

## Fact Sheet

### **Preliminary Notice of Violation: Classified Information Security Event at SNL**

On May 27, 2015, the National Nuclear Security Administration (NNSA) issued a Preliminary Notice of Violation (PNOV) to Sandia Corporation (Sandia) for violations of Department of Energy (DOE) classified information security program requirements. Sandia is the management and operating contractor for NNSA's Sandia National Laboratories (SNL), located in Albuquerque, New Mexico.

The PNOV cites six violations of Departmental classified information security requirements related to a security event in which Sandia failed to perform required classification reviews, improperly controlled and disclosed classified information, permitted introduction of classified information into unapproved information systems and conducted an inadequate security incident inquiry. Additional violations are related to Sandia's causal analysis and self-assessment processes.

NNSA cited four Severity Level I violations and two Severity Level II violations with a total proposed civil penalty of \$577,500 in consideration of mitigating factors, as well as the security significance of the classified information involved.

The Atomic Energy Act of 1954 section 234B authorizes the Energy Department to take regulatory actions under 10 C.F.R. Part 824, *Procedural Rules for the Assessment of Civil Penalties for Classified Information Security Violations*, against DOE contractors for violations of classified information security requirements. DOE's enforcement program encourages Departmental contractors to identify and correct classified information security deficiencies at an early stage, before they contribute to, or result in more serious events.

Additional details on this and other enforcement actions are available on the DOE website at <http://www.energy.gov/ea/services/enforcement/notices-violation>.