

U.S. DEPARTMENT OF ENERGY  
OFFICE OF ENERGY EFFICIENCY AND RENEWABLE ENERGY  
NEPA DETERMINATION



RECIPIENT: New York University Washington Square (NYU WSQ)

STATE: NY

PROJECT TITLE : Passivated and conductive back contacts for bifacial CdTe PV

| Funding Opportunity Announcement Number | Procurement Instrument Number | NEPA Control Number | CID Number |
|---|-------------------------------|---------------------|------------|
| DE-FOA-0002378                          | DE- EE0009829                 | GFO-0009829-001     |            |

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Policy 451.1), I have made the following determination:

**CX, EA, EIS APPENDIX AND NUMBER:**

Description:

**A9 Information gathering, analysis, and dissemination** Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

**B3.6 Small-scale research and development, laboratory operations, and pilot projects** Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

**B3.15 Small-scale indoor research and development projects using nanoscale materials** Siting, construction, modification, operation, and decommissioning of facilities for indoor small-scale research and development projects and small-scale pilot projects using nanoscale materials in accordance with applicable requirements (such as engineering, worker safety, procedural, and administrative regulations) necessary to ensure the containment of any hazardous materials. Construction and modification activities would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible).

Rationale for determination:

The U.S. Department of Energy (DOE) is proposing to provide funding to New York University (NYU) to develop, fabricate, and characterize bifacial cadmium telluride (CdTe) photovoltaic (PV) devices and surface test structures utilizing MXenes (i.e. single-layer inorganic nanomaterials).

Early award activities would include the development, fabrication, and characterization of CdTe surface test structures that would interact with MXenes. Surface test structures would be fabricated to enable characterization activities that would measure photoluminescence from the back surface. Approximately three different MXenes would be investigated and modified to determine design parameters for optimal CdTe-MXene interfaces. Findings from these activities would be applied to development and fabrication activities for bifacial CdTe devices. Multiple structure characteristics would be evaluated to optimize device efficiency. Fabricated devices would be characterized to determine stability and electrical characteristics. Approximately 200 devices (approximately ¾ inches squared) and test structures would be fabricated. Later award activities would involve the optimization of slot-die coating techniques for MXene deposition in large-scale applications. Different parameters for deposition would be evaluated, including MXene concentration. Films produced via slot-die coating would be evaluated for quality and performance using microscopy, spectroscopy, and four-point probe technologies. All award activities would occur in laboratory facilities at the National Renewable Energy Laboratory (NREL) (Golden, CO) and NYU (Washington

Square, Brooklyn, NY) campuses.

All facilities at NYU and NREL are preexisting purpose-built facilities for the type of work to be conducted for this award. Facility modifications would not be required. Award activities would involve the handling and use of hazardous materials, including laboratory chemicals, nanomaterials, and toxic metals (e.g. cadmium, arsenic). All such handling and storage would occur within controlled laboratory settings at NYU and NREL and would follow existing policies and procedures for handling and disposal of these materials. All nanoscale materials would be handled using proper engineering controls until adhered to surface materials or dissolved in solvents. Existing university and government health, safety, and environmental policies and procedures would be followed at all facilities, including: personnel training, proper personal protective equipment (PPE), engineering controls, monitoring, and internal assessments.

Any work proposed to be conducted at a federal facility may be subject to additional NEPA review by the cognizant federal official and must meet the applicable health and safety requirements of the facility.

## NEPA PROVISION

DOE has made a final NEPA determination.

Notes:

Solar Energy Technologies Office (SETO)  
This NEPA determination does not require a tailored NEPA Provision.  
NEPA review completed by Dan Cahill, 1/4/2022.

## FOR CATEGORICAL EXCLUSION DETERMINATIONS

The proposed action (or the part of the proposal defined in the Rationale above) fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D. To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposed action that may affect the significance of the environmental effects of the proposal.

The proposed action has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

The proposed action is categorically excluded from further NEPA review.

## SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature:

 **Kristin Kerwin**  
NEPA Compliance Officer

Date: 1/6/2022

## FIELD OFFICE MANAGER DETERMINATION

- Field Office Manager review not required
- Field Office Manager review required

**BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :**

Field Office Manager's Signature: \_\_\_\_\_  
Field Office Manager

Date: \_\_\_\_\_