



U.S. DEPARTMENT OF
ENERGY

Nuclear Energy

**Supervisory Control of
Multi-Modular Advanced Reactor Plants
(AT-17OR230201)**

**DOE NE
Advanced Sensors and Instrumentation
Program Review Webinar
October 12-13, 2016**

**S. M. Cetiner
Oak Ridge National Laboratory**

Project participants (FY16)

■ ORNL

- S. M. Cetiner
- M. D. Muhlheim
- A. Guler
- S. Greenwood
- R. J. Belles
- G. Flanagan (FY14-15)
- R. Kisner (FY14-15)
- D. Fugate (FY14-15)

■ Ohio State University (Subcontract)

- R. Denning

■ PNNL (ART ICHMI – ERM Project)

- P. Ramuhalli
- E. H. Hirt
- G. Dib
- A. Veeramany
- C. A. Bonebrake
- S. Roy

■ ANL (Subcontract)

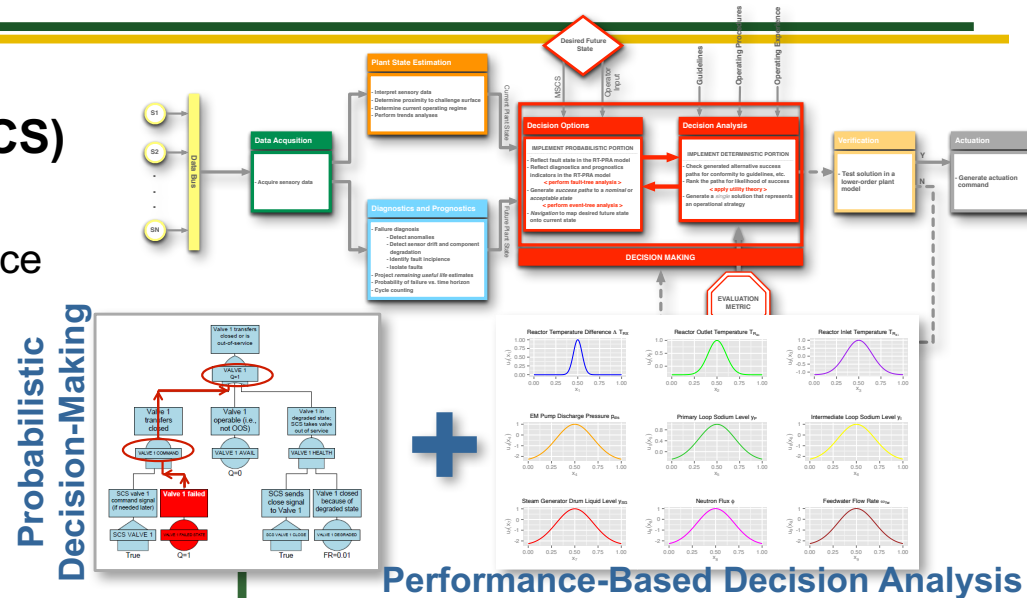
- D. Grabaskas
- A. Brunett

AT-17OR230201 — Supervisory Control of Multi-Modular Advanced Reactor Plants

Mission Relevance

Benefits of a supervisory control system (SCS)

- optimization of plant staffing
- significant reduction in operations and maintenance (O&M) costs through integrated enhanced risk monitors (ERMs)
- design and performance optimization through risk-informed operations framework
- increase in plant availability, reliability, and safety



Technical Approach, Accomplishments/Results

A control decision is made based on actual plant status in real time

- probabilistic models rank control options based on the health and operational status of components
- system models evaluate performance implications of probabilistically identified control actions
- integrated control decision re-ranks the probabilistically-identified control options using weighted utility functions
 - The utility functions are determined based on the trip set points and other constraints that define the operational space

Expected Deliverables & Schedule

- Developed and demonstrated the *probabilistic* and *deterministic* parts of decision-making for ALMR PRISM (FY16)
- Incorporated PNNL's enhanced risk monitors (ERMs) module into the SCS framework (FY16)
- Demonstration of full supervisory control capabilities in an end-to-end ALMR PRISM plant (FY17)
 - Level 2 Milestone due Nov. 30 (on target)

- **Background on Supervisory Control Project**
- **Work performed during FY16**
- **Summary and Conclusions**

The process of decision-making is to identify and choose among alternatives that will move from a degraded state to a desired state or condition



■ Probabilistically identify decision alternatives

- reflect the change of state in any component,
- reconfigure the probabilistic models to reflect the change,
- execute the probabilistic tools, and
- identify and transmit the operational alternatives ranked by probability of successfully avoiding the actuation of a safety system setpoint



■ Deterministically evaluate alternative decisions using system models

- reflect the change of state in any component,
- reconfigure the system models to reflect the change,
- execute the probabilistically selected control options,
- provide profiles of variables of interest that show selected metrics over time, rate of approaching a safety system setting, and how close the system is to the safety system setting

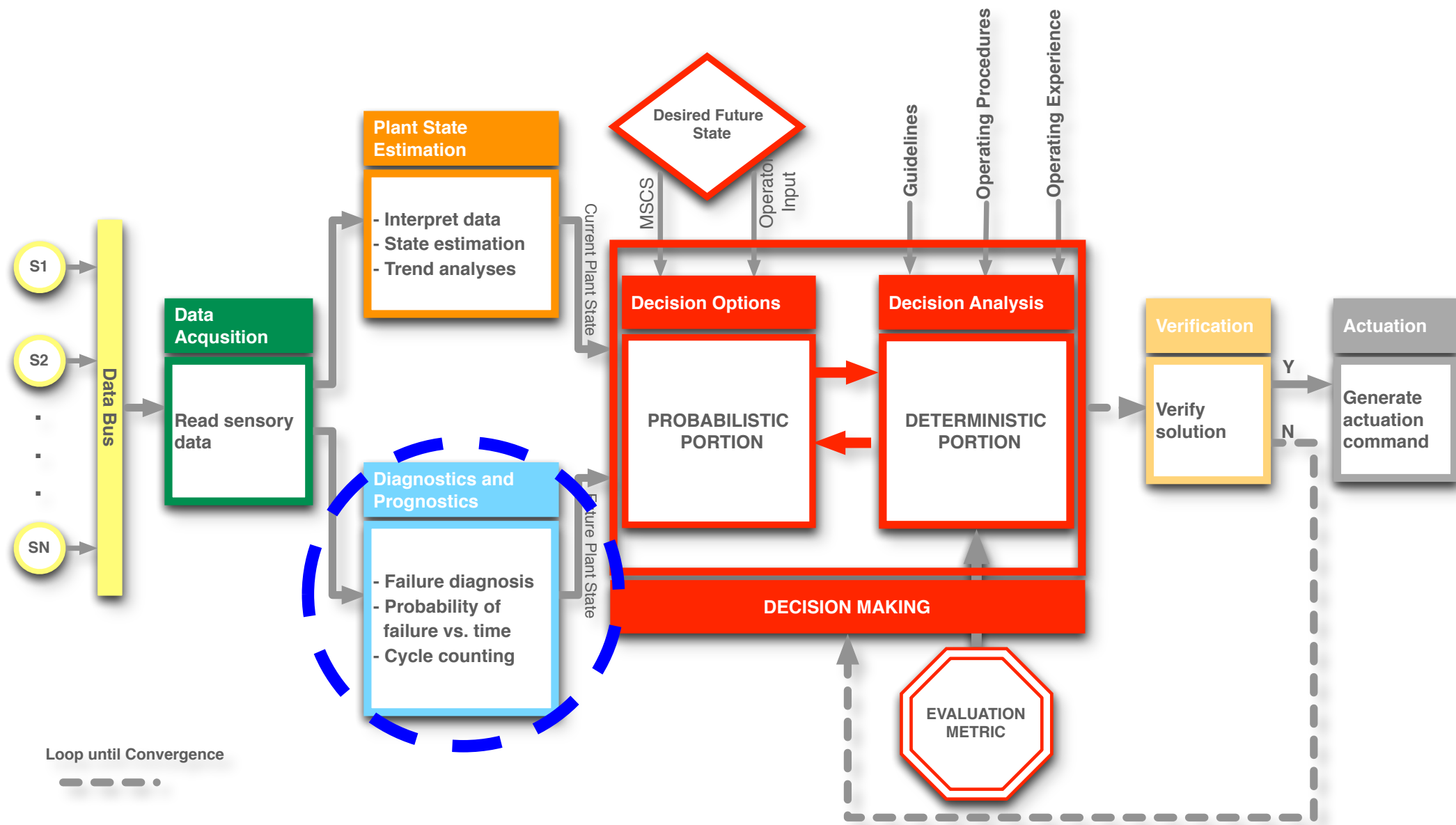


■ Generate a single solution

- identify the optimal control option based on utility theory analysis of the probabilistic/system analyses (i.e., makes a decision),
- transmit an actuation signal to the component(s) of interest, and
- inform the operator of action taken or requests permission to take action

