

FOIA ANNUAL REPORT

FOR

10/01/2015

THROUGH

09/30/2016

Wednesday March 1, 2017

The following **Annual Freedom of Information Act** report covers the Period 10/01/2015, through 09/30/2016, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Peter O'Konski, Director

Office of Administration, MA-40

U.S. Department of Energy 1000

Independence Avenue, SW

Washington, DC 20585

202-586-5710

Alexander Morris, FOIA Officer

FOIA Office, MA-46

Office of Public Information

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2. An electronic copy of the Freedom of Information Act (FOIA) report can be obtained at <http://energy.gov/management/office-management/operational-management/freedom-information-act/documents/annual-reports>.

3. A paper copy of the report can be obtained at the Headquarters FOIA Public Reading Room located in the Forrestal Building, 1000 Independence Avenue, SW, room 1J-018, Washington, DC 20585, or by submitting a request to the FOIA Office. The hours of the Reading Room at Headquarters are from 9:00 a.m. to 4:00 p.m. weekdays, except federal holidays.

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

For the names, addresses and telephone numbers, visit our website at <http://energy.gov/management/office-management/operational-management/freedom-information-act/foia-contacts>.

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

The DOE's mission is to advance the national, economic, and energy security of the United States; to promote scientific and technological innovation in support of that mission; and to ensure the environmental cleanup of the national nuclear weapons complex. The records maintained by the DOE often involve proprietary matters, classified matters, innovation matters, and environmental matters. The DOE invokes several of the FOIA's exemptions to protect information that is: 1) classified as restricted data or formerly restricted data; 2) proprietary; 3) personal; and 4) pre-decisional and deliberative. These types of information may not be granted under the FOIA to protect national security, proprietary interests of submitters; personal privacy of individuals, and allow open and frank discussion as part of business deliberations and policy development.

III. Agency Component Abbreviations

Component Abbreviation	Component Name
BPA	Bonneville Power Administration
CBFO	Carlsbad Field Office
CH	Chicago Office
EMCBC	Environmental Management Consolidated Business Center
GFO	Golden Field Office
HQ	Headquarters
ID	Idaho Operations Office
NETL	National Energy Technology Laboratory
NR	Office of Naval Reactors
NRLFO	Naval Reactors Laboratory Field Office
ORO	Oak Ridge Office

OSTI	Office of Scientific and Technical Information
ROO	Richland Operations Office
SEPA	Southeastern Power Administration
SPR	Strategic Petroleum Reserve
SRO	Savannah River Office
SWPA	Southwestern Power Administration
WAPA	Western Area Power Administration
NNSA	National Nuclear Security Administration

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1))* (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BPA	1	4
			EMCBC	1	
			ROO	1	
			SPR	1	
42 U.S.C. § 2162 (Atomic Energy Act)	"Restricted Data" pertaining to atomic weapons and special nuclear material	Meeropol v. Smith, No. 75-1121, slip op. at 53-55 (D.D.C. Feb. 29, 1984), aff'd in relevant part & remanded in part on other grounds sub nom., Meeropol v. Meese, 790 F.2d 942 (D.C. Cir. 1986).	CH	1	37
			NRLFO	1	
			OSTI	2	
			NNSA	33	

26 U.S.C. §§ 6103, 6105 (Internal Revenue Code)	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x.	EMCBC	1	8
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		438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).			
			GFO		1
			HQ		5
			ROO		1
35 U.S.C. § 122 (Patent Act)	Applications for patents; certain information pertaining to applications for patents	Irons & Sears v. Dann, 606 F.2d 1215, 1219-21 (D.C. Cir. 1979).	GFO		1
			HQ		1
			SRO		1
50 U.S.C. § 403-1(i)(1) (currently at 50 U.S.C. § 3024(i)(1)) (National Security Act of 1947)	Intelligence sources and methods	CIA v. Sims, 471 U.S. 159, 167 (1985); ACLU v. DOJ, 681 F.3d 61, 72-75 (2d Cir. May 21, 2012); ACLU v. DOD, 628 F.3d 612, 619, 626 (D.C. Cir. 2011); Berman v. CIA, 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007).	HQ		16
28 U.S.C. § 652(d)	"[C]onfidential dispute resolution communications"	Yelder v. DOD, 577 F. Supp. 2d 342, 347-48 (D.D.C. 2008)	HQ		1
			ROO		1
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury,	HQ		1

