

PMC-ND

(1.08.09.13)

**U.S. DEPARTMENT OF ENERGY  
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY  
NEPA DETERMINATION**

**RECIPIENT:** The Regents of the University of Michigan**STATE:** MI

**PROJECT TITLE:** Semitransparent, Reliable and Efficient Scalable Organic Solar Cells for Building Integrated Applications

<b>Funding Opportunity Announcement Number</b>	<b>Procurement Instrument Number</b>	<b>NEPA Control Number</b>	<b>CID Number</b>
DE-FOA-0001840	DE-EE0008561	GFO-0008561-001	

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

**CX, EA, EIS APPENDIX AND NUMBER:**

Description:

**A9 Information gathering, analysis, and dissemination** Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

**B3.15 Small-scale indoor research and development projects using nanoscale materials** Siting, construction, modification, operation, and decommissioning of facilities for indoor small-scale research and development projects and small-scale pilot projects using nanoscale materials in accordance with applicable requirements (such as engineering, worker safety, procedural, and administrative regulations) necessary to ensure the containment of any hazardous materials. Construction and modification activities would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible).

**B3.6 Small-scale research and development, laboratory operations, and pilot projects** Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to The Regents of the University of Michigan (U-M) to develop scalable power generating windows based on semitransparent, multi-junction organic photovoltaic (OPV) structures.

The proposed project would consist of outreach, data analysis, computer modeling, preliminary design/engineering, and laboratory research tasks. Associated activities would include the fabrication and testing of OPV structures and component materials in purpose-built laboratory settings. All work would occur entirely indoors within dedicated university research facilities at the U-M campus in Ann Arbor, MI. No change in the use, mission, or operation of existing facilities would arise out of project efforts. The Recipient has all necessary permits in place, and would not need additional permits, licenses, and/or authorizations to perform the proposed activities.

The project would involve the use and handling of hazardous materials including metal substrates and industrial solvents. All such handling would occur in properly equipped laboratories under the oversight of the University of Michigan Occupational Safety and Environmental Health (OESH) department. Small quantities of hazardous chemical waste generated by the proposed activities would be managed via OESH in accordance with all applicable federal, state, and local environmental regulations. Any solid non-hazardous waste generated would be disposed of via the University of Michigan Refuse and Recycling Department.

In addition, the project would involve the use of various nanoscale materials. These would be deposited into nanometer scale layers in sealed systems contained within the Lurie Nanofabrication Facility (LNF) at U-M. Such work at LNF would employ existing fume hoods with engineering controls designed for the safe, routine handling of these materials.

At the conclusion of the proposed project, any remaining products and/or component materials would be retained in-lab at U-M under continued OSEH supervision. Purchased equipment used for the proposed bench-scale fabrication activities would also remain in-lab for future research.

#### NEPA PROVISION

DOE has made a final NEPA determination.

Notes:

Solar Energy Technologies Office

This NEPA determination does not require a tailored NEPA Provision.

NEPA review completed by Whitney Doss, 11/30/2018

#### FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

The proposed action is categorically excluded from further NEPA review.

#### SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature: \_\_\_\_\_



Kristin Kerwin

NEPA Compliance Officer

Date: 11/30/2018

#### FIELD OFFICE MANAGER DETERMINATION

- Field Office Manager review not required  
 Field Office Manager review required

#### BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :

Field Office Manager's Signature: \_\_\_\_\_

Field Office Manager

Date: \_\_\_\_\_