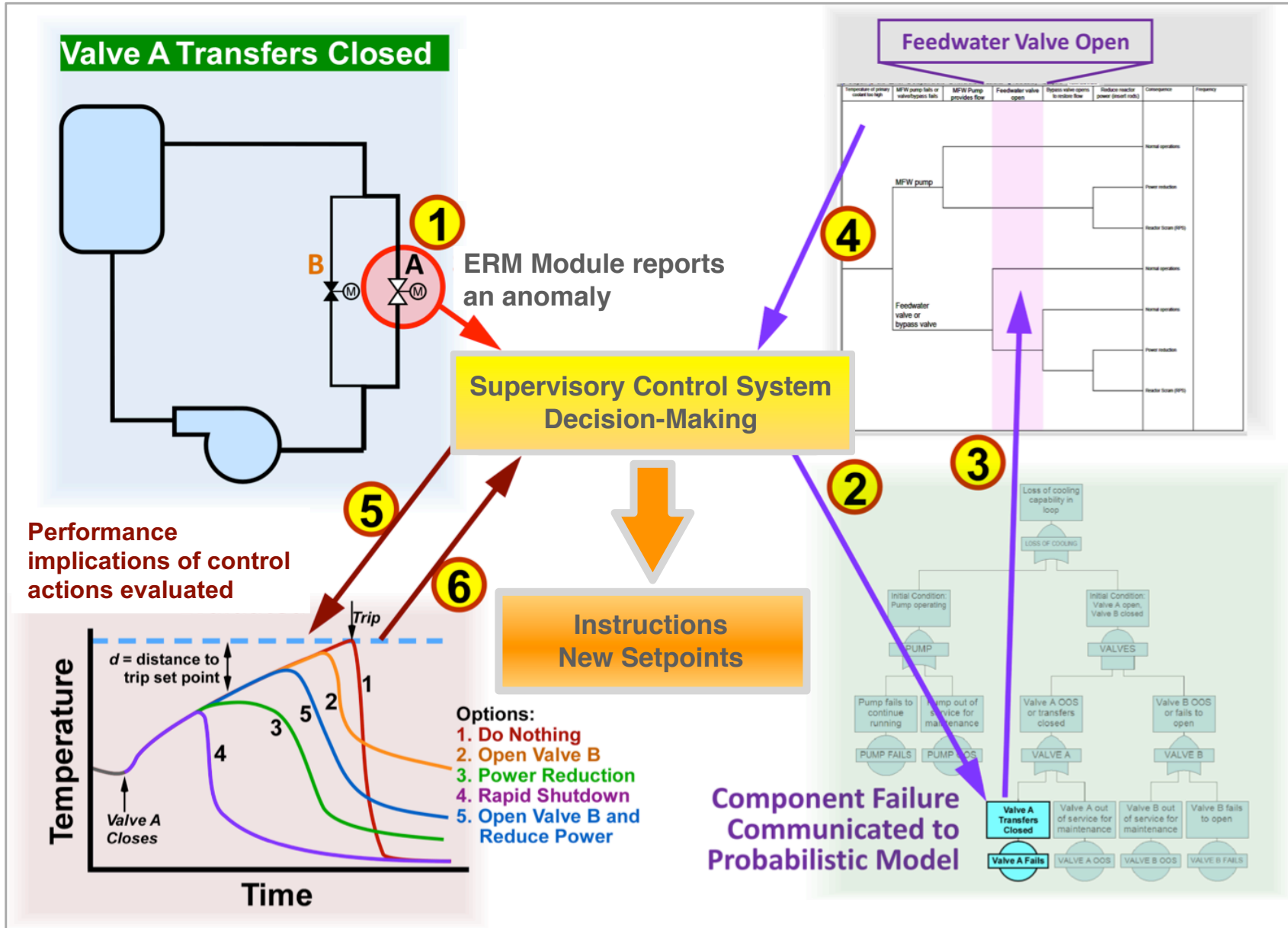


SCS decision-making framework





Embedded decision-making enables proactive operations rather than simply reactive control

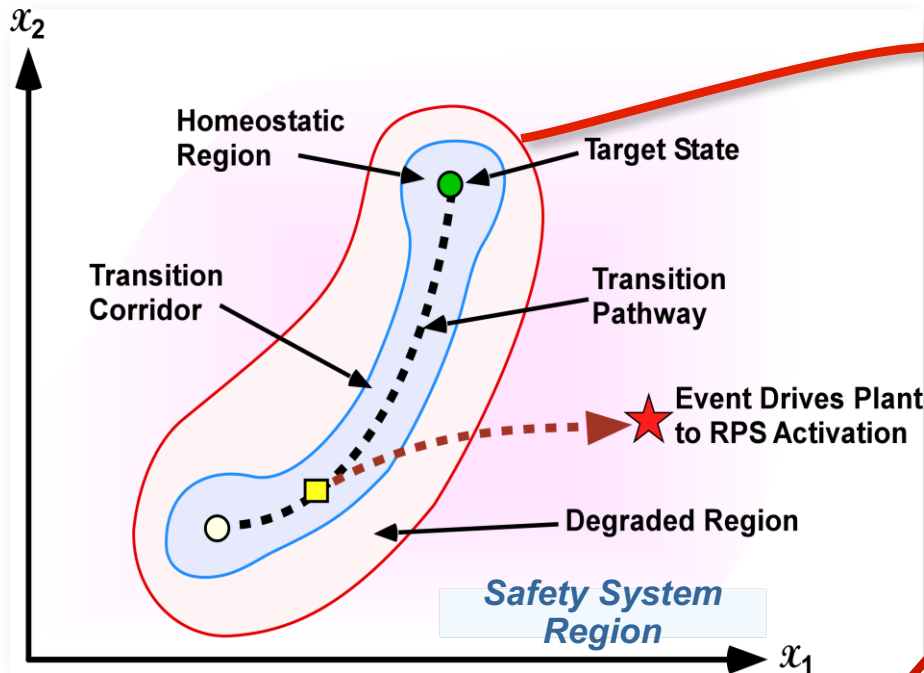




The metric of interest for the SCS is the *likelihood of avoiding an unplanned trip*

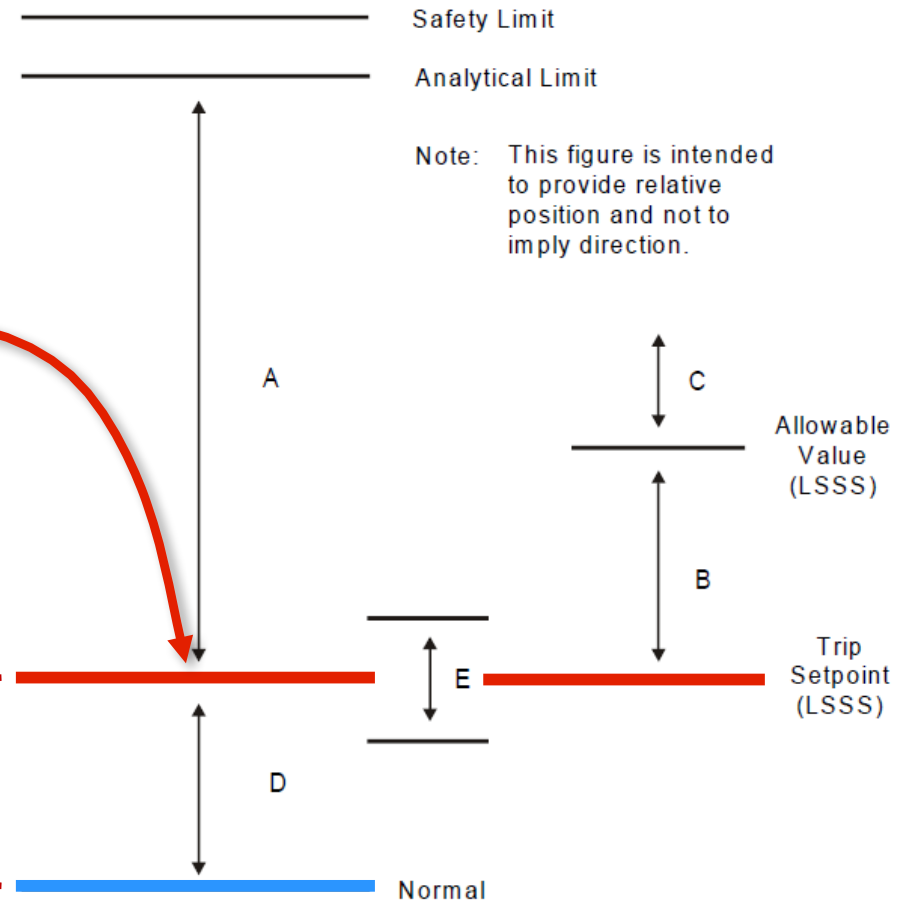
The SCS is

- Implemented as a non-safety system
- completely independent of and isolated from the reactor protection system (RPS) (GDC 22, 24)
- functional domain is bounded by the safety system (e.g., RPS) trip setpoints



