

PMC-ND

(1.08.09.13)

**U.S. DEPARTMENT OF ENERGY  
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY  
NEPA DETERMINATION**



RECIPIENT: SRI International

STATE: CA

PROJECT TITLE : Continuous Silicon Reduction and Consolidation (CoSiRC)

<b>Funding Opportunity Announcement Number</b>	<b>Procurement Instrument Number</b>	<b>NEPA Control Number</b>	<b>CID Number</b>
DE-FOA-0001387	DE-EE0007550	GFO-0007550-001	

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Order 451.1A), I have made the following determination:

**CX, EA, EIS APPENDIX AND NUMBER:**

Description:

- A9 Information gathering, analysis, and dissemination** Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)
- B3.6 Small-scale research and development, laboratory operations, and pilot projects** Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide federal funding to SRI International to demonstrate an innovative technology that combines low-cost production of polysilicon with recycling of Silicone fines for the production of solar-grade Silicon.

Proposed activities would include development of lab processes, techno-economic analysis, development of manufacturing systems, commercialization and project management activities including scheduling and conducting meetings, carrying out online research, and completing any calculations/computational work related to the proposed project. Solar Power & Light would assist with project management activities from their offices in Miamisburg, OH. SRI International would complete all in-lab research work and additional project management activities from their research facilities in Menlo Park, CA. The facility in which lab work would occur is purpose-built for the type of activities being proposed; therefore, no change in the use, mission or operation of existing facilities would arise out of this effort. The facility has all applicable permits in place, and would not need additional permits for the proposed activities.

The proposed project would require the use and handling of various hazardous materials, including sodium, silicon tetrafluoride, silicon powder and concentrated acids. All such handling would occur in-lab and would adhere to established safety protocols such as using proper personal protective equipment. Safety protocols are subject to OSHA standards, and regularly monitored by the County of San Mateo and the local Fire Department. Hazardous wastes produced would include sodium fluoride which would be disposed of through established, campus-wide hazardous waste removal protocols. Non-hazardous wastes from project management activities would be disposed of through normal municipal waste streams.

Based on the review of the proposal, DOE has determined the proposal fits within the class of action(s) and the integral elements of 10 CFR 1021 subpart B outlined in the DOE categorical exclusion(s) selected above. DOE has also determined that: (1) there are no extraordinary circumstances (as defined by 10 CFR 1021.410(2)) related to the proposal that may affect the significance of the environmental effects of the proposal; (2) the proposal has not been segmented to meet the definition of a categorical exclusion; and (3) the proposal is not connected to other actions with potentially significant impacts, related to other proposals with cumulatively significant actions, or an improper interim action. This proposal is categorically excluded from further NEPA review.

**NEPA PROVISION**



DOE has made a final NEPA determination for this award

Insert the following language in the award:

If the Recipient intends to make changes to the scope or objective of this project, the Recipient is required to contact the Project Officer, identified in Block 15 of the Assistance Agreement before proceeding. The Recipient must receive notification of approval from the DOE Contracting Officer prior to commencing with work beyond that currently approved. If the Recipient moves forward with activities that are not authorized for Federal funding by the DOE Contracting Officer in advance of a final NEPA decision, the Recipient is doing so at risk of not receiving Federal funding and such costs may not be recognized as allowable cost share.

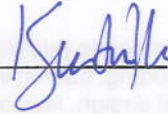
Note to Specialist :

Solar Energy Technology Office  
This NEPA determination does not require a tailored NEPA provision.  
Review completed by Rebecca McCord 07/27/2016

**SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.**

NEPA Compliance Officer Signature: \_\_\_\_\_

 Electronically Signed By: Kristin Kerwin  
NEPA Compliance Officer



Date: 8/1/2016

**FIELD OFFICE MANAGER DETERMINATION**

Field Office Manager review required

**NCO REQUESTS THE FIELD OFFICE MANAGER REVIEW FOR THE FOLLOWING REASON:**

- Proposed action fits within a categorical exclusion but involves a high profile or controversial issue that warrants Field Office Manager's attention.
- Proposed action falls within an EA or EIS category and therefore requires Field Office Manager's review and determination.

**BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :**

Field Office Manager's Signature: \_\_\_\_\_

Field Office Manager

Date: \_\_\_\_\_