPA	39	29	1	13	1	8	1	0	1	0	0	0	93
CBFO	7	4	0	4	0	0	0	0	0	0	0	0	15
CH	24	3	0	12	16	3	5	2	1	10	0	0	76
EMCBC	13	20	0	11	4	2	0	1	1	2	0	0	54
GFO	42	13	0	13	4	11	10	2	0	0	0	0	95
HQ	233	223	3	202	188	251	1	6	2	30	11	0	1,150
ID	4	15	3	6	0	2	0	0	0	0	2	0	32
NETL	2	7	1	5	1	0	5	0	1	0	0	0	22
NR	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	2	1	0	1	0	0	3	0	0	0	0	0	7
ORO	85	23	0	18	0	5	1	1	4	9	1	0	147
OSTI	7	0	0	7	0	0	0	0	1	0	2	0	17
ROO	20	21	0	10	2	16	0	0	1	1	0	0	71
SEPA	3	1	0	5	0	0	0	0	0	0	0	0	9
SPR	2	2	0	5	0	0	0	0	0	0	0	0	9
SRO	9	8	0	3	1	0	0	0	0	2	3	0	26
SWPA	1	1	0	6	3	1	0	0	0	0	0	0	12
WAPA	8	29	1	23	1	3	1	1	0	0	3	0	70
NNSA	71	42	3	77	7	12	0	1	5	3	1	0	222
AGENCY OVERALL	572	442	12	421	228	314	27	14	17	57	23	0	2,127

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS  
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
BPA	N/A	0	0
CBFO	N/A	0	0
CH	N/A	0	0
EMCBC	N/A	0	0
GFO	N/A	0	0
HQ	N/A	0	0
ID	N/A	0	0
NETL	N/A	0	0
NR	N/A	0	0
NRLFO	N/A	0	0
ORO	N/A	0	0
OSTI	N/A	0	0
ROO	N/A	0	0
SEPA	N/A	0	0
SPR	N/A	0	0
SRO	N/A	0	0

SWPA	N/A	0	0
WAPA	N/A	0	0
NNSA	N/A	0	0
AGENCY OVERALL			0

**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BPA	0	3	2	2	9	27	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	2	2	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	3	0	0	1	0	0	0	0	0
EMCBC	0	0	6	6	6	15	0	0	0	0	0	0	0	1
GFO	0	0	1	8	0	10	0	0	0	0	0	0	0	0
HQ	3	0	37	34	82	165	8	0	56	4	9	1	0	0
ID	0	0	2	9	0	15	0	0	0	0	0	0	0	0
NETL	0	0	0	5	2	1	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	1	0	0	0	0	0	0	0	0	0
ORO	0	0	1	2	1	20	0	0	0	0	1	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	1	2	2	19	0	0	1	0	2	0	0	0
SEPA	0	0	0	0	1	0	0	0	0	0	0	0	0	0
SPR	0	0	0	1	0	1	0	0	0	0	0	0	0	0
SRO	0	1	0	2	3	3	0	0	0	0	0	1	0	0
SWPA	0	0	0	0	0	1	0	0	0	0	0	0	0	0
WAPA	0	0	1	5	10	23	0	0	0	0	0	3	0	0
NNSA	2	1	16	9	7	20	0	0	0	0	6	10	0	0
AGENCY OVERALL	5	5	67	85	126	325	8	0	58	4	18	15	0	1

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
BPA	0	0	0	0
CBFO	0	0	0	0
CH	0	0	0	0
EMCBC	0	0	0	0
GFO	0	0	0	0
HQ	12	42	42	12
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	0	0	0
OSTI	0	0	0	0
ROO	0	0	0	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	0	0	0	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	0	0	0	0
AGENCY OVERALL	12	42	42	12

*After reviewing its database, HQ adjusted the number of appeals pending as of the start of the Fiscal Year.*