State space spanned by two arbitrary state variables, x_1 and x_2

Operation anywhere within the homeostatic region is considered normal



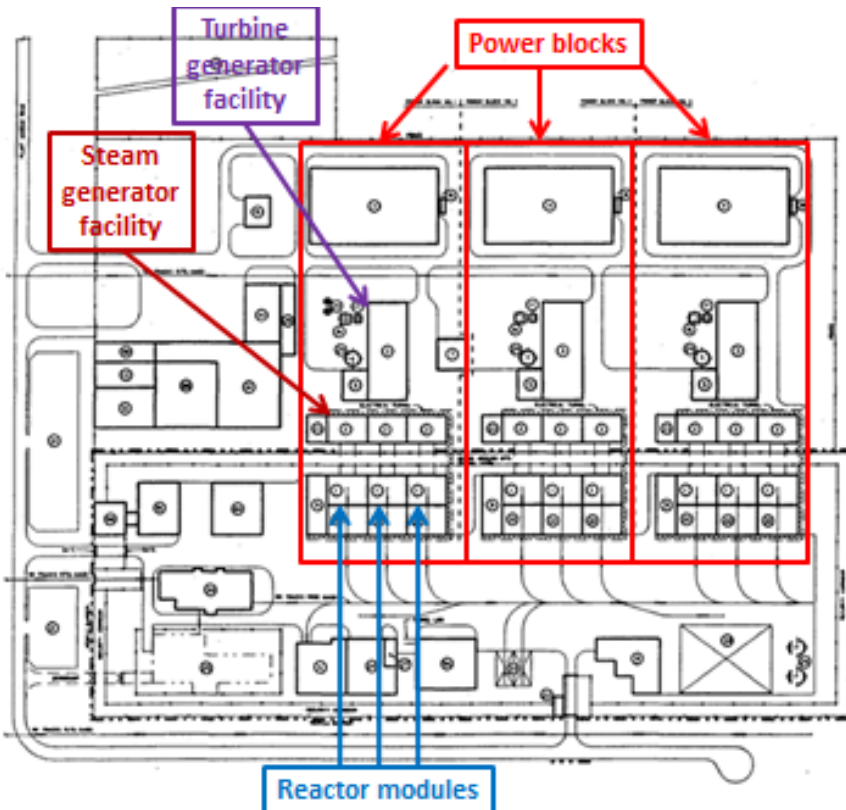
Note: This figure is intended to provide relative position and not to imply direction.

- A. Allowance
 - B. Allowance
 - C. Region where channel may be determined inoperable
 - D. Plant operating margin
 - E. Region of calibration tolerance (acceptable as left condition)
- (Source ISA-S67.04-1994)

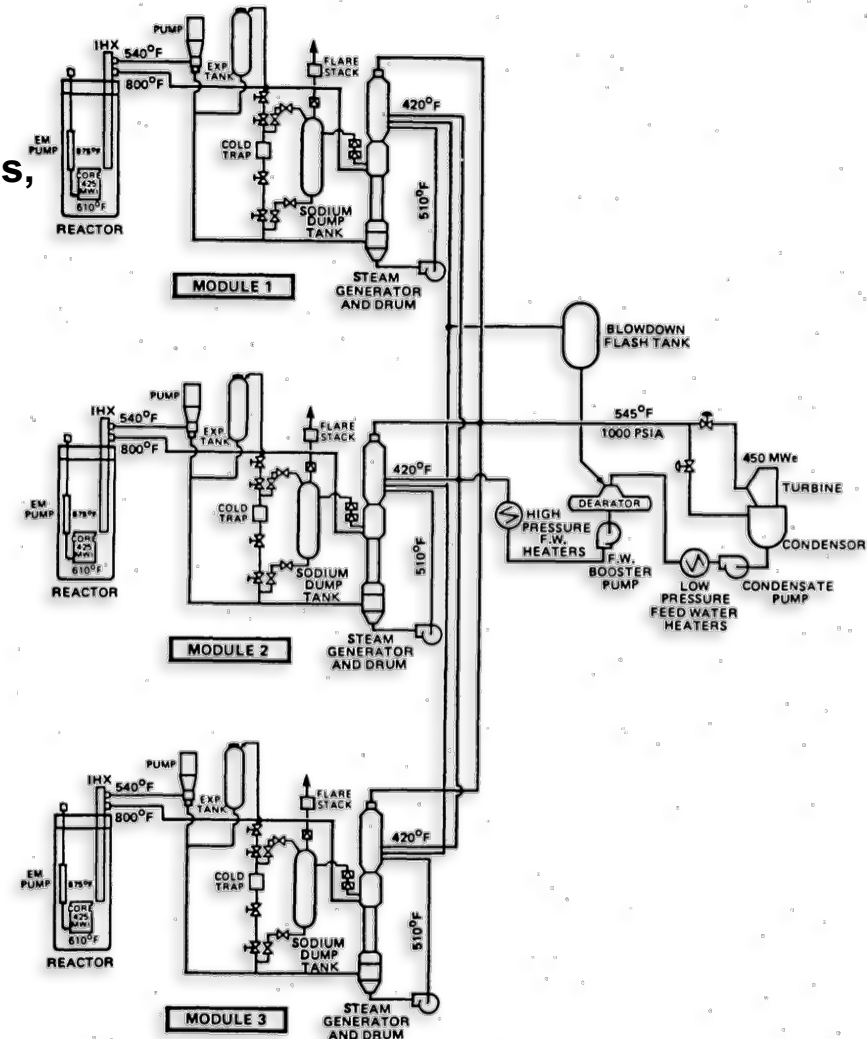


Probabilistic and deterministic models capture the operational characteristics of the ALMR PRISM

- Reference: *PRISM Preliminary Safety Information Document*
- Nine reactor modules arranged in three identical power blocks
- Each power block features three identical reactor modules, each with its own SG that jointly supply power to a single turbine-generator