		570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).			
41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	ID		2
			ROO		1
			SPR		2
			NNSA		1
5 U.S.C. app. § 107(a) (Ethics in Government Act of 1978)	Financial disclosure information pertaining to certain government employees	Meyerhoff v. EPA, 958 F.2d 1498, 1500-02 (9th Cir. 1992); Concepcion v. FBI, 606 F. Supp. 2d 14, 33 (D.D.C. 2009), renewed motion for summary judgment granted	NETL		2
5 U.S.C. app. § 105	Financial disclosure reports and applications to inspect financial disclosure reports	N/A	SPR		1
22 U.S.C. § 2778(e) (Arms Export Control Act)	Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, No. 96-1807, slip op. at 11 (D.D.C.	NNSA		1

		Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).			

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BPA	26	86	80	32
CBFO	7	11	16	2
CH	4	56	59	1
EMCBC	13	48	48	13
GFO	8	156	157	7
HQ	155	921	935	141
ID	2	40	42	0
NETL	3	11	8	6
NR	0	0	0	0
NRLFO	0	12	10	2
ORO	6	166	157	15
OSTI	5	36	37	4
ROO	3	63	59	7
SEPA	0	9	9	0
SPR	1	8	8	1
SRO	4	55	54	5
SWPA	2	11	12	1
WAPA	2	33	27	8
NNSA	189	252	290	151
AGENCY OVERALL	430	1,974	2,008	396

HQ- After further review it was determined that this number should have been 2 less.

ID - After further review it was determined that this number should have been 1 less.

NNSA - After further review it was determined that this number should have been 1 more.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
BPA	28	27	1	7	2	12	1	1	0	0	1	0	80
CBFO	5	2	0	2	3	3	0	1	0	0	0	0	16
CH	12	7	1	6	9	5	2	2	5	8	2	0	59
EMCBC	12	19	1	11	1	0	0	2	0	1	1	0	48
GFO	77	29	0	16	0	11	11	9	2	2	0	0	157
HQ	179	206	6	118	189	135	6	8	12	18	58	0	935
ID	13	14	4	9	0	2	0	0	0	0	0	0	42
NETL	3	3	2	0	0	0	0	0	0	0	0	0	8
NR	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	2	4	1	2	0	0	0	0	0	0	1	0	10
ORO	91	16	0	26	7	2	0	0	2	10	3	0	157
OSTI	16	0	2	9	2	5	1	0	0	1	1	0	37
ROO	16	22	1	4	4	9	0	0	0	2	1	0	59
SEPA	5	0	0	4	0	0	0	0	0	0	0	0	9
SPR	1	4	1	2	0	0	0	0	0	0	0	0	8
SRO	15	13	0	5	0	3	15	1	1	0	1	0	54
SWPA	0	1	0	5	0	3	0	0	1	0	2	0	12
WAPA	6	11	0	4	0	3	1	0	1	1	0	0	27
NNSA	102	84	10	63	10	12	0	0	0	6	3	0	290

AGENCY OVERALL	583	462	30	293	227	205	37	24	24	49	74	0	2,008
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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
			0
			0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BPA	0	5	1	5	12	21	0	0	1	0	0	1	0	0
CBFO	0	0	0	1	1	1	0	0	0	0	0	0	0	0
CH	0	0	1	2	0	6	0	0	1	0	0	0	0	0
EMCBC	0	0	2	5	4	17	0	0	0	0	0	0	0	0
GFO	0	0	2	15	5	13	0	0	0	0	2	2	0	0
HQ	1	25	24	43	80	164	7	0	56	4	10	2	0	0
ID	0	0	2	1	1	15	0	0	0	0	0	2	0	0
NETL	0	0	2	3	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	1	0	1	1	1	3	0	0	0	0	0	0	0	0
ORO	1	0	0	5	2	11	0	0	0	0	0	0	0	0
OSTI	2	0	2	2	0	0	0	0	0	0	0	0	0	0
ROO	0	0	4	6	6	18	0	0	0	0	2	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	4	4	2	0	0	0	0	0	0	0	0	0
SRO	0	0	1	1	4	9	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	1	1	0	0	0	0	0	0	0	0
WAPA	0	0	0	2	7	8	0	0	0	0	0	0	0	0
NNSA	8	0	35	17	18	61	2	0	4	0	9	10	0	0
AGENCY OVERALL	13	30	81	113	144	348	9	0	62	4	23	17	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
HQ	12	71	70	13
				0
AGENCY OVERALL	12	71	70	13

