



# U.S. Department of Energy

## Categorical Exclusion Determination Form

Submit by E-mail

Proposed Action Title: (0474-1528) Ideal Power Converters Inc. - Dual Bi-Directional Silicon IGBTs Modules Enables Breakthrough PV Inverter Using Current-Modulation Topology

Program or Field Office: Advanced Research Projects Agency - Energy

Location(s) (City/County/State): California, New York, Virginia, Texas

Proposed Action Description:

Funding will support development of a low-cost, high-efficiency 100 kW power inverter to connect photovoltaic (PV) solar panels to the grid, using revolutionary bi-directional insulated gate bipolar transistor (BD-IGBT) switches and dual chip modules.

Proposed work consists of indoor laboratory-based research and development (R&D) and semiconductor fabrication, including (1) device simulations using a 2-dimensional device simulator, (2) design and development of 1st generation BD-IGBT wafers, (3) design, fabrication, and testing of a prototype BD-IGBT 50 kW PV inverter incorporating the 1st generation wafers (4) design and development of 2nd generation BD-IGBT wafers, and (5) design, fabrication, testing, and optimization of a BD-IGBT 100 kW PV inverter. Indoor laboratory-based R&D will be performed in dedicated laboratory facilities at Rensselaer Polytechnic Institute (Troy, NY) and Virginia Tech (Blacksburg, VA). Custom semiconductors will be fabricated at Supertex Inc., a semiconductor manufacturer with dedicated industrial fabrication facilities (San Jose, CA). Construction and testing of the prototype photovoltaic inverter will take place at Ideal Power Converters Inc. (Spicewood, TX).

Categorical Exclusion(s) Applied:

B3.6 - Small-scale research and development, laboratory operations, and pilot projects

For the complete DOE National Environmental Policy Act regulations regarding categorical exclusions, including the full text of each categorical exclusion, see Subpart D of [10 CFR Part 1021](#).

Regulatory Requirements in 10 CFR 1021.410(b): (See full text in regulation)

The proposal fits within a class of actions that is listed in Appendix A or B to 10 CFR Part 1021, Subpart D.

To fit within the classes of actions listed in 10 CFR Part 1021, Subpart D, Appendix B, a proposal must be one that would not: (1) threaten a violation of applicable statutory, regulatory, or permit requirements for environment, safety, and health, or similar requirements of DOE or Executive Orders; (2) require siting and construction or major expansion of waste storage, disposal, recovery, or treatment facilities (including incinerators), but the proposal may include categorically excluded waste storage, disposal, recovery, or treatment actions or facilities; (3) disturb hazardous substances, pollutants, contaminants, or CERCLA-excluded petroleum and natural gas products that preexist in the environment such that there would be uncontrolled or unpermitted releases; (4) have the potential to cause significant impacts on environmentally sensitive resources, including, but not limited to, those listed in paragraph B(4) of 10 CFR Part 1021, Subpart D, Appendix B; (5) involve genetically engineered organisms, synthetic biology, governmentally designated noxious weeds, or invasive species, unless the proposed activity would be contained or confined in a manner designed and operated to prevent unauthorized release into the environment and conducted in accordance with applicable requirements, such as those listed in paragraph B(5) of 10 CFR Part 1021, Subpart D, Appendix B.

There are no extraordinary circumstances related to the proposal that may affect the significance of the environmental effects of the proposal.

The proposal has not been segmented to meet the definition of a categorical exclusion. This proposal is not connected to other actions with potentially significant impacts (40 CFR 1508.25(a)(1)), is not related to other actions with individually insignificant but cumulatively significant impacts (40 CFR 1508.27(b)(7)), and is not precluded by 40 CFR 1506.1 or 10 CFR 1021.211 concerning limitations on actions during preparation of an environmental impact statement.

Based on my review of the proposed action, as NEPA Compliance Officer (as authorized under DOE Order 451.1B), I have determined that the proposed action fits within the specified class(es) of action, the other regulatory requirements set forth above are met, and the proposed action is hereby categorically excluded from further NEPA review.

NEPA Compliance Officer:

*Matt Deane*

Date Determined: 11/18/2011