ALMR PRISM power plant

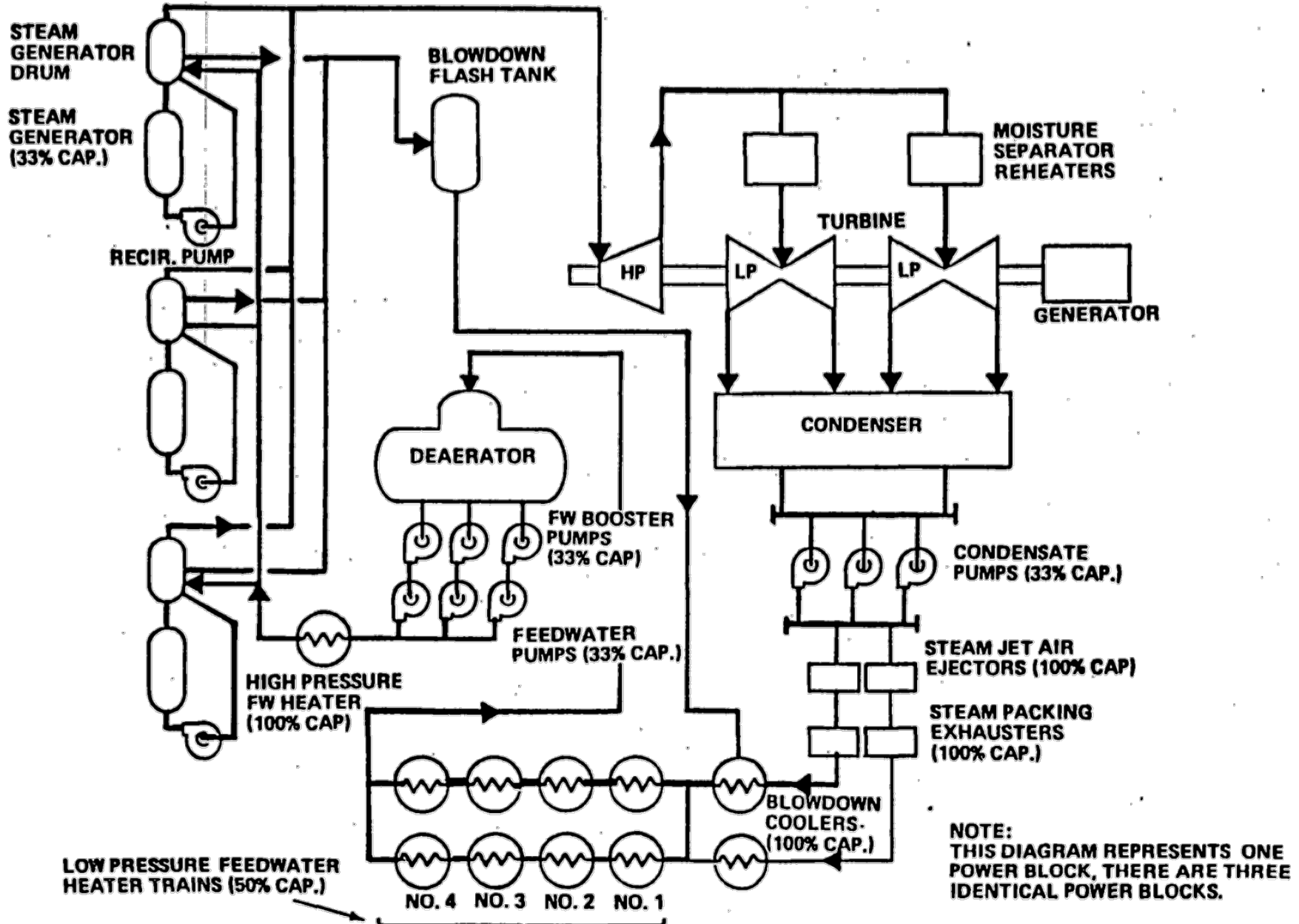


ALMR PRISM Power Block End-to-End System Configuration



ORNL started with the reference BOP design provided in the ALMR PRISM

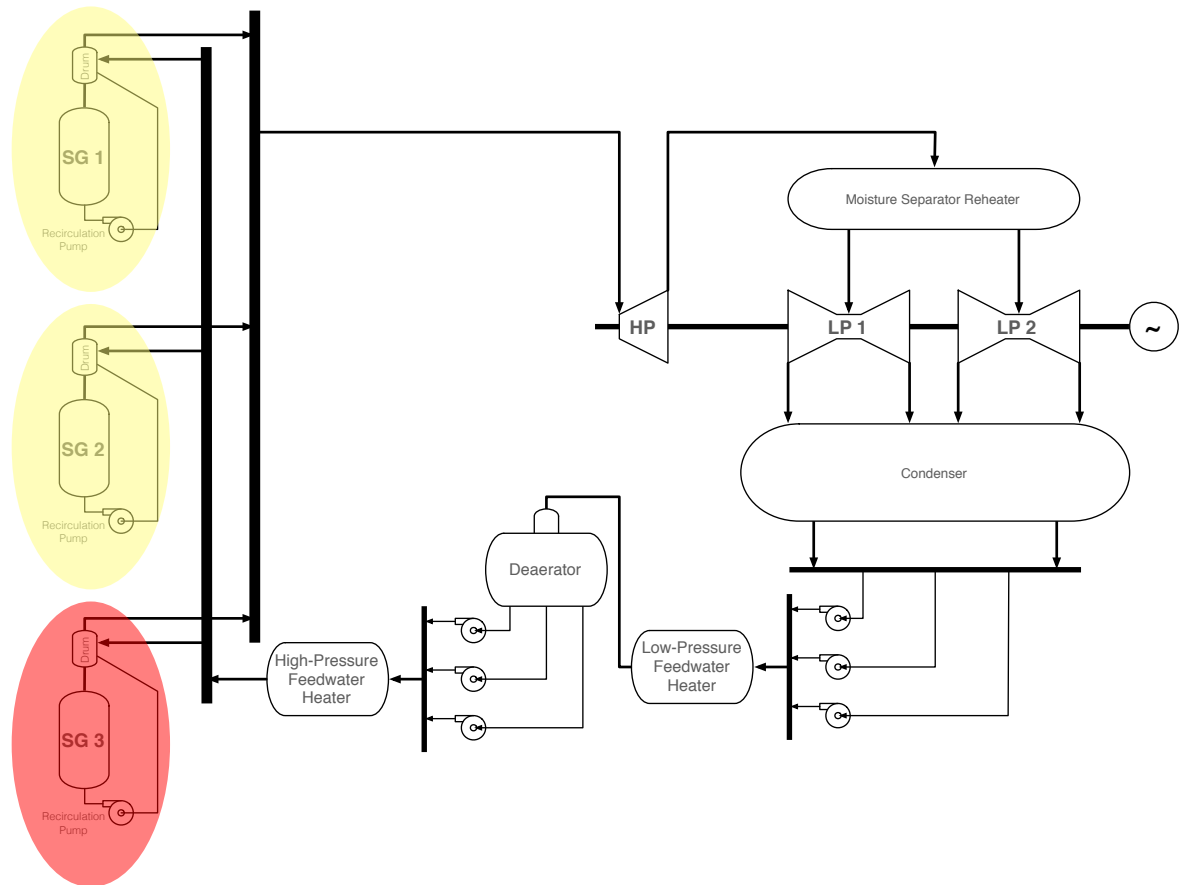
Nuclear Energy Preliminary Safety Information Document (PSID)