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
HQ	32	6	4	28	70
					0
AGENCY OVERALL	32	6	4	28	70

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
HQ	2	0	3	3	10	12	1	0	1	1	1	1	0	0
AGENCY OVERALL	2	0	3	3	10	12	1	0	1	1	1	1	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
HQ	6	0	4	0	0	24	3	0	0	3	2
AGENCY OVERALL	6	0	4	0	0	24	3	0	0	3	2

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
HQ	Adequacy of search	2	2
AGENCY OVERALL			2

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
HQ	9.50	18.54	<1	219.00
AGENCY OVERALL	9.50	18.54	<1	219.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
HQ	Date of Appeal	2016-06-21	2016-03-14	2015-04-20	2015-04-15	2014-12-08	2014-10-07	2013-11-15	2013-10-16	2013-10-10	2012-07-03
	Number of Days Pending	75	146	374	377	465	506	731	752	756	1076
AGENCY OVERALL	Date of Appeal	2016-06-21	2016-03-14	2015-04-20	2015-04-15	2014-12-08	2014-10-07	2013-11-15	2013-10-16	2013-10-10	2012-07-03
	Number of Days Pending	75	146	374	377	465	506	731	752	756	1076

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	4.5	11.85	<1	71	70	204.92	<1	932	N/A	N/A	N/A	N/A
CBFO	81	113.2	4	417	164	242	1	453	N/A	N/A	N/A	N/A
CH	3	4.97	<1	26	15	18.31	<1	43	N/A	N/A	N/A	N/A
EMCBC	47	65.41	1	162	51	51.29	1	171	N/A	N/A	N/A	N/A
GFO	6.5	7.89	<1	32	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	26	62.22	<1	1319	109.5	259.03	5	1647	N/A	N/A	N/A	N/A
ID	4.5	7.14	<1	30	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	5	19.25	<1	114	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	8.5	14	1	36	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	15	22.08	<1	93	35	55.09	7	142	52	52	52	52
OSTI	9	97.73	<1	1610	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	9	9.15	<1	30	19	37.92	<1	122	N/A	N/A	N/A	N/A
SEPA	2	4.11	<1	17	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	13	12.62	6	21	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SRO	9.5	12.08	<1	60	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	11	13.82	<1	41	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	14.5	17.54	2	41	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NNSA	6.5	249.5	1	984	80.5	255.52	1	2180	N/A	N/A	N/A	N/A
AGENCY OVERALL	14	43.97	<1	1610	72	224.82	<1	2180	52	52	52	52

VII.B. ROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BPA	6	14.19	<1	71	210	262.39	13	932	N/A	N/A	N/A	N/A
CBFO	27.5	55	4	147	139	139	139	139	N/A	N/A	N/A	N/A
CH	4	6	<1	17	17	20.88	4	43	N/A	N/A	N/A	N/A
EMCBC	76.5	82.28	1	162	60	65.31	5	171	N/A	N/A	N/A	N/A
GFO	12	10.34	<1	32	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
HQ	52	96.88	<1	1165	145.5	360.29	14	1647	N/A	N/A	N/A	N/A
ID	6	7.56	<1	23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NETL	8.5	25.33	4	114	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NRLFO	14	17	6	36	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ORO	16	23.12	<1	93	35	58.57	7	142	52	52	52	52
OSTI	12.5	21.06	<1	92	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ROO	10	10.1	<1	26	47	64.57	16	122	N/A	N/A	N/A	N/A
SEPA	1	0.8	<1	2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SPR	15	15	8	21	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SRO	19.5	19.39	2	60	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SWPA	41	41	41	41	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WAPA	21	21.59	3	41	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NNSA	2	4.67	1	11	95	264.2	1	1831	N/A	N/A	N/A	N/A
AGENCY OVERALL	21	54.79	<1	1165	92	248.98	1	1831	52	52	52	52

