

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>			1. CONTRACT ID CODE	PAGE OF PAGES
2. AMENDMENT/MODIFICATION NO. 004	3. EFFECTIVE DATE 09/27/2012	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)	
6. ISSUED BY Golden Field Office U.S. Department of Energy Golden Field Office 1617 Code Blvd Golden CO 80401-3393	CODE	7. ADMINISTERED BY (If other than Item 6) Golden Field Office U.S. Department of Energy Golden Field Office 1617 Cole Blvd. Golden CO 80401-3393	CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Multiple Award Contract  See list of ESPC IDIQ Contractors located at: <a href="http://www1.eere.energy.gov/femp/financing/espcs_doeescos.html">http://www1.eere.energy.gov/femp/financing/espcs_doeescos.html</a>			(X)	9A. AMENDMENT OF SOLICITATION NO.
				9B. DATED (SEE ITEM 11)
			(X)	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AM36-09GOXXXXX Generic No.
				10B. DATED (SEE ITEM 13) 12/17/2008
CODE	FACILITY CODE			

**11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS**

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers  is extended,  is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods:

(a) By completing items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment your desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

**13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS.  
IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.**

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
<input type="checkbox"/>	
<input type="checkbox"/>	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
<input checked="" type="checkbox"/>	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: 52.243-1(AIt II)
<input type="checkbox"/>	D. OTHER (Specify type of modification and authority)

**E. IMPORTANT:** Contractor  is not,  is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

A. The purpose of this Supplemental Agreement is to incorporate the following changes, which address the Preliminary Assessment requirements and contract terminology as it relates to the term "proposal".

ESPC IDIQ, Section H.4.2, Contents, Page 39: Change paragraph to read:

H.4.2 Contents – At a minimum, the contractor shall include its PA technical and price assessments in a format that is in accordance with the agency requirements. Include ECMs, dividing into two groups: Recommended and Potential. Recommended ECMs are those ECMs the ESCO is quite confident will be included in the Proposal.

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Michella E. Hill	
15B. CONTRACTOR/OFFEROR  (Signature of person authorized to sign)	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA  (Signature of Contracting Officer)	16C. DATE SIGNED 09/27/2012

These ECMs shall be included in the task Order Schedules specified below.

- Potential ECMs are those that are worthy of evaluation and that the ESCO must verify and evaluate field conditions in order to complete more accurate calculations to increase their confidence for inclusion. For these ECMs, the ESCO shall provide a narrative description of what they are, how they may save energy for the site, and a conceptual level estimate of potential energy savings.

The contractor shall submit completed Schedules TO-1(PA), TO-2, TO-3, and TO-4, as well as documentation to support the entries on the form. It is recommended that the PA be approximately 20 pages, except in instances where agency guidance or agreement between the agency and ESCO requires more pages to adequately address the requirements of this section. The technical and price assessments shall be in accordance with the following requirements:

ESPC IDIQ, Section H.4.2, Para B.1., Page 40 and 41: Change the paragraph to read:

- a. ECM Title
- b. ECM No. \_\_\_ and narrative description
- c. Location(s) affected
- d. Estimated Energy Baseline for the ECM
- e. ECM projected energy use and energy savings
- f. ECM interface with agency equipment
- g. Physical changes – List major physical changes to equipment or facilities required to install the proposed ECM, such as relocation or removal of equipment.
- h. Utility interruptions – Specify the likely extent of major utility interruptions needed for the installation of the ECM.
- i. Agency support required – Specify any agency support required during implementation of the ECM.
- j. Describe potential environmental impacts and suggest National Environmental Policy Act (NEPA) compliance actions that may be required due to installation of the ECM.
- k. Utility rebate or system benefit fund financial and tax incentive – If applicable, specify ECM financial incentive(s) available, source, estimated payment amount, how and when payment or financing reduction will be applied and impact on project cash flow (e.g., ancillary payment before acceptance, reducing implementation price (TO-2) and tax-based financing amount), and proposed percentage of estimated financial incentive payment or financing reduction the contractor guarantees as submitted in Schedule TO-3 schedule.
- l. ECM project schedule – Provide a summary project schedule to include the duration of the following key phases:
  - i. Investment Grade Audit
  - ii. Engineering/design
  - iii. Equipment procurement/lead time (i.e., date required to acquire equipment and delivery on-site)
  - iv. Installation, commissioning, and post-installation M&V report

v. Project acceptance.

ESPC IDIQ, Section H.4.2, Para B.2., Page 41: Change the paragraph to read:

Provide a general description for the M&V plan proposed for this project, as required by para C.4.6.A for the Recommended ECMs. For the Potential ECMs, provide either the methodology that will be used to determine the M&V techniques or a general description of the proposed M&V technique(s).

Each description will be referenced to the latest version of the FEMP M&V Guidelines: Measurement and Verification for Federal Energy Projects, or the International Performance Measurement and Verification Protocol (IPMVP).

Throughout the contract, change the following:

All references to the term "Final Proposal" are hereby changed to "Proposal".

B. This is a no cost modification

C. All other terms remain unchanged.