

PMC-ND
(1.08.09.13)

**U.S. DEPARTMENT OF ENERGY
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY
NEPA DETERMINATION**



RECIPIENT:Leading Edge Crystal Technologies INC

STATE: MA

PROJECT TITLE Refinement of the Floating Silicon Method: A Low-Cost Monocrystalline Silicon Wafer Manufacturing Process

Funding Opportunity Announcement Number	Procurement Instrument Number	NEPA Control Number	CID Number
DE-FOA-0001640	DE-EE0008132	GFO-0008132-001	

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Order 451.1A), I have made the following determination:

CX, EA, EIS APPENDIX AND NUMBER:

Description:

- A9 Information gathering, analysis, and dissemination** Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)
- B3.6 Small-scale research and development, laboratory operations, and pilot projects** Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to Leading Edge Crystal Technologies Inc. (LECT) to design and develop a floating silicon method (FSM) silicon wafer manufacturing process.

Proposed project activities would involve outreach, data analysis, preliminary engineering and design, laboratory-scale research and development, and modifications of existing facilities. Design and development activities would take place at the LECT research and development facility in Gloucester, Massachusetts. Development activities would include retrofitting an existing semiconductor furnace. Processing of silicon wafer samples into solar cells and characterization would occur at Arizona State University (Tempe, AZ). Testing the quality of the silicon wafer materials would take place at Massachusetts Institute of Technology (Cambridge, MA) and at Harvard University (Cambridge, MA).

The project would involve the use and modification of a high-temperature furnace that operates at greater than 1,400 Celsius. The furnace modification would not require any additional permits and would not result in any change in the use, mission or operation of this existing facility. All operations of the furnace would be done in strict accordance with the in-place safety plan. Existing corporate health and safety policies and procedures would be followed including employee training, personal protective equipment, engineering controls, monitoring, and internal assessments. The proposed project would involve the use and handling of various hazardous materials, including acids and other reactive agents used for semiconductor preparation and processing. All such handling would occur in-lab. All hazardous materials would be managed in accordance with federal, state, and local environmental regulations. Existing corporate health and safety policies and procedures regarding hazardous materials and waste would be followed including employee training, personal protective equipment, engineering controls, monitoring, and internal assessments.

Based on the review of the proposal, DOE has determined the proposal fits within the class of action(s) and the integral elements of Appendix B to Subpart D of 10 CFR 1021 outlined in the DOE categorical exclusion(s) selected above. DOE has also determined that: (1) there are no extraordinary circumstances (as defined by 10 CFR 1021.410(2)) related to the proposal that may affect the significance of the environmental effects of the proposal; (2) the proposal has not been segmented to meet the definition of a categorical exclusion; and (3) the proposal is not connected to other actions with potentially significant impacts, related to other proposals with cumulatively significant actions, or an improper interim action. This proposal is categorically excluded from further NEPA review.

NEPA PROVISION

DOE has made a final NEPA determination for this award

Insert the following language in the award:


If the Recipient intends to make changes to the scope or objective of this project, the Recipient is required to contact the Project Officer, identified in Block 15 of the Assistance Agreement before proceeding. The Recipient must receive notification of approval from the DOE Contracting Officer prior to commencing with work beyond that currently approved. If the Recipient moves forward with activities that are not authorized for Federal funding by the DOE Contracting Officer in advance of a final NEPA decision, the Recipient is doing so at risk of not receiving Federal funding and such costs may not be recognized as allowable cost share.

Note to Specialist :

Solar Energy Technologies Office
This NEPA determination does not require a tailored NEPA provision.
Review completed by Chris Rowe on 8/15/2017

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature: _____

 Electronically Signed By: Kristin Kerwin
NEPA Compliance Officer



Date: _____ 8/17/2017

FIELD OFFICE MANAGER DETERMINATION

Field Office Manager review required

NCO REQUESTS THE FIELD OFFICE MANAGER REVIEW FOR THE FOLLOWING REASON:

- Proposed action fits within a categorical exclusion but involves a high profile or controversial issue that warrants Field Office Manager's attention.
- Proposed action falls within an EA or EIS category and therefore requires Field Office Manager's review and determination.

BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :

Field Office Manager's Signature: _____
Field Office Manager

Date: _____