VII.C. ROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	34	2	2	2	0	0	0	0	0	0	0	0	0	40
CBFO	3	0	2	0	0	1	0	1	2	0	0	0	1	10
CH	38	1	0	0	0	0	0	0	0	0	0	0	0	39
EMCBC	7	4	6	2	2	3	1	3	1	0	0	0	0	29
GFO	136	10	0	0	0	0	0	0	0	0	0	0	0	146
HQ	376	165	93	45	31	26	21	18	12	13	37	4	14	855
ID	39	3	0	0	0	0	0	0	0	0	0	0	0	42
NETL	7	0	0	0	0	1	0	0	0	0	0	0	0	8
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	6	4	0	0	0	0	0	0	0	0	0	0	0	10
ORO	92	25	15	8	3	0	0	0	0	0	0	0	0	143
OSTI	27	1	3	2	1	0	0	0	0	0	0	0	3	37
ROO	44	3	0	0	0	0	0	0	0	0	0	0	0	47
SEPA	9	0	0	0	0	0	0	0	0	0	0	0	0	9
SPR	7	1	0	0	0	0	0	0	0	0	0	0	0	8
SRO	38	13	1	0	0	0	0	0	0	0	0	0	0	52
SWPA	9	1	1	0	0	0	0	0	0	0	0	0	0	11
WAPA	17	8	1	0	0	0	0	0	0	0	0	0	0	26
NNSA	3	0	0	0	0	0	0	0	0	0	0	0	1	4
AGENCY OVERALL	892	241	124	59	37	31	22	22	15	13	37	4	19	1,516

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	9	3	6	4	0	0	0	0	1	1	4	3	8	39
CBFO	1	0	0	0	0	0	1	0	1	0	0	0	2	5
CH	8	3	2	0	0	0	0	0	0	0	0	0	0	13
EMCBC	5	0	6	4	1	0	0	0	1	0	0	0	0	17
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	8	6	3	6	3	7	3	5	1	1	3	2	12	60
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	4	2	1	1	0	1	1	1	0	0	0	0	0	11
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	6	1	2	0	1	1	1	0	0	0	0	0	0	12
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	47	49	32	15	18	12	9	1	7	4	30	11	51	286
AGENCY OVERALL	88	64	52	30	23	21	15	7	11	6	37	16	73	443

VII.C. ROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CBFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CH	0	0	0	0	0	0	0	0	0	0	0	0	0	0
EMCBC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ID	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NETL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRLFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ORO	0	0	1	0	0	0	0	0	0	0	0	0	0	1
OSTI	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ROO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SEPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SPR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SRO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
SWPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
WAPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NNSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	1	0	0	0	0	0	0	0	0	0	0	1

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BPA	3	44	38	27	90	205	0	N/A	N/A
CBFO	1	28	28	1	59	59	0	N/A	N/A
CH	1	1	1	0	N/A	N/A	0	N/A	N/A
EMCBC	6	43	157	7	22	108	0	N/A	N/A
GFO	7	5	5	0	N/A	N/A	0	N/A	N/A
HQ	112	17.5	118	14	566	639	0	N/A	N/A
ID	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NETL	3	33	84	0	N/A	N/A	0	N/A	N/A
NR	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
NRLFO	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
ORO	14	24.5	39	1	172	172	0	N/A	N/A
OSTI	4	73	63	0	N/A	N/A	0	N/A	N/A
ROO	2	5	5	4	56	62	0	N/A	N/A
SEPA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SPR	1	5	5	0	N/A	N/A	0	N/A	N/A
SRO	5	43	53	0	N/A	N/A	0	N/A	N/A
SWPA	1	111	111	0	N/A	N/A	0	N/A	N/A
WAPA	8	12	15	0	N/A	N/A	0	N/A	N/A
NNSA	1	326	326	150	147.5	321	0	N/A	N/A
AGENCY OVERALL	169	15	92.81	204	126.5	309.64	0	N/A	N/A