**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
BPA	0	0	0	0	0
CBFO	0	0	0	0	0
CH	0	0	0	0	0
EMCBC	0	0	0	0	0
GFO	0	0	0	0	0
HQ	17	7	3	15	42
ID	0	0	0	0	0
NETL	0	0	0	0	0
NR	0	0	0	0	0
NRLFO	0	0	0	0	0
ORO	0	0	0	0	0
OSTI	0	0	0	0	0
ROO	0	0	0	0	0
SEPA	0	0	0	0	0
SPR	0	0	0	0	0
SRO	0	0	0	0	0
SWPA	0	0	0	0	0
WAPA	0	0	0	0	0
NNSA	0	0	0	0	0
AGENCY OVERALL	17	7	3	15	42

**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	1	0	0	2	1	4	0	0	1	0	1	0	0	0
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	1	0	0	0	0	0
AGENCY OVERALL	1	0	0	2	1	4	0	0	2	0	1	0	0	0



**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	*Explain in chart below
BPA	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0
HQ	4	1	8	7	0	6	0	0	0	4	4
ID	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0
<b>AGENCY OVERALL</b>	<b>4</b>	<b>1</b>	<b>8</b>	<b>7</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>4</b>

**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	Agency Performed Adequate Search	4	4
BPA	N/A	0	0
CBFO	N/A	0	0
CH	N/A	0	0
EMCBC	N/A	0	0
GFO	N/A	0	0
NNSA	N/A	0	0
ID	N/A	0	0
NETL	N/A	0	0
NR	N/A	0	0
NRLFO	N/A	0	0
ORO	N/A	0	0
OSTI	N/A	0	0
ROO	N/A	0	0
SEPA	N/A	0	0
SPR	N/A	0	0

SRO	N/A	0	0
SWPA	N/A	0	0
WAPA	N/A	0	0
AGENCY OVERALL			4

**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
HQ	8.50	14.39	0.00	193.00
AGENCY OVERALL	8.50	14.39	0.00	193.00

**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
BPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
CBFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
CH	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
EMCBC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
GFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
HQ	Date of Appeal	2017-05-24	2016-06-20	2015-04-20	2015-04-15	2014-12-08	2014-10-07	2013-11-15	2013-10-16	2013-10-10	2012-07-03
	Number of Days Pending	90	322	617	620	708	743	974	995	999	1319
ID	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NETL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NR	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
ORO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OSTI	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
ROO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
SEPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
SPR	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
SRO	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
SWPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
WAPA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NNSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	2017-05-24	2016-06-20	2015-04-20	2015-04-15	2014-12-08	2014-10-07	2013-11-15	2013-10-16	2013-10-10	2012-07-03

AGENCY OVERALL	Number of Days Pending	90	322	617	620	708	743	974	995	999	1319
----------------	------------------------	----	-----	-----	-----	-----	-----	-----	-----	-----	------

## VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	4	10.91	<1	59	60.5	133.55	6	966	N/A	N/A	N/A	N/A
CBFO	16	18.71	2	50	61	61	61	61	N/A	N/A	N/A	N/A
CH	4	5.11	<1	24	22	32.33	7	68	N/A	N/A	N/A	N/A
EMCBC	29	38.5	1	105	55.5	79.25	<1	261	N/A	N/A	N/A	N/A
GFO	1	4.49	<1	33	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	34	63.06	<1	752	54.5	281.33	10	1473	N/A	N/A	N/A	N/A
ID	7.5	15.38	<1	47	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	20	48.9	3	362	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	15	16	<1	39	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	25	35.62	1	296	61	71	12	193	N/A	N/A	N/A	N/A
OSTI	3.5	21.69	<1	116	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	6	8.71	<1	28	13.5	22.82	<1	144	N/A	N/A	N/A	N/A
SEPA	3	10.33	1	63	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	8	8.78	3	20	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SRO	39	60.65	<1	220	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	12	35.92	1	267	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	24	28.91	2	100	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NNSA	11.5	15	4	33	55	187.22	1	2296	N/A	N/A	N/A	N/A
AGENCY OVERALL	21	48.23	<1	752	52	164.61	<1	2296	N/A	N/A	N/A	N/A