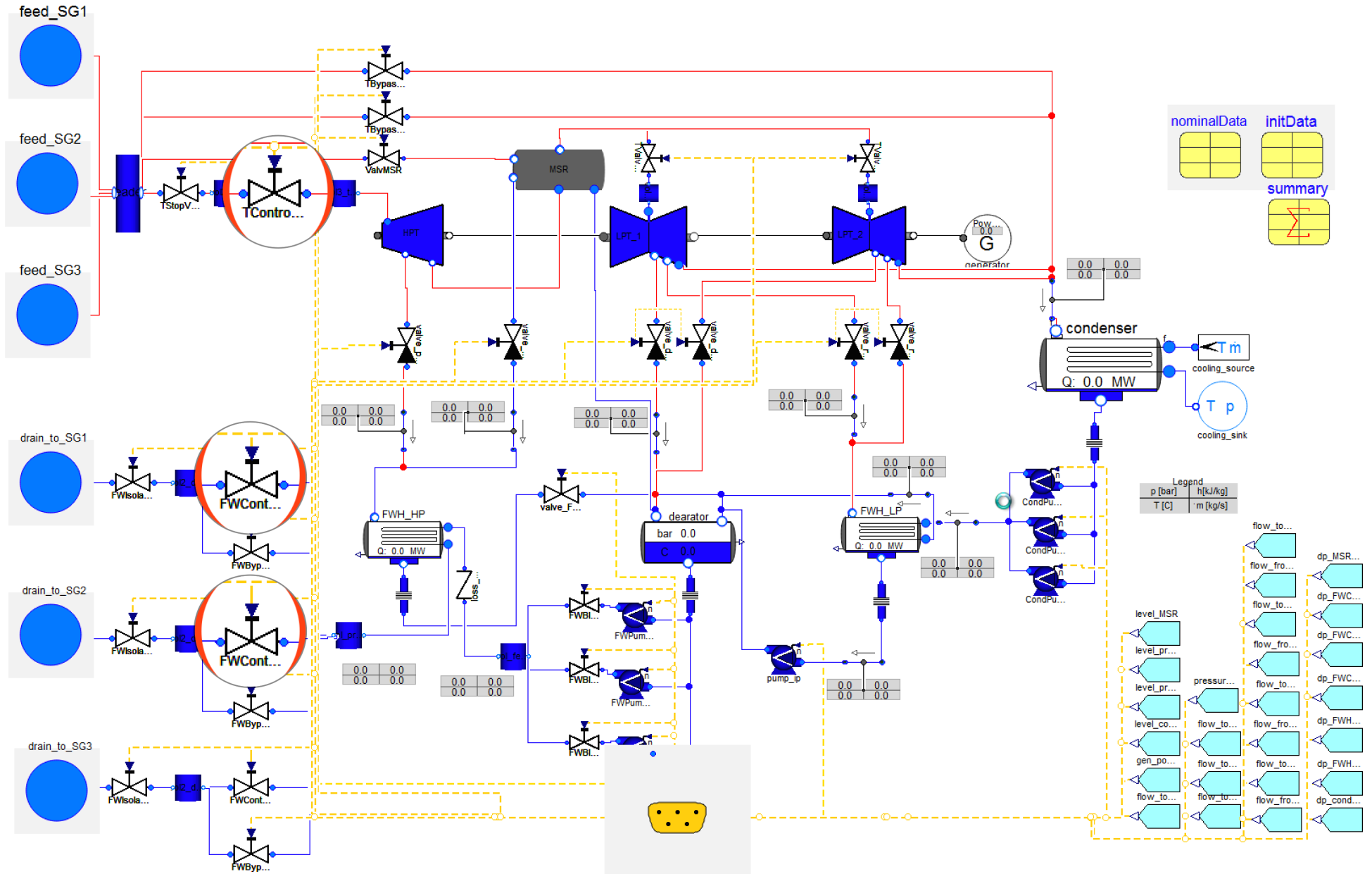


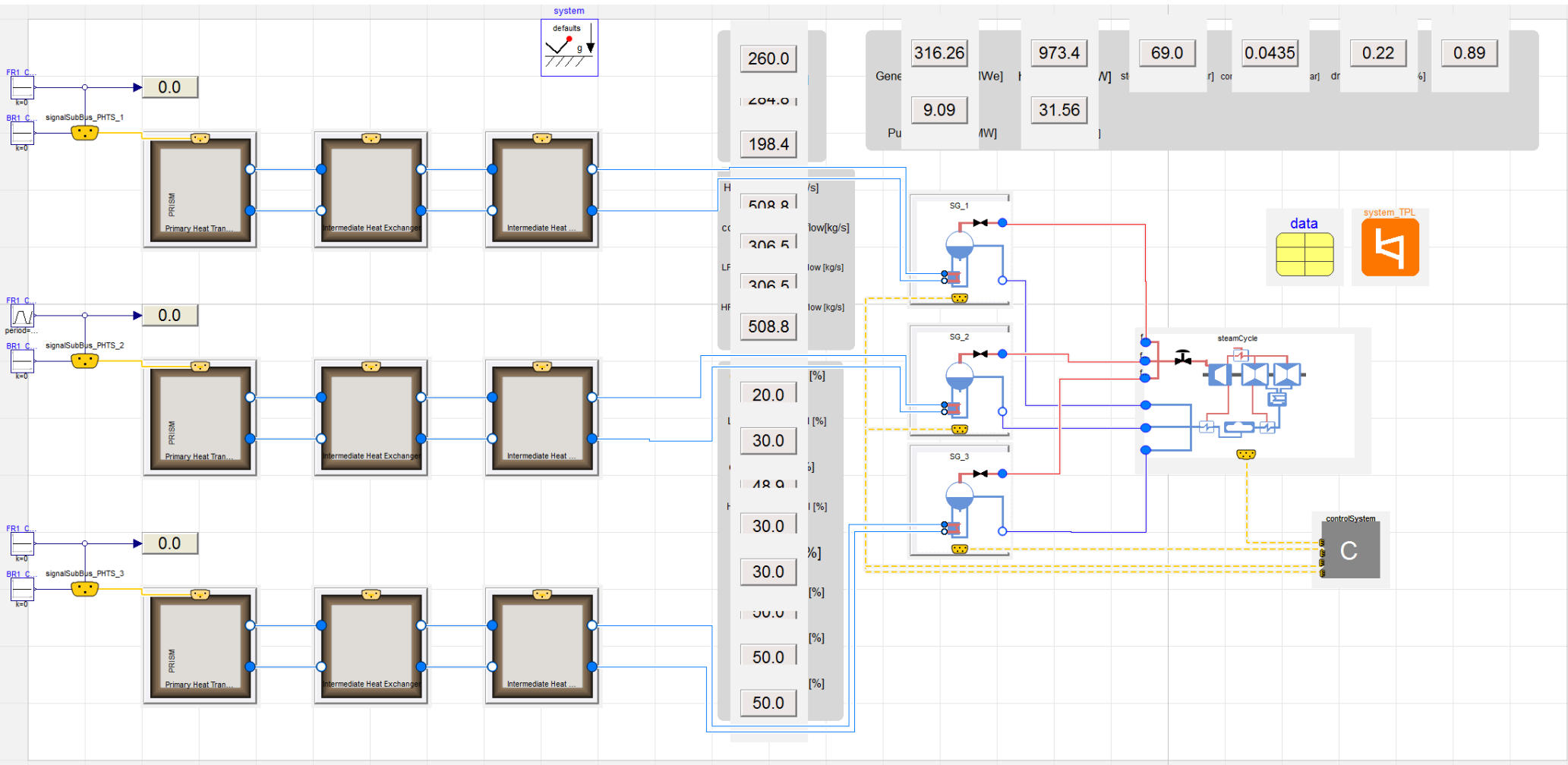
ALMR PRISM BOP system is simplified for SCS modeling

- Three steam generators
 - Only SG1 and SG2 participate in autonomous control; SG3 is a base-load generator
- One high-pressure turbine (HPT)
- One low-pressure turbine (LPT)
- One moisture separator and reheater stage (between HPT and LPTs)
- Condenser
- One low-pressure feedwater heater (FWH)
- One high-pressure FWH
- One deaerator
- Pumps, valves



Probabilistic and system models were constructed based on this flow layout

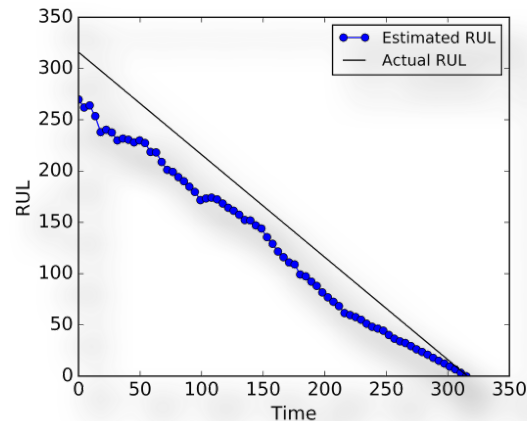
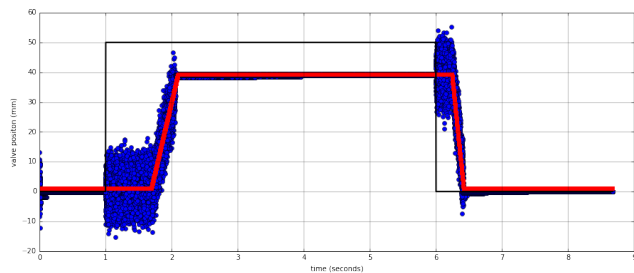
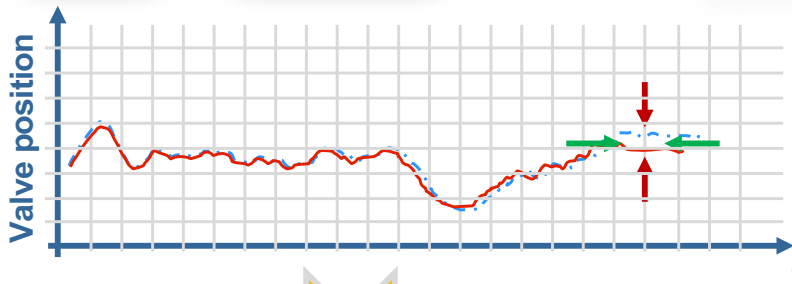
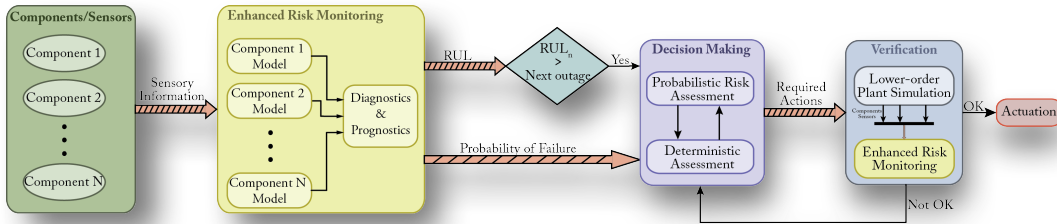






PNNL's Enhanced Risk Monitors (ERM) module was incorporated into the SCS Decision-Making Framework

- Overview of ERMs
- ERM Framework
- Integration of ERM Module with Supervisory Control Framework



PNNL-25839, Rev. 0

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Summary Describing Integration of ERM Methodology into Supervisory Control Framework with Software Package Documentation