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BPA	Date of Receipt	2016-04-14	2016-03-14	2016-02-23	2016-01-22	2016-01-13	2013-08-06	2013-08-06	2013-07-23	2013-07-23	2013-07-10
	Number of Days Pending	118	141	155	176	182	792	792	802	802	811
CBFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-08-22
	Number of Days Pending	0	0	0	0	0	0	0	0	28	59
CH	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-29
	Number of Days Pending	0	0	0	0	0	0	0	0	0	1
EMCBC	Date of Receipt	2016-09-13	2016-09-08	2016-08-30	2016-08-26	2016-08-19	2016-07-18	2016-07-12	2016-07-05	2014-03-17	2013-08-19
	Number of Days Pending	13	16	22	24	29	53	57	62	641	783
GFO	Date of Receipt	N/A	N/A	N/A	2016-09-30	2016-09-29	2016-09-28	2016-09-23	2016-09-20	2016-09-16	2016-09-16
	Number of Days Pending	0	0	0	0	1	2	5	8	10	10
HQ	Date of Receipt	2014-03-06	2013-08-06	2013-05-23	2013-05-21	2013-04-04	2013-03-01	2013-02-19	2012-11-19	2012-11-05	2011-11-17
	Number of Days Pending	648	792	843	845	878	902	910	971	980	1223
ID	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NETL	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-22	2016-08-15	2015-11-24
	Number of Days Pending	0	0	0	0	0	0	0	6	33	215
NR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
NRLFO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
ORO	Date of Receipt	2016-09-06	2016-08-26	2016-08-25	2016-08-24	2016-08-18	2016-07-19	2016-04-26	2016-04-19	2016-04-18	2016-01-28	
	Number of Days Pending	18	24	25	26	30	52	110	115	116	172	
OSTI	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-02	2016-06-22	2016-06-14	2016-05-24	
	Number of Days Pending	0	0	0	0	0	0	19	70	76	90	
ROO	Date of Receipt	N/A	N/A	N/A	N/A	2016-09-28	2016-09-27	2016-09-26	2016-09-20	2016-04-28	2016-03-23	
	Number of Days Pending	0	0	0	0	2	3	4	8	108	134	
SEPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	0
SPR	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-23
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	5
SRO	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2016-09-01	2016-08-22	2016-08-01	2016-06-02	2016-05-23	
	Number of Days Pending	0	0	0	0	0	20	28	43	84	91	
SWPA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-04-25
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0	111
WAPA	Date of Receipt	N/A	N/A	2016-09-22	2016-09-22	2016-09-22	2016-09-14	2016-09-14	2016-09-01	2016-08-18	2016-08-11	
	Number of Days Pending	0	0	6	6	6	12	12	20	30	35	

NNSA	Date of Receipt	2012-03-29	2011-05-10	2010-07-14	2010-07-13	2010-06-02	2010-05-05	2009-06-30	2009-05-13	2008-12-17	2007-10-24
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	Number of Days Pending	1139	1361	1567	1568	1596	1615	1827	1860	1961	2249
AGENCY OVERALL	Date of Receipt	2011-11-17	2011-05-10	2010-07-14	2010-07-13	2010-06-02	2010-05-05	2009-06-30	2009-05-13	2008-12-17	2007-10-24
	Number of Days Pending	1223	1361	1567	1568	1596	1615	1827	1860	1961	2249

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BPA	0	2	4	4	2
CBFO	0	0	N/A	N/A	0
CH	0	2	1	1	2
EMCBC	0	2	38	38	1
GFO	0	0	N/A	N/A	0
HQ	0	17	9	10.82	11
ID	0	0	N/A	N/A	0
NETL	0	0	N/A	N/A	0
NR	0	0	N/A	N/A	0
NRLFO	0	0	N/A	N/A	0
ORO	1	1	22.5	22.5	1
OSTI	0	0	N/A	N/A	0
ROO	0	3	1	0.67	3
SEPA	0	0	N/A	N/A	0
SPR	0	0	N/A	N/A	0
SRO	0	0	N/A	N/A	0
SWPA	0	0	N/A	N/A	0
WAPA	0	0	N/A	N/A	0
NNSA	0	7	1	1.86	7
AGENCY OVERALL	1	34	3	9.37	27