**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	3	7.67	<1	40	78.5	131.5	6	966	N/A	N/A	N/A	N/A
CBFO	18.5	21.5	3	50	61	61	61	61	N/A	N/A	N/A	N/A
CH	5	7.32	<1	16	45	45	22	68	N/A	N/A	N/A	N/A
EMCBC	54.5	52.71	1	105	64	99.58	29	261	N/A	N/A	N/A	N/A
GFO	4	6.78	<1	33	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	63	90.74	<1	752	48	237.6	10	1473	N/A	N/A	N/A	N/A
ID	11	20.32	<1	47	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	27	29.56	4	82	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	30	26	9	39	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	25.5	36.86	1	151	50	82.83	12	193	N/A	N/A	N/A	N/A
OSTI	14	46.29	2	116	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	8	10.75	<1	28	28	42.69	12	144	N/A	N/A	N/A	N/A
SEPA	2.5	17.25	1	63	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	7	7.25	5	10	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SRO	42	63.71	13	211	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	19.5	19.5	17	22	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	24	31.3	6	100	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NNSA	N/A	N/A	N/A	N/A	96	265.76	1	2296	N/A	N/A	N/A	N/A
AGENCY OVERALL	32	60.67	<1	752	73	202.7	1	2296	N/A	N/A	N/A	N/A

### VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	27	4	1	0	0	0	0	0	0	0	0	0	0	32
CBFO	9	4	1	0	0	0	0	0	0	0	0	0	0	14
CH	69	1	0	0	0	0	0	0	0	0	0	0	0	70
EMCBC	10	4	4	2	2	2	0	0	0	0	0	0	0	24
GFO	88	5	0	0	0	0	0	0	0	0	0	0	0	93
HQ	445	155	112	73	66	68	60	30	18	16	37	6	14	1,100
ID	24	1	7	0	0	0	0	0	0	0	0	0	0	32
NETL	11	6	1	0	1	0	0	0	0	0	1	1	0	21
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	5	2	0	0	0	0	0	0	0	0	0	0	0	7
ORO	49	45	16	16	3	2	0	1	0	0	1	0	0	133
OSTI	13	0	0	0	2	1	0	0	0	0	0	0	0	16
ROO	36	6	0	0	0	0	0	0	0	0	0	0	0	42
SEPA	8	0	0	1	0	0	0	0	0	0	0	0	0	9
SPR	9	0	0	0	0	0	0	0	0	0	0	0	0	9
SRO	6	8	4	2	1	2	0	0	0	1	2	0	0	26
SWPA	9	1	1	0	0	0	0	0	0	0	1	0	0	12
WAPA	25	32	8	2	2	0	0	0	0	0	0	0	0	69
NNSA	3	1	0	0	0	0	0	0	0	0	0	0	0	4
AGENCY OVERALL	846	275	155	96	77	75	60	31	18	17	42	7	14	1,713

### VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	15	6	9	6	3	3	3	5	3	0	2	1	4	60
CBFO	0	0	0	1	0	0	0	0	0	0	0	0	0	1
CH	1	1	0	1	0	0	0	0	0	0	0	0	0	3
EMCBC	5	6	5	4	1	0	1	1	1	1	3	0	0	28
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	5	10	7	2	4	0	0	0	0	2	0	1	11	42
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	3	1	0	3	0	0	0	0	1	1	0	0	0	9
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	17	7	2	0	1	0	0	1	0	0	0	0	0	28
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	51	38	22	12	15	8	4	5	6	4	14	6	27	212
AGENCY OVERALL	97	69	45	29	24	11	8	12	11	8	19	8	42	383

## VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

## VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BPA	4	65	114	29	117	179	0	N/A	N/A
CBFO	1	10	10	0	N/A	N/A	0	N/A	N/A
CH	2	3.5	3	2	14	14	0	N/A	N/A
EMCBC	4	106.5	315	7	9	143	0	N/A	N/A
GFO	1	1	1	0	N/A	N/A	0	N/A	N/A
HQ	217	36	108	2	844	844	0	N/A	N/A
ID	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NETL	4	45	52	0	N/A	N/A	0	N/A	N/A
NR	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NRLFO	1	7	7	0	N/A	N/A	0	N/A	N/A
ORO	29	17	61	0	N/A	N/A	0	N/A	N/A
OSTI	3	197	240	0	N/A	N/A	0	N/A	N/A
ROO	1	23	23	1	50	50	0	N/A	N/A
SEPA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SPR	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SRO	3	12	52	0	N/A	N/A	0	N/A	N/A
SWPA	1	124	124	0	N/A	N/A	0	N/A	N/A
WAPA	2	134	134	0	N/A	N/A	0	N/A	N/A
NNSA	1	586	586	155	138	280	0	N/A	N/A
AGENCY OVERALL	274	35	110.8	196	114	254.7	0	N/A	N/A

## VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BPA	Date of Receipt	2016-09-29	2016-09-29	2016-09-29	2016-09-29	2016-09-29	2016-08-03	2016-07-05	2016-06-20	2016-04-26	2013-08-06
	Number of Days Pending	251	251	251	251	251	291	312	322	360	1042
CBFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-15
	Number of Days Pending	0	0	0	0	0	0	0	0	0	10
CH	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-28	2017-09-22	2017-09-21	2017-08-28
	Number of Days Pending	0	0	0	0	0	0	1	5	6	23
EMCBC	Date of Receipt	2017-09-21	2017-09-20	2017-09-18	2017-09-11	2017-08-16	2017-08-02	2017-07-31	2017-01-11	2014-03-17	2013-08-19
	Number of Days Pending	6	7	9	14	31	41	43	182	891	1033
GFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-28
	Number of Days Pending	0	0	0	0	0	0	0	0	0	1
HQ	Date of Receipt	2015-08-24	2015-06-24	2015-01-12	2014-11-10	2014-03-13	2014-03-06	2013-08-06	2013-05-21	2013-04-04	2013-02-19
	Number of Days Pending	528	570	684	725	893	898	1042	1095	1128	1177
ID	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NETL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-24	2017-08-18	2017-07-05	2017-05-15
	Number of Days Pending	0	0	0	0	0	0	25	29	61	96
NR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-20

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	7
ORO	Date of Receipt	2017-08-01	2017-06-28	2017-06-12	2017-05-17	2017-05-02	2017-03-27	2017-03-09	2016-11-30	2016-11-17	2016-04-19	
	Number of Days Pending	42	65	77	94	105	131	143	210	218	365	
OSTI	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-12-19	2016-12-19	2016-06-14	
	Number of Days Pending	0	0	0	0	0	0	0	197	197	326	
ROO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-28	2017-07-20	
	Number of Days Pending	0	0	0	0	0	0	0	0	23	50	
SEPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SPR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SRO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-21	2017-09-13	2017-03-14	
	Number of Days Pending	0	0	0	0	0	0	0	6	12	140	
SWPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-04-05	
	Number of Days Pending	0	0	0	0	0	0	0	0	0	124	
WAPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-08-16	2016-10-20	
	Number of Days Pending	0	0	0	0	0	0	0	0	31	237	
NNSA	Date of Receipt	2013-03-25	2013-03-12	2013-03-07	2013-02-28	2012-08-29	2012-07-30	2012-03-29	2011-05-10	2010-05-05	2009-05-13	
	Number of Days Pending	1152	1161	1164	1169	1292	1314	1399	1621	1875	2106	
	Date of Receipt	2013-03-12	2013-03-07	2013-02-28	2013-02-19	2012-08-29	2012-07-30	2012-03-29	2011-05-10	2010-05-05	2009-05-13	

AGENCY OVERALL	Number of Days Pending	1161	1164	1169	1177	1292	1314	1399	1621	1875	2120
-------------------	---------------------------	------	------	------	------	------	------	------	------	------	------



### VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BPA	0	0	N/A	N/A	0
CBFO	0	0	N/A	N/A	0
CH	0	3	2	1.33	3
EMCBC	0	0	N/A	N/A	0
GFO	0	0	N/A	N/A	0
HQ	0	52	7.5	7.4	46
ID	0	0	N/A	N/A	0
NETL	0	0	N/A	N/A	0
NR	0	0	N/A	N/A	0
NRLFO	0	0	N/A	N/A	0
ORO	0	0	N/A	N/A	0
OSTI	0	0	N/A	N/A	0
ROO	0	4	7.5	6	4
SEPA	0	0	N/A	N/A	0
SPR	0	0	N/A	N/A	0
SRO	0	0	N/A	N/A	0
SWPA	0	0	N/A	N/A	0
WAPA	0	1	12	12	0
NNSA	0	9	1	2	9
AGENCY OVERALL	0	69	2	7.47	62

## VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BPA	1	0	1	1
CBFO	2	0	2	2
CH	4	3	1	1
EMCBC	17	0	2	24.47
GFO	29	1	7	1
HQ	68	8	6	9.7
ID	0	0	N/A	N/A
NETL	0	0	N/A	N/A
NR	0	0	N/A	N/A
NRLFO	0	0	N/A	N/A
ORO	22	0	1	1
OSTI	0	0	N/A	N/A
ROO	0	1	19	19
SEPA	0	0	N/A	N/A
SPR	1	0	3	3
SRO	5	0	1	1
SWPA	0	0	N/A	N/A
WAPA	3	0	14	11.67
NNSA	7	0	17	51
AGENCY OVERALL	159	13	8.5	8.37

## IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BPA	3	2.65	5.65	\$580,251.00	\$2,750.00	\$583,001.00
CBFO	0	0.5	0.5	\$35,228.00	\$0.00	\$35,228.00
CH	0	1.2	1.2	\$147,423.00	\$0.00	\$147,423.00
EMCBC	3	1	4	\$291,573.50	\$0.00	\$291,573.50
GFO	1	0	1	\$125,000.00	\$0.00	\$125,000.00
HQ	24	23.7	47.7	\$5,822,085.55	\$173,892.00	\$5,995,977.55
ID	1	0.1	1.1	\$120,173.00	\$0.00	\$120,173.00
NETL	0	1.05	1.05	\$155,000.00	\$0.00	\$155,000.00
NR	0	0	0	\$0.00	\$0.00	\$0.00
NRLFO	0	0.03	0.03	\$3,975.00	\$0.00	\$3,975.00
ORO	2	0.75	2.75	\$156,497.00	\$0.00	\$156,497.00
OSTI	1	0	1	\$36,026.98	\$0.00	\$36,026.98
ROO	1	2.25	3.25	\$288,862.00	\$0.00	\$288,862.00
SEPA	0	0.4	0.4	\$11,005.00	\$0.00	\$11,005.00
SPR	0	0.3	0.3	\$27,461.10	\$0.00	\$27,461.10
SRO	1	0	1	\$0.00	\$0.00	\$0.00
SWPA	1	0	1	\$0.00	\$0.00	\$0.00
WAPA	0	0.75	0.75	\$66,551.00	\$0.00	\$66,551.00
NNSA	4	1.5	5.5	\$455,345.00	\$99,758.00	\$555,103.00
AGENCY OVERALL	42	36.18	78.18	\$8,322,457.13	\$276,400.00	\$8,598,857.13



## X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BPA	\$0.00	0.00%
CBFO	\$0.00	0.00%
CH	\$58.93	0.04%
EMCBC	\$0.00	0.00%
GFO	\$9,184.52	7.35%
HQ	\$0.00	0.00%
ID	\$0.00	0.00%
NETL	\$0.00	0.00%
NR	\$0.00	0.00%
NRLFO	\$0.00	0.00%
ORO	\$0.00	0.00%
OSTI	\$0.00	0.00%
ROO	\$500.06	0.17%
SEPA	\$750.00	6.82%
SPR	\$0.00	0.00%
SRO	\$357.20	0.00%
SWPA	\$0.00	0.00%
WAPA	\$0.00	0.00%
NNSA	\$0.00	0.00%
AGENCY OVERALL	\$10,850.71	0.13%

## XI.A. NUMBER OF TIMES SUBSECTION USED

Agency / Component	Number of Times Subsection Used
BPA	0
CBFO	0
CH	0
EMCBC	0
GFO	0
HQ	0
ID	0
NETL	0
NR	0
NRLFO	0
ORO	0
OSTI	0
ROO	0
SEPA	0
SPR	0
SRO	0
SWPA	0
WAPA	0
NNSA	0
AGENCY OVERALL	0

## XI.B. NUMBER OF SUBSECTION POSTINGS

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
BPA	0	0
CBFO	0	0
CH	0	6
EMCBC	0	0
GFO	0	0
HQ	64	27
ID	0	0
NETL	0	12
NR	0	0
NRLFO	0	0
ORO	0	0
OSTI	0	0
ROO	0	7,641
SEPA	0	29
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	0	0
NNSA	0	0
AGENCY OVERALL	64	7,715

## XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BPA	4	0
CBFO	0	0
CH	0	0
EMCBC	6	0
GFO	0	0
HQ	124	10
ID	0	0
NETL	4	0
NR	0	0
NRLFO	0	0
ORO	13	0
OSTI	3	0
ROO	1	0
SEPA	0	0
SPR	0	0
SRO	1	0
SWPA	0	0
WAPA	1	0
NNSA	130	0
AGENCY OVERALL	287	10



**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BPA	0	10	8	2
CBFO	0	0	0	0
CH	0	11	11	0
EMCBC	0	3	2	1
GFO	0	0	0	0
HQ	142	123	145	120
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	8	8	0
OSTI	0	0	0	0
ROO	0	1	1	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	0	1	1	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	3	15	4	14
AGENCY OVERALL	145	172	180	137

**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
BPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-09-07	2017-07-25
	Number of Days	0	0	0	0	0	0	0	0	16	47
CBFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
CH	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
EMCBC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2017-07-24
	Number of Days	0	0	0	0	0	0	0	0	0	48
GFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
HQ	Date	2015-05-22	2015-05-22	2015-05-22	2015-05-22	2015-05-22	2015-04-15	2015-04-09	2015-01-05	2015-01-02	2012-08-02
	Number of Days	592	592	592	592	592	619	623	689	690	1295
ID	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NETL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NRLFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ORO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OSTI	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days	0	0	0	0	0	0	0	0	0	0
ROO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SEPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SPR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SRO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SWPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
WAPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NNSA	Date	2017-08-10	2017-07-24	2017-07-11	2017-03-02	2017-01-09	2016-12-06	2016-11-01	2016-10-13	2016-08-11	2015-09-29
	Number of Days	36	49	58	151	189	213	238	251	296	519
AGENCY OVERALL	Date	2015-05-22	2015-05-22	2015-05-22	2015-05-22	2015-05-22	2015-04-15	2015-04-09	2015-01-05	2015-01-02	2012-08-02
	Number of Days	592	592	592	592	592	619	623	689	690	1295

**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	86	94	80	93
CBFO	11	14	16	15
CH	56	80	59	76
EMCBC	48	52	48	54
GFO	156	89	157	95
HQ	921	1,235	935	1,150
ID	40	32	42	32
NETL	11	25	8	22
NR	0	0	0	0
NRLFO	12	6	10	7
ORO	166	161	157	147
OSTI	36	17	37	17
ROO	63	66	59	71
SEPA	9	9	9	9
SPR	8	8	8	9
SRO	55	24	54	26
SWPA	11	12	12	12
WAPA	33	64	27	70
NNSA	252	227	290	222
AGENCY OVERALL	1,974	2,215	2,008	2,127

**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BPA	10	4
CBFO	2	0
CH	0	0
EMCBC	8	6
GFO	0	0
HQ	57	124
ID	0	0
NETL	2	4
NR	0	0
NRLFO	0	0
ORO	9	13
OSTI	3	3
ROO	1	1
SEPA	0	0
SPR	0	0
SRO	5	1
SWPA	1	0
WAPA	1	1
NNSA	131	130
AGENCY OVERALL	230	287

**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	0	0	0	0
CBFO	0	0	0	0
CH	0	0	0	0
EMCBC	0	0	0	0
GFO	0	0	0	0
HQ	71	42	70	42
ID	0	0	0	0
NETL	0	0	0	0
NR	0	0	0	0
NRLFO	0	0	0	0
ORO	0	0	0	0
OSTI	0	0	0	0
ROO	0	0	0	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	0	0	0	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	0	0	0	0
AGENCY OVERALL	71	42	70	42

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
BPA	0	0
CBFO	0	0
CH	0	0
EMCBC	0	0
GFO	0	0
HQ	10	10
ID	0	0
NETL	0	0
NR	0	0
NRLFO	0	0
ORO	0	0
OSTI	0	0
ROO	0	0
SEPA	0	0
SPR	0	0
SRO	0	0
SWPA	0	0
WAPA	0	0
NNSA	0	0
AGENCY OVERALL	10	10