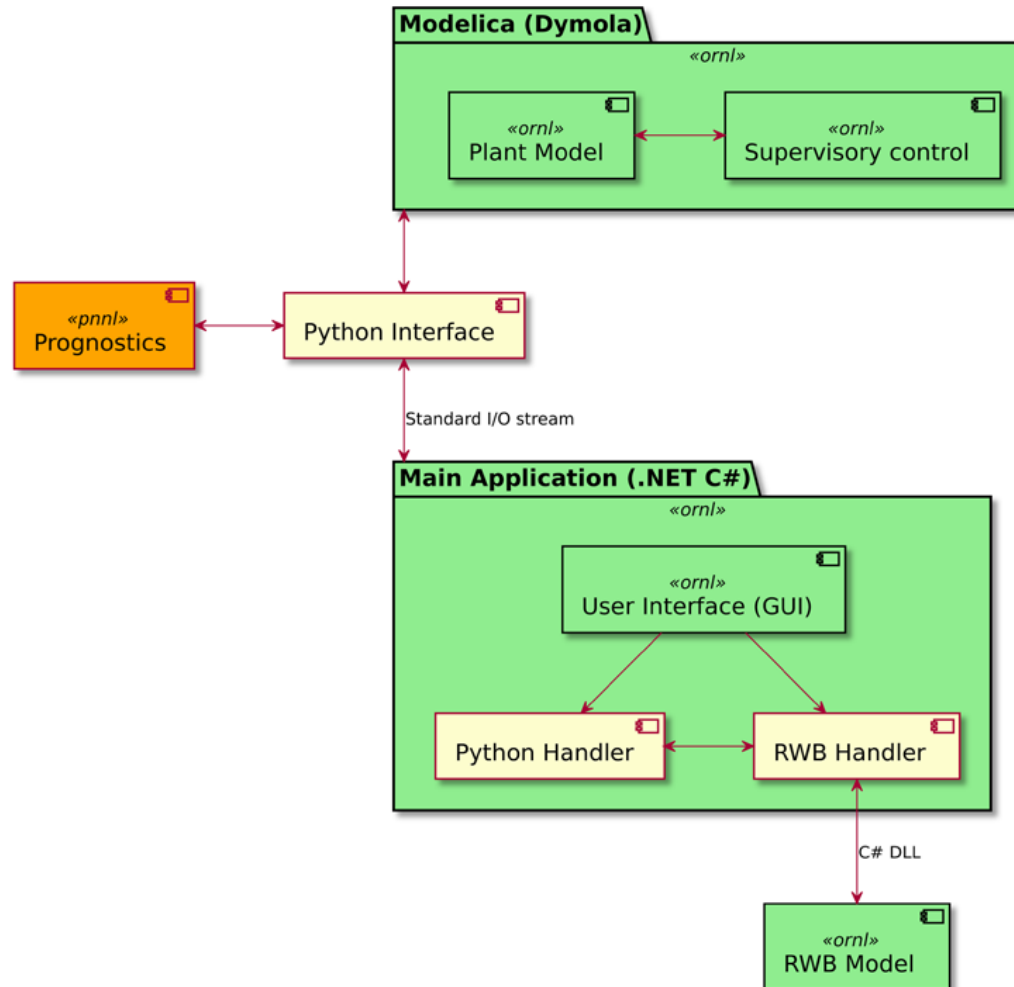
Advanced Reactor Technology Milestone:
M4AT-16PN2301052

September 2016

<p>P Ramuhalli EH Hirt G Dib</p>	<p>A Veeramany CA Bonebrake S Roy</p>
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U.S. DEPARTMENT OF ENERGY
Prepared for the U.S. Department of Energy under Contract DE-AC05-76RL01830

The ERM module is integrated into the SCS framework



Failure modes and reliability data for the BOP components were provided by ANL

■ BOP components failure modes and reliability data

- Turbines
- Reheaters
- Generator
- Condenser
- Pumps
- Deaerator
- Valves
 - *Air-operated valves (AOV)*
 - *Motor-operated valves (MOV)*
 - *Hydraulically operated valves (HOV)*
 - *Turbine bypass valve (TBV)*
 - *Main steam isolation valve (MSIV)*
 - *Check valve (CKV)*
 - *Manual valve (XVM)*



PRISM Balance-of-Plant Analysis

Failure Modes and Reliability Data

Nuclear Engineering Division

Prepared by
David Grabaskas, Acacia J. Brunett
Nuclear Engineering Division, Argonne National Laboratory

August, 2016

ORNL modeled three scenarios to demonstrate and validate the SCS

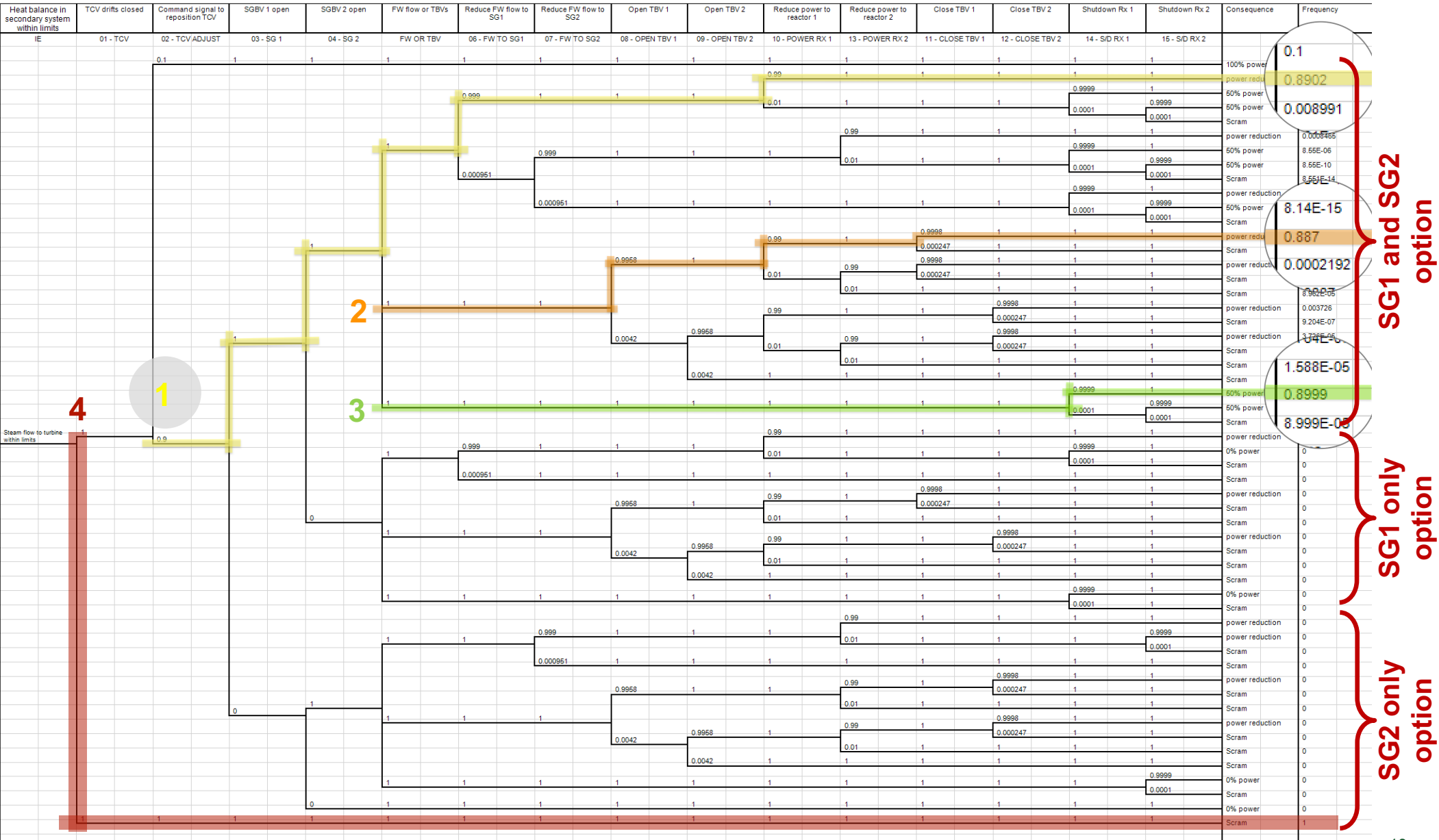
- **Scenario 1: Turbine Control Valve (TCV) drifts in closed direction**
- **Scenario 2: SG #1 feedwater flow control valve (FCV) drifts in closed direction**
- **Scenario 3: SG #1 feedwater FCV drifts in open direction**

ORNL modeled three scenarios to demonstrate and validate the SCS

- **Scenario 1: Turbine Control Valve (TCV) drifts in closed direction**
- Scenario 2: SG #1 feedwater flow control valve (FCV) drifts in closed direction
- Scenario 3: SG #1 feedwater FCV drifts in open direction