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BPA	12	4	1	1.5
CBFO	2	0	69.5	69.5
CH	2	8	1	1.3
EMCBC	4	0	1	1.25
GFO	35	0	1	1.86
HQ	20	11	5	7
ID	0	0	N/A	N/A
NETL	0	0	N/A	N/A
NR	0	0	N/A	N/A
NRLFO	0	1	5	5
ORO	64	0	1	1
OSTI	0	0	N/A	N/A
ROO	2	3	1	1
SEPA	1	0	1	1
SPR	0	0	N/A	N/A
SRO	2	3	1	1
SWPA	1	0	23	23
WAPA	4	5	4	4.89
NNSA	11	9	1	0.05
AGENCY OVERALL	160	44	0	2.63

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BPA	3	3.1	6.1	\$557,857.35	\$0.00	\$557,857.35
CBFO	0	0.5	0.5	\$29,806.00	\$0.00	\$29,806.00
CH	0	1.2	1.2	\$144,335.00	\$0.00	\$144,335.00
EMCBC	2	1	3	\$187,336.11	\$0.00	\$187,336.11
GFO	1	0	1	\$125,000.00	\$0.00	\$125,000.00
HQ	27	23.75	50.75	\$6,348,191.05	\$250,143.00	\$6,598,334.05
ID	1	0.1	1.1	\$116,882.00	\$0.00	\$116,882.00
NETL	1	0	1	\$120,000.00	\$0.00	\$120,000.00
NR	0	0	0	\$0.00	\$0.00	\$0.00
NRLFO	0	0.05	0.05	\$778.00	\$0.00	\$778.00
ORO	3	0.5	3.5	\$210,875.00	\$0.00	\$210,875.00
OSTI	0	0.6	0.6	\$73,580.34	\$0.00	\$73,580.34
ROO	1	1.5	2.5	\$209,985.97	\$0.00	\$209,985.97
SEPA	0	0.4	0.4	\$5,784.00	\$0.00	\$5,784.00
SPR	0	0.3	0.3	\$26,177.40	\$0.00	\$26,177.40
SRO	0	0.65	0.65	\$71,688.00	\$0.00	\$71,688.00
SWPA	0	0.3	0.3	\$20,918.00	\$0.00	\$20,918.00
WAPA	0	0.5	0.5	\$56,000.00	\$0.00	\$56,000.00
NNSA	10	1	11	\$1,046,603.88	\$0.00	\$1,046,603.88

AGENCY OVERALL	49	35.45	84.45	\$9,351,798.10	\$250,143.00	\$9,601,941.10
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X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BPA	\$2,996.79	0.54%
CBFO	\$0.00	0.00%
CH	\$331.36	0.23%
EMCBC	\$0.00	0.00%
GFO	\$6,837.03	5.47%
HQ	\$4,654.50	0.07%
ID	\$0.00	0.00%
NETL	\$0.00	0.00%
NR	\$0.00	0.00%
NRLFO	\$0.00	0.00%
ORO	\$0.00	0.00%
OSTI	\$0.00	0.00%
ROO	\$584.44	0.28%
SEPA	\$0.00	0.00%
SPR	\$0.00	0.00%
SRO	\$386.60	0.54%
SWPA	\$0.00	0.00%
WAPA	\$350.00	0.63%
NNSA	\$1,550.50	0.15%
AGENCY OVERALL	\$17,691.22	0.19%

XI.A. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection (C) Used
BPA	0
CBFO	0
CH	0
EMCBC	0
GFO	0
HQ	0
ID	0
NETL	0
NR	0
NRLFO	0
ORO	0
OSTI	0
ROO	0
SEPA	0
SPR	0
SRO	0
SWPA	0
WAPA	0
NNSA	0
AGENCY OVERALL	0

XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices
BPA	0	0
CBFO	0	0
CH	0	6
EMCBC	0	0
GFO	0	0
HQ	7	65
ID	0	0
NETL	0	2
NR	0	0
NRLFO	0	0
ORO	0	0
OSTI	0	0
ROO	0	5770
SEPA	0	36
SPR	0	0
SRO	0	3
SWPA	0	0
WAPA	0	0
NNSA	0	0
AGENCY OVERALL	7	5882

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BPA	10	0
CBFO	2	0
CH	0	0
EMCBC	8	0
GFO	0	0
HQ	57	10
ID	0	0
NETL	2	0
NR	0	0
NRLFO	0	0
ORO	9	0
OSTI	3	0
ROO	1	0
SEPA	0	0
SPR	0	0
SRO	5	0
SWPA	1	0
WAPA	1	0
NNSA	131	0
AGENCY OVERALL	230	10

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BPA	1	3	4	0
CBFO	0	1	1	0
CH	0	2	2	0
EMCBC	0	1	1	0
GFO	0	1	1	0
HQ	93	113	64	142
ID	0	0	0	0
NETL	1	0	1	0
NR	0	0	0	0
NRLFO	0	1	1	0
ORO	0	25	25	0
OSTI	0	0	0	0
ROO	0	0	0	0
SEPA	0	0	0	0
SPR	0	0	0	0
SRO	1	8	9	0
SWPA	0	0	0	0
WAPA	0	0	0	0
NNSA	4	4	5	3
AGENCY OVERALL	100	159	114	145

HQ - After further review it was determined that this number should have been 34 less due to duplicates.

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
BPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
CBFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
CH	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
EMCBC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
GFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
HQ	Date	2015-01-13	2015-01-12	2015-01-05	2015-01-05	2015-01-05	2015-01-05	2015-01-02	2015-01-02	2015-01-02	2012-08-02
	Number of Days	433	434	439	439	439	439	440	440	440	1045
ID	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NETL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NRLFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ORO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0

OSTI	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
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	Number of Days	0	0	0	0	0	0	0	0	0	0
ROO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SEPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SPR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SRO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
SWPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
WAPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NNSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-08-11	2016-02-29	2015-09-29
	Number of Days	0	0	0	0	0	0	0	36	154	259
AGENCY OVERALL	Date	2015-01-13	2015-01-12	2015-01-05	2015-01-05	2015-01-05	2015-01-05	2015-01-02	2015-01-02	2015-01-02	2012-08-02
	Number of Days	433	434	439	439	439	439	440	440	440	1045

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BPA	105	86	134	80
CBFO	21	11	14	16
CH	80	56	79	59
EMCBC	77	48	86	48
GFO	111	156	107	157
HQ	1,073	921	1,108	935
ID	47	40	46	42
NETL	25	11	24	8
NR	0	0	0	0
NRLFO	2	12	2	10
ORO	209	166	207	157
OSTI	40	36	41	37
ROO	98	63	98	59
SEPA	24	9	24	9
SPR	18	8	18	8
SRO	49	55	45	54
SWPA	22	11	22	12
WAPA	50	33	50	27
NNSA	302	252	339	290
AGENCY OVERALL	2,353	1,974	2,444	2,008

HQ received from last FY- After further review it was determined that this number should have been 2 less.

ID received from last FY - After further review it was determined that this number should have been 1 less.

NNSA processed from last FY - After further review it was determined that this number should have been 1 less.

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BPA	1	10
CBFO	7	2
CH	0	0
EMCBC	12	8
GFO	0	0
HQ	90	57
ID	0	0
NETL	0	2
NR	0	0
NRLFO	0	0
ORO	2	9
OSTI	4	3
ROO	0	1
SEPA	0	0
SPR	0	0
SRO	0	5
SWPA	0	1
WAPA	0	1
NNSA	163	131
AGENCY OVERALL	279	230

BPA - After further review it was determined that this number should have been one more.

HQ - After further review it was determined that this number should have been one less.

ID - After further review it was determined that this number should have been one less.

NETL - After further review it was determined that this number should have been two less.

NNSA - After further review it was determined that this number should have been one more.

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
HQ	76	71	75	70
AGENCY OVERALL	76	71	75	70

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
HQ	9	10
AGENCY OVERALL	9	10