

PMC-EF2a

(2.01.02)

**U.S. DEPARTMENT OF ENERGY
EERE PROJECT MANAGEMENT CENTER
NEPA DETERMINATION**

**RECIPIENT:** Arizona State University**STATE:** AZ**PROJECT TITLE :** CVD-Based Valence-Mending Passivation for Crystalline-Si Solar Cells

Funding Opportunity Announcement Number	Procurement Instrument Number	NEPA Control Number	CID Number
DE-FOA-0000492	DE-EE0005322	GFO-0005322-001	GO5322

Based on my review of the information concerning the proposed action, as NEPA Compliance Officer (authorized under DOE Order 451.1A), I have made the following determination:

CX, EA, EIS APPENDIX AND NUMBER:

Description:

B3.6 Small-scale research and development, laboratory operations, and pilot projects

Siting, construction, modification, operation, and decommissioning of facilities for smallscale research and development projects; conventional laboratory operations (such as preparation of chemical standards and sample analysis); and small-scale pilot projects (generally less than 2 years) frequently conducted to verify a concept before demonstration actions, provided that construction or modification would be within or contiguous to a previously disturbed or developed area (where active utilities and currently used roads are readily accessible). Not included in this category are demonstration actions, meaning actions that are undertaken at a scale to show whether a technology would be viable on a larger scale and suitable for commercial deployment.

A9 Information gathering, analysis, and dissemination

Information gathering (including, but not limited to, literature surveys, inventories, site visits, and audits), data analysis (including, but not limited to, computer modeling), document preparation (including, but not limited to, conceptual design, feasibility studies, and analytical energy supply and demand studies), and information dissemination (including, but not limited to, document publication and distribution, and classroom training and informational programs), but not including site characterization or environmental monitoring. (See also B3.1 of appendix B to this subpart.)

Rational for determination:

Arizona State University (ASU) would conduct a research and development project to demonstrate the feasibility of crystalline-silicon (SI) solar cell technology. The laboratory work would take place at the Interdisciplinary Science and Technology Building 2 (ISTB 2) on ASU Tempe campus, 850 S. Mcallister Avenue, Tempe, Arizona. The labs for cell fabrication include both Solar Power Lab located at ASU Research Park, 7700 South River Parkway, Tempe, Arizona, and Center for Solid State Electronics Research Cleanroom on ASU Tempe campus, 551 E. Tyler Mall, Tempe, Arizona. This SI solar cell research would include information gathering, laboratory work, research, and reporting.

According to the R&D laboratory questionnaire: no additional permits are needed, and there would be no generation of air emissions associated with this work; all wastes are disposed of properly at approved treatment, storage, recycling, or disposal facilities; Environmental Health, and Safety Plans (also in compliance with OSHA and industry standards) are in place.

Phase 1 Budget: \$1,287,919 (DOE); \$321,981 (cost share)

This project comprises information gathering, analysis, and laboratory operations; therefore the DOE has categorized this into Categorical Exclusions A9 and B3.6.

NEPA PROVISION

DOE has made a final NEPA determination for this award

Insert the following language in the award:

If you intend to make changes to the scope or objective of your project you are required to contact the Project Officer identified in Block 11 of the Notice of Financial Assistance Award before proceeding. You must receive notification of approval from the DOE Contracting Officer prior to commencing with work beyond that currently approved.

Note to Specialist :

EF2A by Christopher Carusona II

SIGNATURE OF THIS MEMORANDUM CONSTITUTES A RECORD OF THIS DECISION.

NEPA Compliance Officer Signature: [Signature] Date: 1/10/2012
NEPA Compliance Officer

FIELD OFFICE MANAGER DETERMINATION

Field Office Manager review required

NCO REQUESTS THE FIELD OFFICE MANAGER REVIEW FOR THE FOLLOWING REASON:

- Proposed action fits within a categorical exclusion but involves a high profile or controversial issue that warrants Field Office Manager's attention.
- Proposed action falls within an EA or EIS category and therefore requires Field Office Manager's review and determination.

BASED ON MY REVIEW I CONCUR WITH THE DETERMINATION OF THE NCO :

Field Office Manager's Signature: _____ Date: _____
Field Office Manager