Reactor Module 1 and Reactor Module 2 in operation; turbine control valve (TCV) drifts close: four control options are identified probabilistically



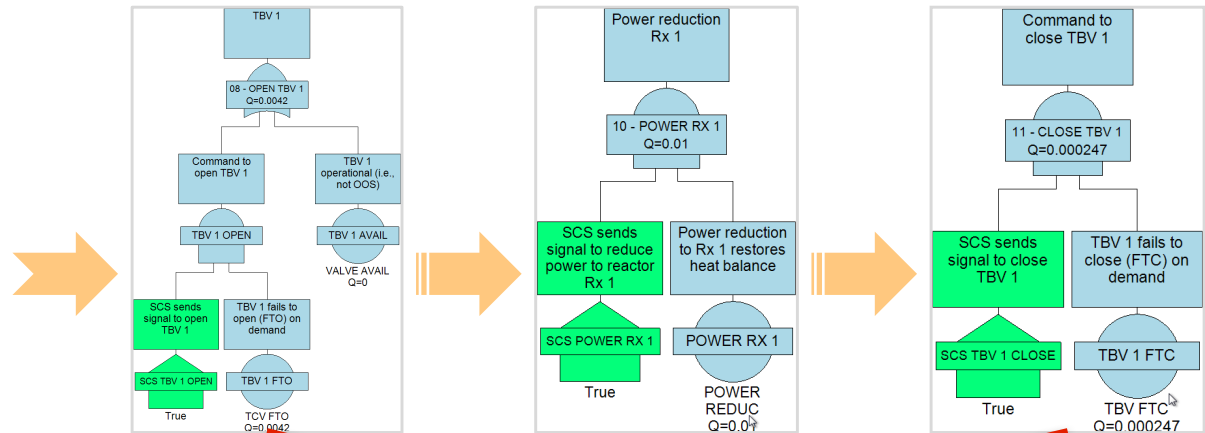
SG1 and SG2 option

SG1 only option

SG2 only option

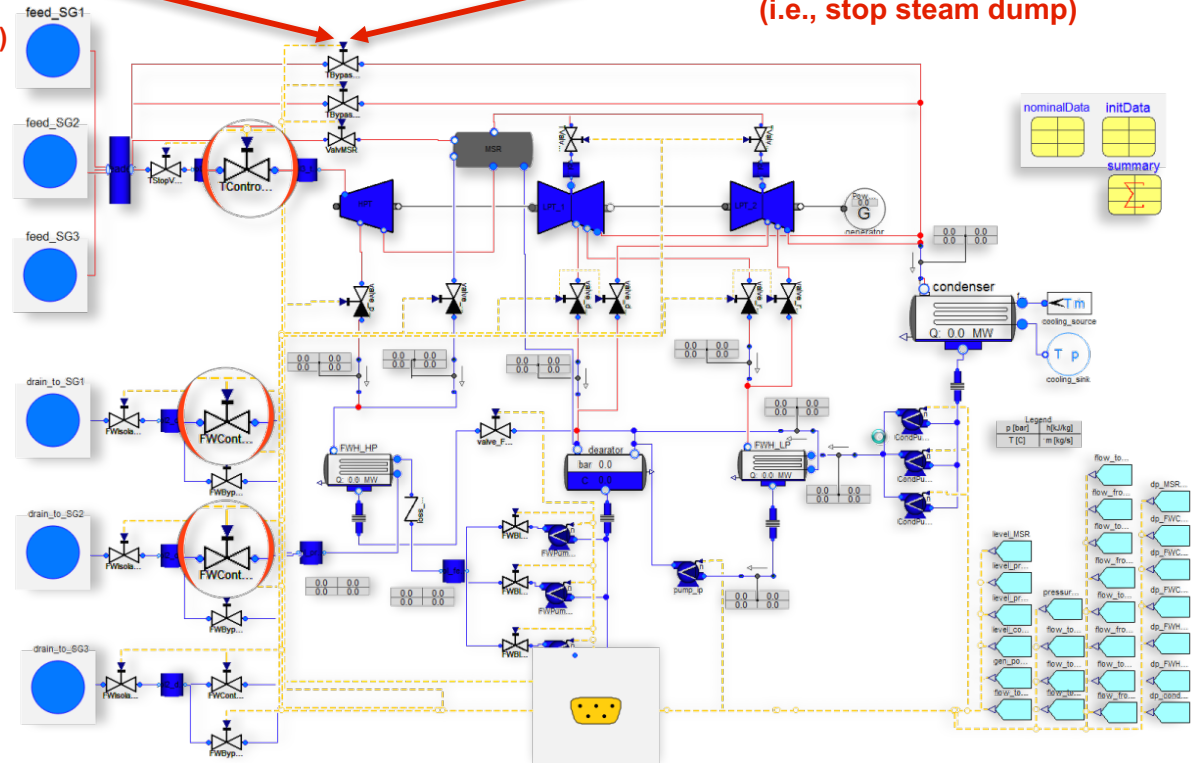


Based on the decision trajectory, SCS generates actuation instructions for lower level controllers



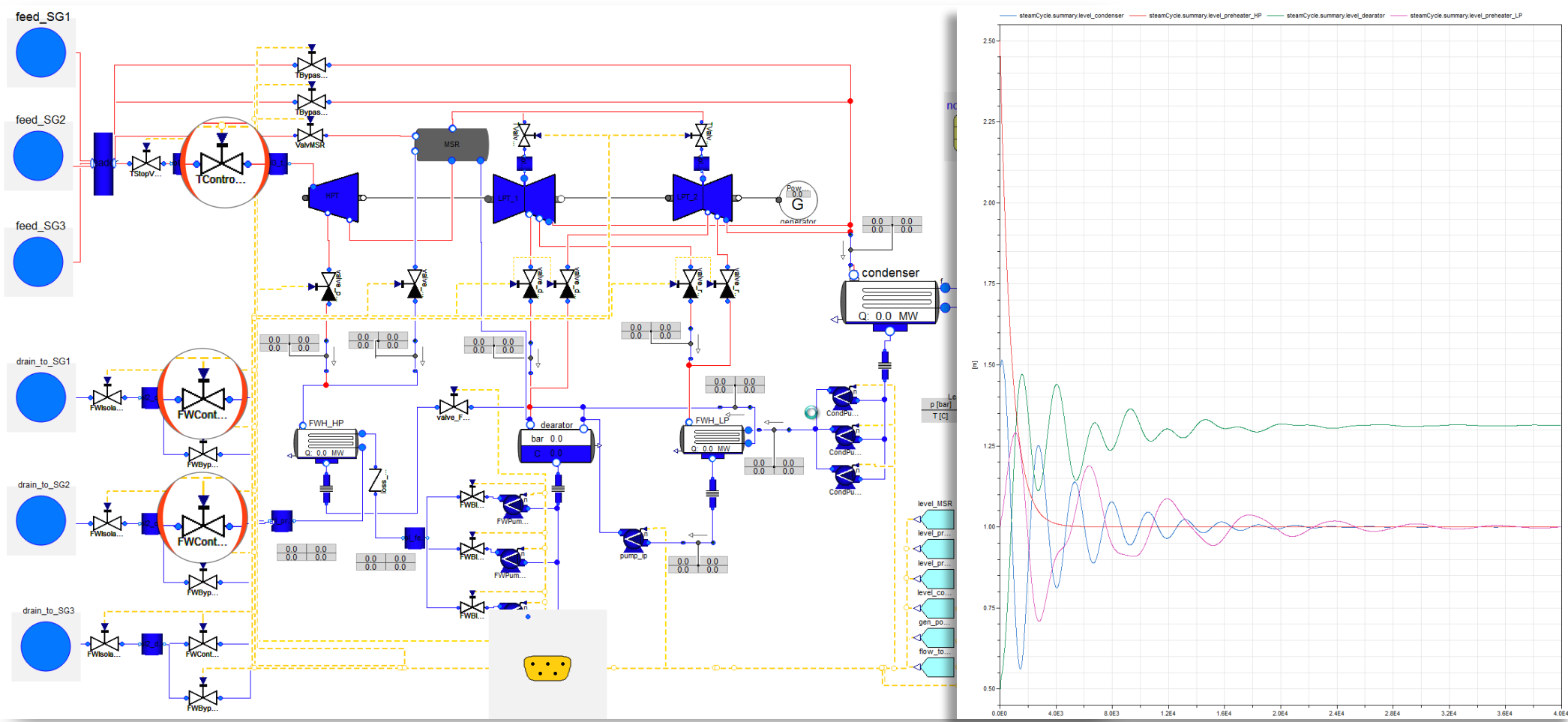
Open Turbine Bypass Valve 1 (TBV 1) (i.e., partial steam dump into the condenser—accomplished via a new setpoint on the control system)

Close Turbine Bypass Valve 1 (TBV 1) (i.e., stop steam dump)





The BOP model matches the ALMR PRISM BOP technical specification at steady state— benchmarking needed for transient response





Based on the previous decision path "shutdown Rx 1", the models are automatically updated to capture the actual system and component status

Heat balance in secondary system within limits	TCV drifts closed	Command signal to reposition TCV	SGBV 1 open	SGBV 2 open	FW flow or TBVs	Reduce FW flow to SG1	Reduce FW flow to SG2	Open TBV 1	Open TBV 2	Reduce power to reactor 1	Reduce power to reactor 2	Close TBV 1	Close TBV 2	Shutdown Rx 1	Shutdown Rx 2	Consequence	Frequency	
IE	01 - TCV	02 - TCV ADJUST	03 - SG 1	04 - SG 2	FW OR TBV	06 - FW TO SG1	07 - FW TO SG2	08 - OPEN TBV 1	09 - OPEN TBV 2	10 - POWER RX 1	13 - POWER RX 2	11 - CLOSE TBV 1	12 - CLOSE TBV 2	14 - S/D RX 1	15 - S/D RX 2		3.7	
		0.1	0	1	1	1	1	1	1	0.99	1	1	1	1	1	100% power	0	
						0.999	1	1	1	0.01	1	1	1	0.9999	1	power reduction	0	
														0.0001	0.9999	50% power	0	
															0.0001	50% power	0	
															0.9999	Scram	0	
										0.99	1	1	1	1	1	power reduction	0	
										0.01	1	1	1	0.9999	1	50% power	0	
														0.0001	0.9999	50% power	0	
															0.9999	Scram	0	
														0.0001	1	power reduction	0	
															0.9999	50% power	0	
														0.0001	0.9999	50% power	0	
															0.0001	Scram	0	
															0.9998	1	power reduction	0
										0.99	1	0.9998	1	1	1	Scram	0	
										0.01	0.99	0.9998	1	1	1	power reduction	0	
												0.000247	1	1	1	Scram	0	
														0.9998	1	power reduction	0	
														0.000247	1	Scram	0	
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															0.0001	Scram	0	
															0.9999	1	power reduction	0
															0.9999	0% power	0	
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															0.0001	Scram	0	
															0.9999	0% power	0	
															0.9999	Scram	0	
															0.0001	Scram	0	
															0.9999	0% power	0	
															0.9999	Scram	0	
															0.0001	Scram	0	

FWCV

TBV

Shutdown

SG1 OOS

SG1 and SG2 option

SG1 only option

SG2 only option

0.8902
0.0008
0.887
0.0007
9.204E-07
1.588E-05
0.8999
9E-05



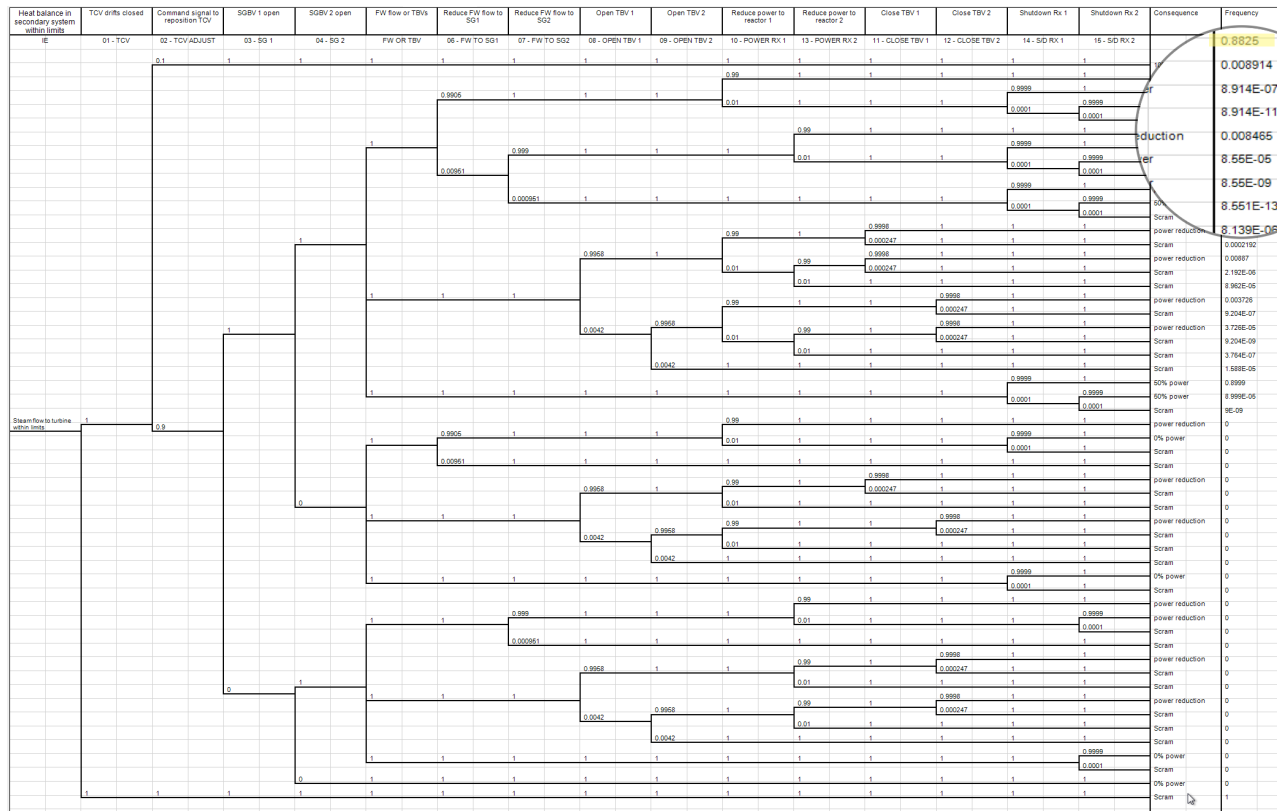
Monitoring component health via the ERM results in re-ranking of probabilistic options – Example: feedwater FCV degraded

Before:

1. Do nothing
2. Controlled shutdown of Rx 1
3. Reduce FW flow—reduce power
4. Open TBV—reduce power—close TBV

Degraded FW FCV:

1. Do nothing
2. Controlled shutdown of Rx 1
3. Open TBV—reduce power—close TBV
4. Reduce FW flow—reduce power



Nuclear Energy

- **ORNL developed a supervisory control (semi-autonomous control) concept that uses a multi-tiered decision-making approach**
- **The approach employs well-known tools for decision-making, and implements a novel way to integrate individual results into a single operational decision**
 - FT/ET for probabilistic models
- **ALMR PRISM concept was selected as the demonstration platform**
- **Probabilistic and systems models were created for ALMR PRISM BOP**
 - The ERM monitors component health, and via the SCS, updates the probabilistic models
 - Probabilistic models successfully identify and rank available control actions
 - The system model matches the probabilistic model, recognizes and simulates the SCS instructions
 - The integrated model makes a decision
- **Decision-making capabilities were demonstrated for a number of operational scenarios**
 - Level 2 Milestone due Nov. 30 (on target)

■ SCS can help reduce control room staffing size

- an autonomous control capability can reduce demand on human resources
- the proposed operator staffing for the ALMR PRISM design is a minimum of eight licensed operators for nine reactor modules
- Under current regulatory requirements, this same nine reactor module facility would require at least 24–30 operators

■ Increase plant availability by scheduling maintenance outages

- The SCS accounts for all combinations of equipment conditions, such as out-of-service for maintenance, failed state, or degraded state to accurately reflect system/component status and operating conditions
- There are three basic approaches taken to plant maintenance:
 - *Reactive – failure based (i.e., repair or replace components after they have failed)*
 - *Preventive – interval based (i.e., use failure data to establish a maintenance interval)*
 - *Predictive – condition based (i.e., track remaining useful lifetime(RUL) of components)*

■ Minimize plant transients; increase plant safety

- probabilistic techniques are used to determine those control actions that have the greatest likelihood of avoiding a trip setpoint based on actual plant status and component health

■ Higher operational performance

- expanding the scope of PRAs to the nuclear I&C system will lead to architectures that deliver higher operational performance, similar to how PRAs helped in the design of NPPs

Questions?